External Events P-204

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External Events Risk Analysis (P-204)

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Course Objectives

- Overview of External Event Analysis
- Familiarize students with terminology and methodology to understand external event risk analysis.
- Enable students to understand external event analysis submittals from licensees
- History and progression of external events analysis
- Overview of how external events are incorporated into SAPHIRE Level
 1 model



Course Scope

- Focus on External Flooding, Seismic and Fire Analysis (PRA and SDP)
- Includes Internal Floods and HFO (<u>H</u>igh winds, external <u>F</u>loods, and <u>O</u>ther)
- Survey and overview of methods used
 - Basic principles and simple examples
 - Reference list included for further study
- Individual Plant Examination for External Events (IPEEE)
 - Reason every plant has some type of EE analysis
- PRA Standards
 - ASME RA-Sa-2009 (Internal Events PRA, includes internal floods)
 - ANS/ANSI-58.21-2007 (External Events PRA)
 - ANSI/ANS-58.23-2007 (Fire PRA Methodology)



Outline of Course

- Background
 - IPE/IPEEE
 - PRA/PRA-EE standards
- Internal Flood
 - Included in IPE not IPEEE
- Other External Events
 - High winds, external Flood, and Others (HFO)
- Seismic Analysis
- Fire Analysis
 - Fire PRA
 - Fire SDP (Overview Class P108 for detailed Analysis)



What are External Events?

- Typically Initiating-Events external to typical plant system operations
 - Includes fires, floods, earthquakes, etc.
 - Fires and floods inside and outside of the plant
 - Loss of off-site power
- Separated from "internal-events" analysis because:
 - Unique and specialized methods of analysis
 - Dependent type or wide-area effects



Example External Events

- Seismic
- Internal Fires
- High Winds and Tornadoes
- External Floods (Tsunami)
- Transportation and Nearby Facility Accidents
- Internal Floods*

- Lightning
- Extreme Temperatures
- External Fires
- Extraterrestrial Activities
- Volcanic Activity



External Events Found to be Risk Important

- 1969 Application of PRA Concept in NPP industry (HTGR-UK)
- 1979 First Seismic PRA (Oyster Creek)
- 1979 First Fire PRA (HTGR)
- 1981 Big Rock Point
- 1982 Zion/Indian Point
- 1988 GL 88-20 (IPEs to include internal floods)
- 1989 NUREG-1150 (Fire and Seismic)
- 1991 GL-88-20, Supplement 4 (IPEEE)
 - Revised in 1995 with supplement 5, which modified seismic requirements



Purposes of IPEs/IPEEs

- Systematically examine plant design, operation, and emergency operation
- Identify plant-specific vulnerabilities to severe accidents and possible scenarios
- Develop understanding of what could possibly go wrong in a plant
- Identify and evaluate means for improving plant and containment performance with respect to severe accidents
- Decide which of these improvements to implement and when
- Perform this examination for selected external events (IPEEE)



Brief History of GL 88-20

- 1988-Nov: GL 88-20 issued requesting IPEs
- 1989-Aug: GL 88-20 Supplement 1
 - Availability of NUREG-1335 IPE Submittal Guidance
- 1990-April: GL 88-20 Supplement 2
 - List of severe accident management strategies to consider in IPE (NUREG/CR-5474)
- 1990-July: GL 88-20 Supplement 3
 - Announced complete of NRC Containment Performance Improvement (CPI) program
- 1991-June: GL 88-20 Supplement 4
 - IPE for External Events (IPEEE)
- 1995-Sept: GL 88-20 Supplement 5
 - Modified recommended scope of seismic analysis to include revised seismic hazard curves (NUREG/CR-1488, LLNL)



GL 88-20 did not Require a PRA

- All utilities chose to perform a PRA to address GL 88-20
 - PRAs not performed to specified standards
 - No requirements specified for data or models
- Not all utilities used PRAs to analyze external events
 - Earthquakes and fires can be analyzed via margins approach
- IPE submittal typically not a full PRA (level of detail varies widely, only full-power operation considered)
- IPEs not performed to support risk-informed, performance-based regulation



External Events Included in Both IPE and IPEEE

- IPE included consideration of internal flood
 - Guidance in NUREG-1335 (1989)
 - Results and insights in NUREG-1560 (1997)
 - Note that internal flood is now part of internal events PRA standard
- IPEEE included all other external events
 - Guidance in NUREG-1407 (1991)
 - Results and insights in NUREG-1742 (2002)



IPEEE Explicitly Addressed

- Seismic Events
- Internal Fires
- High Winds and Tornadoes
- External Floods
- Transportation and Nearby Facility Accidents
- Any other plant-specific hazards known to the licensee

Remember that the IPE (not the IPEEE) explicitly included internal flooding



IPEEE Methods Employed

- Seismic
 - Seismic Margins
 - Seismic PRA
- Fire
 - FIVE (Fire-Induced Vulnerability Evaluation)
 - Fire PRA
- Other
 - Graded Approach



IPE Results (Internal Flood)

- Internal Floods are a small risk contributor for most plants
 - Typically <10% of CDF
- Important for some because of plant-specific designs
 - Water system ruptures that cause failure of multiple systems (directly or through flooding)
 - Drains not large enough to prevent buildup or backflow through drains
 - Plant-layout Separation and compartmentalization of system components
 - E.g., direction doors swing open



IPEEE Results

- Seismic
 - Offsite power and on-site electrical equipment dominate risk
 - Building and structural failures also important
- Fire
 - Switchgear areas and main control rooms (abandonment) important
- High Winds, External Floods, and Other EEs
 - Most HFOs screened-out via qualitative analysis
 - Some quantitative analyses done on: high winds and tornadoes, external floods, transportation and nearby facilities, lightning (1), snow and ice (1), chemical release(1)



PRA Standards Include External Events

- Standards are NOT procedure guides
 - Focus is on risk-informed application issues
- ASME standard on PRA includes internal flood (IF) events
 - ASME RA-Sa-2009 (February 2009)
 - This is fourth revision of original (RA-S-2002, April 2002)
- ANS standard ANSI/ANS 58.21 addresses all other external events (except internal fires)
 - Original approved March-2003, published Dec-2003
- Internal fire standard developed (ANS)
 - ANSI/ANS-58.23-2007 approved November 20, 2007



Internal Flooding (ASME PRA Std.)

Objectives:

- Internal floods (IF) as either an initiator or mitigating system failure are evaluated such that:
 - Flood sources within plant are identified
 - Flood scenarios/sequences that contribute to CDF and LERF are identified and quantified



IF Std. – High Level Requirements

Designator	Requirement (abbreviated)	
HLR-IF-A	Flood areas of the plant SHALL be identified	
HLR-IF-B	Flood sources and mechanisms SHALL be identified	
HLR-IF-C	Scenarios for each source SHALL be developed (i.e. propagation path and affected SSC)	
HLR-IF-D	Flooding-induced IEs SHALL be identified and frequencies estimated	
HLR-IF-E	Flood-induced accident sequences SHALL be quantified	
HLR-IF-F	Analysis SHALL be documented to support upgrades and peer review	



Supporting Requirements

- Each High Level Requirement delineated in terms of more detailed supporting requirements (SR)
 - Each SR described in terms of action statements
 - E.g., DEFINE, IDENTIFY, USE, CONDUCT
 - Each SR linked to one or more of the three Capability Categories, which are defined by the standard in terms of:
 - Scope and level of detail
 - Plant-Specificity
 - Realism



ANS PRA External Events Standard

- Original ANSI/ANS 58.21-2003
- Updated ANSI/ANS 58.21-2007
- All EE except internal flood (included in ASME Std.) and internal fire (under development by ANS as a separate std.)
 - While plant is at nominal full power
 - Includes both seismic PRA and seismic margins assessment (SMA)



ANS PRA External Events Std.

- Objective:
 - Requirements for EE PRA used to support risk-informed decisions for commercial NPP
 - Intended to be coordinated with ASME-RA-Sa-2009



ANS PRA EE Std High-Level Req.

Designator	Requirement (abbreviated)
HLR-EXT-A	All potential EE that may affect the site SHALL be subject to screening, bounding or detailed analysis
HLR-EXT-B	Preliminary screening analysis, if used, SHALL be performed using a defined set of screening criteria
HLR-EXT-C	Bounding analysis, if used, SHALL be performed using a defined set of quantitative screening criteria
HLR-EXT-D	The basis for screening-out of an EE SHALL be confirmed through a walkdown
HLR-EXT-E	The screening-out of an EE SHALL be documented in a manner that facilitates applying and update the PRA and that enables peer review

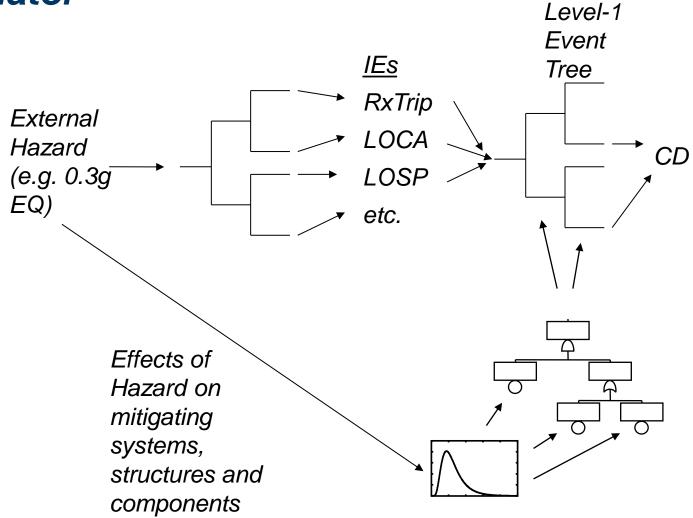


Internal Events PRA Models are Basic Framework for External Events Analyses

- Typical approach in External Event PRA
 - Characterize hazard (EQ, fire, flood, etc.)
 - Frequency and damage to plant (varies by hazard scenario)
 - Calculate conditional core damage probability (CCDP) given occurrence of hazard
 - E.g., using internal event PRA, set damage equipment to "failed" and calculate conditional core damage probability
 - Combine hazard frequency with each CCDP
 - For each hazard scenario
 - Sum over all hazard scenarios



Hazard is New IE – Induces Internal Events Initiator



Internal Flooding





Objectives

- To become familiar with:
 - History of internal flooding analyses and the current approaches being used
 - References available on typical internal flooding analysis methods
 - Resources for data used in typical internal flooding analyses



Flood Risk Historically Ignored

- Reactor Safety Study (WASH-1400, 1975) qualitatively judged flood risk negligible
 - Plants designed to withstand probable maximum flood (PMF) External Floods
 - Internal flooding was not considered
- Internal Flooding identified as a risk issue ~1980's
 - Service water system and condenser ruptures
 - Zion (1982) and Indian Point (1983) PRAs



Sample of Turbine Bldg Flooding Events

Date	Plant	Notes	Result
June 1972	Quad Cities 1	Condenser Circulating water valve closed inadvertently causing water hammer rupture of expansion joint	Rapid flooding of room containing pumps in several system
April 1977	TMI-1	Condenser circulating water pump casing split	Rapid flooding of room containing six pumps
Oct. 1976	Oconee	Condenser circulating water isolation valve opened during maintenance	Lake water spilled into turbine bldg flooding emergency FW pumps
Oct. 1979	Dresden 2	Fire-water leak	Diesel-generator control cabinet sprayed
Dec 86	Surry 2	Feedwater System elbow ruptured.	Within minutes of the rupture the FPS activated. The water from the sprinklers shorted out several electrical circuits.



Sample of Turbine Bldg Flooding Events

Date	Plant	Notes	Result
June 87	Palo Verde 1	Condenser outlet pipe rupture	stairwell flooded
Sept 96	Fitzpatrick	Fire Protection water system pipe rupture, MCC flooded causing HPCI system to be unavailable	MCC flooded causing HPCI system to be unavailable
June 98	Columbia River	Fire-water pipe rupture	Flooded RHR/LPCS pumps
Nov 02	South Texas-1	Circ. Water pump casing ruptured,	instrument cabinet knocked over, water up to 4 ft.
March 93	Perry	SW pipe rupture	RX trip
Dec 91	Perry	Circ. Water pipe rupture	Rx trip
July 10	Susqueha nna	Circ. Water pipe rupture	Rx trip



Internal Flood Included in IPE

- Heightened concern about internal flooding resulted in increased scrutiny
- Internal flood risk incorporated in IPE scope (not IPEEE)
 - Other EE analyses delayed to allow NRC/Industry time to finalize scope and methods
 - IPEEE trailed IPE by about 2 years

(This has generated inconsistency over the years about whether internal flooding is treated in internal or external events analysis.)



Internal Flooding Analysis

- Successive Screening Approach
 - Typical of an external event analysis
- Qualitative Screening Analysis
 - No Water Sources (Elevation Issues)
- Quantitative Screening Analysis
- Detailed Analysis



Initialization of Flood Analysis

- Identify areas of concern
 - Both susceptible to flooding AND contain equipment modeled in PRA (Mitigating Systems)
- Plant divided into unique flood locations
 - Including areas that can be affected by water spray or steam
 - Water retention and flood propagation potential
 - Not necessarily separated by water-tight barriers
- Flood sources for each location identified
 - Water spray, steam (high energy line break [HELB]) and flood



Typical Assumptions/Boundary Conditions

- Only single rupture/flood considered at a time
- Some events already treated by internal events analysis
 - LOCAs inside and outside containment
- Temporary potential flooding sources typically ignored
 - Short time of exposure, plant staff in local area
- Floods are initiator, not subsequent to others IEs
- Spurious actuation of fire-suppression included
- Flood barriers (e.g., walls) assumed to be effective
 - Capacity of drains and doors need to be assessed



Initial Screening of Locations

- Screening of locations assuming maximum damage
 - Given flooding occurs, all equipment in source location and propagation locations fails
 - What alternate equipment (success paths) are available?
- Flood sources for each location identified
- Flood scenarios identified
 - Flood source and affected location(s)



Qualitative Screening

- Identify independent flood areas/zones
 - Independent if flood outside area cannot enter area (without the failure of a barrier)
- Identify flooding sources in each flood area
 - Including water capacity and maximum flow rate
 - Typical flooding sources are pipes
 - Need pipe routing information (can be accomplished via local inspection – Walk-downs)
- Identify equipment in each flood area
 - Only equipment needed for safe shutdown (i.e., modeled in PRA) or if damaged, would lead to a reactor trip, is counted
 - Includes electrical, control, and instrumentation equipment



Qualitative Screening (cont.)

- Flood area screened-out if:
 - Area does not contain any safe shutdown equipment, nor any equipment such that if damaged could lead to reactor trip
 - However, typically if flood only causes reactor trip with no damage to mitigating equipment, area is screened out
 - This scenario is bounded by internal events analysis
 - Flooding sources do not have enough capacity to damage equipment



Qualitative Screening Refined

- For those flood areas not screened out a more detailed screening can be done
 - Determine the critical height of vital equipment
 - Determine the maximum height of potential flooding in that area
 - Assume worst case flood sources
 - Account for drainage out of flood area
 - Identify effects other than submergence that might damage critical equipment (e.g., spraying)
 - Assess impact of flood propagation out of area (i.e., might this area be important as a flood initiator for another area)
- If max flood height is less than critical height of equipment, if other effects do not impact equipment, and if flood propagating to adjacent areas is not a concern, then can screen out



Quantitative Screening Analysis

- Four steps
 - Bounding estimate of flooding frequency
 - 0.1 or 0.01 (based on amount/type of water piping/equipment)
 - Bounding estimate for flood propagation probability
 - Human errors and mechanical failures
 - For each flood scenario calculate conditional core damage probability
 - Screen CDF for each flood scenario against screening criteria
 - E.g., 1E-7/yr (flood CDF small compared to internal events)
 - Screening should be used sparingly. A 1E-7/yr screening could be a 1E-5/yr if another failure is concurrent.



Detailed Internal Flooding

- Flood scenarios that survive screening
 - Unique flood areas/locations/zones identified
 - SSC's within each area listed
 - Flood sources within each area
- Flood frequency within each area estimated
- More realistic estimate of flood damage and damage propagation
- Potential for recovery or mitigation assessed
- Additional (random) failures accounted for
- Core damage frequency calculated



Flood IE Frequency Estimates

- Itemized list of potential sources
 - Pipe (length)
 - Generic pipe rupture rates
 - E.g., 4E-10/pipe-section-hour (EPRI TR-100380)
- Sum total flood IE frequency for each location
 - Possibly perform further screening based on IE frequency
 - Each flood scenario assigned IE frequency
- Data Sources
 - INEL Report EGG-SSRE—9639
 - EPRI TR-100380
 - EPRI 1012302
 - EPRI-1013141



Flood Frequency Estimates

- Pipe failure frequency from WASH-1400
 - Pipe > 3 in. diameter
 - 8.8E-7/seg-yr (median), 2.6E-5/seg-yr (95%)
 - Pipe < 3 in. diameter</p>
 - 8.8E-6/seg-yr (median), 2.6E-4/seg-yr (95%)
- Expansion joint failure from Individual PRA (NSAC-60, 1984)
 - 2.5E-4/yr
- Tank rupture from IAEA TECDOC-478 (reliability database, 1988)
 - 2.3E-4/yr



Flood Flow Rate

- Rate of flooding determines time available for recovery actions
- Flooding rate dependent on
 - Pumping capacity, size of pipe, presence of restricting orifices
- Flood rate allocation method presented in NSAC-60, 1984
 - Large, medium, and small



Flood Rate Allocation

NSAC-60, 1984

- Total flood frequency allocated to:
 - Large flood (10%)
 - Medium flood (30%)
 - Small flood (60%)
- Flood Rate
 - Large flood = maximum rate
 - Medium flood = (max rate)/3
 - Small flood = (max rate)/6



More Recent Estimates from EPRI

- Pipe Rupture Frequencies for Internal Flooding PRAs, Revision 1. EPRI, Palo Alto, CA: 2006. (EPRI-1013141)
- Rates per Reactor Operating Year
 - By Plant system, pipe diameter, and flood mode
 - Spray (<100 gpm)
 - Flood (100 gpm to 2,000 gpm)
 - Major Flood (>2,000 gpm)



Flood Scenarios Defined

- Flood scenarios depend on:
 - Flood source
 - Area layout
 - Flood growth and propagation
 - Flood rate, drainage, free area for flooding, critical height of flood (time to fill volume)
 - Different equipment targets can produce different critical heights
 - Spray and splashing should also be considered
 - Time available for recovery



Quantifying Flood Core Damage Freq.

- For each flood scenario:
 - Flood frequency
 - Fail to recover probability
 - Flood propagation probability
 - Failure of any flood barriers
 - No credit for failed barriers
 - Set of equipment failed by flood
 - Internal events PRA model modified to calculate conditional core damage probability
 - Flood scenario frequency (first 3 items) combined with CCDP to calculate flood core damage frequency

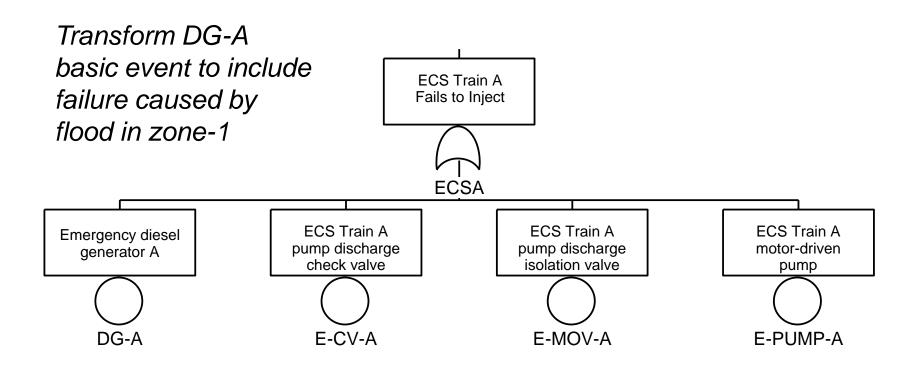


Flood Scenarios can also be added to PRA Model

- Internal Events PRA model expanded to include flood scenarios
- Equipment (basic events) transformation to flood scenarios
 - E.g., DG-A = DG-A + FLD-Z1

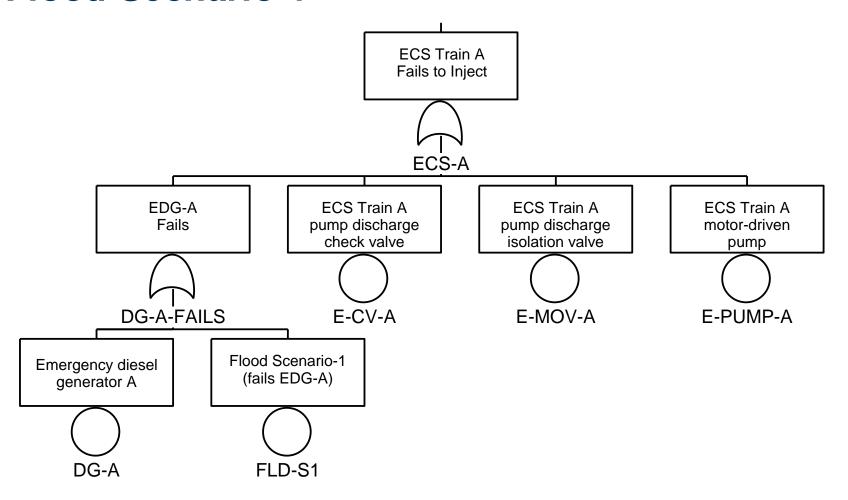


Original Fault Tree for ECS Train A





DG-A Transformed to Include failure caused by Flood Scenario-1





Flood Scenario Frequency Refined

- For each Flood Scenario:
 - Recovery (isolation of rupture) probability
 - More realistic estimates of
 - Flood propagation (from one location to another)
 - Equipment fragility
- Continue refining until
 - Scenario no longer significant contributor to risk, or
 - Realistic estimate of risk contribution



Few Plants Identified Internal Flooding Vulnerabilities via IPE

- Propagation of flood to adjacent areas (doors swing outward) fails safeguards equipment
- Failure of circulating water expansion joints
- Rupture of service water or circulating water systems result in flooding of turbine building
- Rupture of demineralized water line results in flood of relay and switchgear rooms
- However, 17 plants identified internal flood related plant improvements



References

- INEL Report EGG-SSRE-9639
- EPRI TR-100380
- EPRI 1012302
- EPRI-1013141
- NSAC-60, 1984
- IAEA TECDOC-478 (Reliability Database, 1988)
- EPRI-1013141
- Elemental Basic Method
- Elemental Thomas Method
- Stress and Strain Analysis (e.g. pc-PRAISE Computer Code)
- Integrated Approach



SAPHIRE EXAMPLE

- NSW TRAIN A RUPTURES = 1.E-3/YR
- SET NSW PUMP 1, 3, AND 5 TO FAIL (TRUE)



Elemental Basic Method

- 200 ft Non-PCS piping: Failure rate = 1.2E-10/ft-hr
- 10 Valves: Failure rate = 4E-10/valve-hr
- 3 Pumps: Failure rate = 1.2E-9/pump-hr
- 20 Flanges: Failure rate = 1.E-10/flange-hr
- 2 Heat Exchanger: Failure rate = 4.E-9/htx-hr
- 1yr = 8760 hrs
- Average flow rate from rupture = 3000 gpm
- Average drain rate = 1500 gpm
- Floor area = 3000 sq-ft
- Pump pedestal 1 ft

Calculate total failure rate and time available to react.



Elemental Basic Method - Calculation



Elemental Basic Method - Calculation

COMPONENT TYPE	FAILURE RATE/HR	UNITS	FREQ/YR
pipe (ft)	1.20E-10	200	2.10E-04
valves	4.00E-10	10	3.50E-05
pumps	1.20E-09	3	3.15E-05
flages	1.00E-10	20	1.75E-05
hx	4.00E-09	2	7.01E-05
			3.64E-04
sqft	height	g/cuft	gals
3000	1	7.48	22440
		gpm	mins
	Leakage rate	3000	15
	accu rate	1500	

High Winds, External Flood, and Other (HFO)





Learning Objectives

- After studying this section you should know:
 - Steps in the progressive screening approach for other external events
 - Comparison to Standard Review Plan (SRP) [NUREG-0800]
 - Examples of nonconformance to SRP criteria for high winds, external floods, transportation accidents, and nearby facility accidents, and the analysis method(s) used to address these external hazards.



Overview

- HFO events considered in the IPEEE:
 - High Winds
 - External Floods
 - Transportation Accident
 - Nearby Facility Accident
 - "Other" External Events
- Progressive screening approach
- Does <u>NOT</u> include Internal Flood, Internal Fire or Seismic, which were addressed specifically



Recommended IPEEE Approach for Winds, Floods, and Others

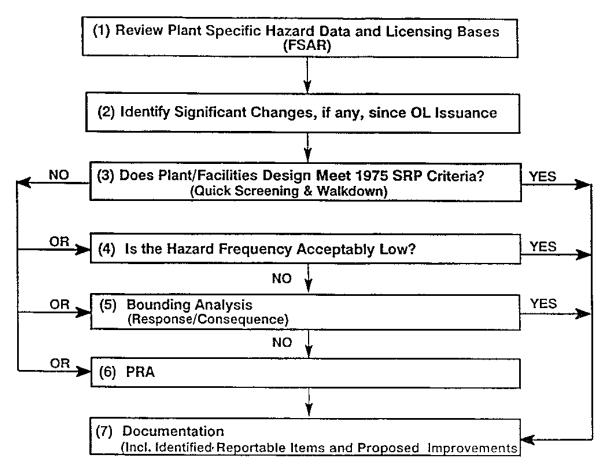


Figure 5.1 Recommended IPEEE Approach for Winds, Floods, and Others



Procedure for Progressive Screening of Other External Events

Series of analyses in increasing level of detail, effort, and resolution.

- Review plant design hazard, the licensing bases, and the resolution of each hazard event (FSAR and SER).
- 2. Identify significant changes at the site and surrounding, if any, since the issuance of OL, with respect to:
 - Military and industrial facilities within 5 miles of the site.
 - Onsite storage or other activities involving hazardous materials.
 - Transportation.
 - Development that could affect the original design conditions.
- 3. Perform a confirmatory walkdown of the plant.
- Determine if the plant and facilities design meet the SRP criteria (if yes, then no further analysis is necessary)



Procedure for Progressive Screening of Other External Events (continued)

- If plant and facilities design do not meet SRP criteria for an external hazard, optional steps include:
 - Determine if the hazard frequency is acceptably low (less than 1E-5 per year).
- 5. Perform a bounding analysis.
 - Bounding analysis criteria:
 - Hazard would not result in core damage.
 - CDF (conservatively estimated) is below 1E-6 per year.
- Perform a PRA.
 - PRA criterion:
 - CDF is below 1E-6 per year.



Potential External Events to be Considered

- Aircraft Impact
- Avalanche
- Biological Events
- Coastal Erosion
- Dam Failure
- Drought
- External Flooding
- Extreme Winds and Tornadoes
- Fog
- Forest Fire

- Frost
- Hail
- High Tide, High Lake level or High River Stage
- High Summer Temperature
- Hurricane
- Ice Cover
- Industrial or Military Facility Accident
- Intense Precipitation



Potential External Events to be Considered

- Landslide
- Lightning
- Low Lake or River Stage
- Low Winter Temperature
- Meteorite
- Release of Chemicals from On-site Storage
- River Diversion
- Sand Storm
- Seiche

- Seismic Event
- Snow
- Soil Shrink/Swell
- Storm Surge
- Transportation Accidents
- Tsunami
- Toxic Gas
- Turbine Generated Missiles
- Volcanic Activity
- Waves



Initial Screening of Events

- From NUREG/CR-2300, Section 10.3.1
 (A Guide to the Performance of Probabilistic Risk Assessments for Nuclear Power Plants)
 - The event is of equal or lesser damage potential than the events for which the plant has been designed.
 - The event has a significantly lower mean frequency of occurrence than other events with similar uncertainties and could not result in worse consequences than those events
 - The event cannot occur close enough to the plant to affect it.
 - The event is included in the definition of other event
 - The event is slow in developing and there is sufficient time to eliminate the source of the threat or to provide an adequate response.



Initial Screening of Events

- Screening Criteria defined in NUREG/CR-2300 Section 10.3.1.
 - 1 Less than plant design basis,
 - 2 Event is bounded (I.e., lower frequency and consequences) by another event,
 - 3 Event cannot occur close enough to the plant to be a problem,
 - 4 Event is included in the definition of another event.



Example Initial Screening

Event	Screening Criteria	Remarks	
Aircraft Impact		Bounding analysis	
Dam Failure	4	Included in external flooding analysis	
Forest Fire	3	There are no forests in the vicinity; site is cleared	
Lightning	1	All buildings protected	
Meteorite	2	Small frequency	
Sand Storm	3	Not relevant for the region	



Initial Screening Exercise

- For NPP Location near Denver, Colorado a plant is designed to meet DBE criteria. Which of the following events can be screened out using SRP screening criteria
 - Tsunami
 - Avalanche
 - Volcano
 - Tornado
 - Sand Storm
 - Lightning
 - Heavy Snow



Typical Events for Bounding Analysis (#2)

- Aircraft Impact
- External Flooding
- Extreme Winds and Tornadoes
- Industrial or Military Facility Accidents
- Release of Chemicals from On-site Storage
- Transportation Accidents
- Turbine Generated Missiles



Typical Events for Screening Criteria #3

- Volcano
- Dust storm
- Tsunami
- Forest (External) Fire
- Meteorite
- Seiche



Typical Events for Screening Criteria #4

- Extreme Winds and Tornadoes
- Dam Failure
- Heavy Precipitation
- Forest (External) Fire
- Fog

Tornado and High Wind Events



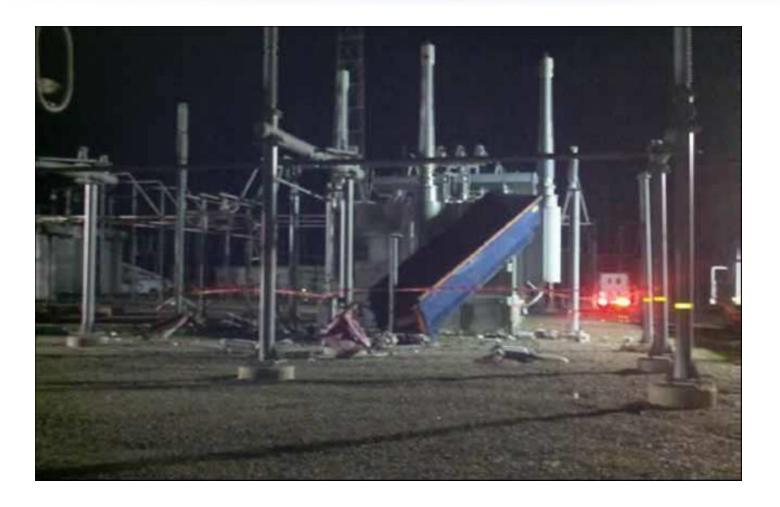


















Tornado Intensity Distribution

Intensity	F0	F1	F2	F3	F4	F5
Number	17554	16076	9145	2903	1005	130
Ave. Length (mi)	1.14	3.376	6.633	10.495	13.442	14.945
Ave. Width (ft)	119.9	241.3	442.4	872.6	1426.8	1581.0
Ave. Area (mi²)	0.0458	0.2220	0.7366	2.1059	3.7797	4.6410

Data is from NUREG/CR-4461, Rev. 2, Tornado Climatology of the Contiguous United States, February 2007, for contiguous United States (3,020,000 mi²), and spans beginning of 1950 to the end of August 2003 (53.67 years)



Fujita Tornado F Scale Wind Speeds

Intensity	Description	Orig. F-Scale (Fastest ¼ mile, mph)	Fujita Scale (3-s gust, mph)	Operational Enhanced Fujita Scale (3-s gust, mph)
F0	Light Damage	40 – 72	45 – 78	65 – 85
F1	Moderate Damage	73 - 112	79 – 117	86 – 110
F2	Considerable Damage	113 - 157	118 – 161	111 – 135
F3	Severe Damage	158 – 206	162 – 209	136 – 165
F4	Devastating Damage	207 - 260	210 – 261	166 – 200
F5	Incredible Damage	261 - 318	262 - 317	>200



High Winds/Tornadoes

- NRC Regulatory Requirements:
 - 10 CFR Part 50 Appendix A Criterion 2 and Criterion 4, 10 CFR Part 100.10
- Other Related Documents:
 - Regulatory Guide 1.13 (Spent Fuel Pool), 1.76, 1.117
 - SRP (1975) No. 3.3.1, 3.3.2, 3.5.1.4, 3.5.1.5, 3.5.2, 3.5.3
 - ASCE Paper 3269, "Wind Forces on Structures," Transactions of the American Society of Civil Engineers, Vol. 126, Part II, 1961
 - ANSI A58.1 Building Code Requirements for Minimum Design Loads in Buildings and Other Structures, American National Standards Institute Committee A58.1-1972



Examples of Nonconformance to SRP Criteria

- Maximum wind speed considered in plant design was less than the design basis tornado specified in Regulatory Guide 1.76.
- Design basis tornado missile spectrum differs from that specified in SRP.
- Structure not designed to withstand tornado wind or missile impact.



Wind Hazard Frequency

- Wind loading due to tornado is limiting.
- Tornado wind annual exceedence frequency:

$$\phi_{\mathsf{V}} = \phi_{\mathsf{t}} \bullet \phi_{\mathsf{V}|\mathsf{t}}$$

where

 ϕ_v = annual frequency of a tornado striking the plant with a wind speed > v.

 ϕ_t = the annual frequency of a tornado striking the plant.

 $\phi_{v|t}$ = the conditional probability of tornado intensity or fraction of tornadoes with peak wind speed > v.



Frequency of Tornado Striking the Plant (ϕ_t)

- Two models for estimating the tornado strike frequency:
 - Point Target Model
 - Large (aka Aerial) Target Model
- Point target model is used if there are no vulnerable structures at the site with dimensions on the order of tornado damage width dimensions.
- Point target model tornado strike frequency:
 - $\phi_{tp} = n \bullet (A_{tp}/A)$
 - n = the mean number of tornado occurrences per year in the reference area.
 - $-A_{tp}$ = the mean path area of tornado.
 - A = the reference area where the plant is located and over which tornado data are accumulated.



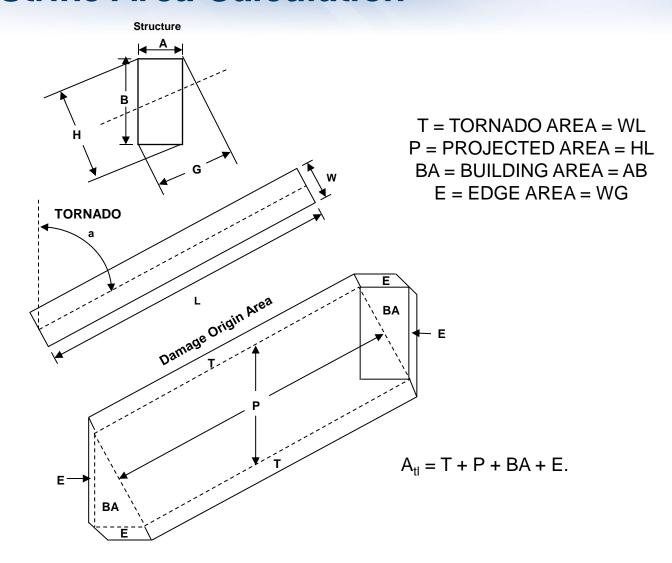
Frequency of Tornado Striking the Plant, ϕ_t (continued)

- Large target model tornado strike frequency:
 - $\quad \phi_{tp} = n \bullet (A_{tp} / A)$
 - A_t calculated considering footprint of plant, damage area of a typical tornado (width and travel length), and angle of tornado approach
 - See next slide
 - Alternate method (NUREG/CR-4461, Rev. 2)
 - $A_{tp} = w_{target} \times L_{tornado}$ Where:

 w_{target} = dimension of target $L_{tornado}$ = path length of tornado



Tornado Strike Area Calculation

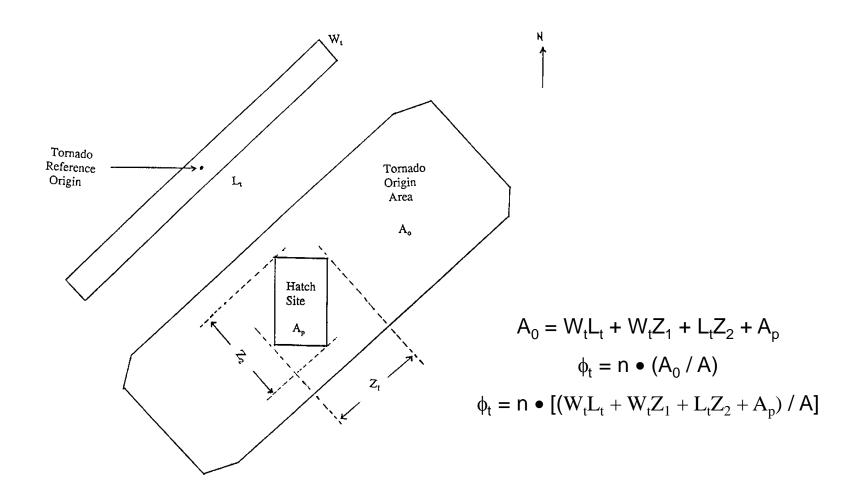


Examples from the IPEEEs





Tornado Origin Area $(A_0=A_t)$ for the Hatch Site





Tornado Model Comparisons

- From Plant IPEEE (1996):
 - Site is represented by a rectangular area of length, 2000 ft (.38 mi) in the N-S direction, and width, 1100 ft. (.21 mi)
 - For this analysis, it is conservatively assumed that the projections on the tornado length (Z1) and width (Z2) has the maximum possible value of 2280 ft (.43 mi) = Sqrt(2000^2+1100^2).
 - Tornado data from National Severe Storm Forecast: 55 tornados occurred in 38 years (Aug. 1954 – Jan. 1993) in a 36-mi radius of the Site.
 - Tornado Ave. path length (L) is 2.17 mi, ave. path width (W) is 0.051mi (270 ft)
 - the reference area (within 36-mi radius) = 4070 sq. mi.

Calculate Tornado Strike probability using Point Target Model and Large target Model



Example calculation



Tornado Model Comparisons

```
N = 55/38 = 1.45 \text{ tornado/yr}
```

A (Tor Strike Area) = $3.14 * 36^2 = 4070 \text{ sq. mi.}$

Point Target Model

$$A_P = 0.43 * 2.17 = 0.933 \text{ sq. mi.}$$

Tornado Strike Prob = 1.45 * 0.933/4070 = 3.3E-4/yr

Large Target Model

$$A_0 = L_t W_t + L_t Z_1 + W_t Z_1 + A_P$$
= 2.17*0.051 + 2.17*0.43 + 0.051*0.43 + 0.38*0.21
= 1.15 sq. mi.

Tornado Strike Prob = 1.45*1.15/4070 = 4.1E-04/yr

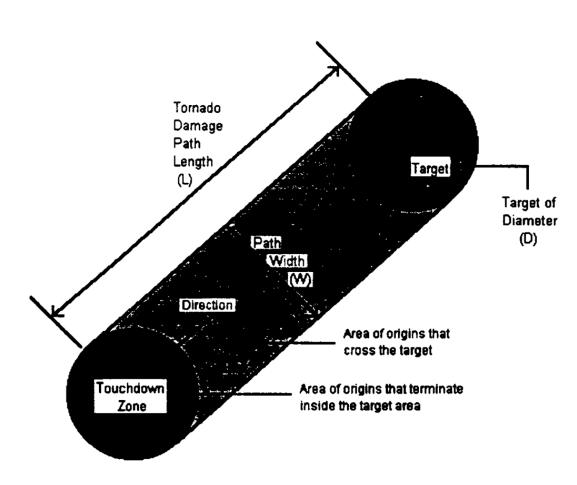


Tornado Strike Frequency at the Second Site

- Based on 94 tornadoes during a 42-year history (1950-1992) within 36 miles of site.
- Average tornado path length is 4.24 miles and average tornado path width is 0.066 mile. (Estimated $A_P = 1.56$ sq. mi.)
- Reference area is 4,070 square miles.
- Tornado strike frequency at the site is 8.57E-04 per year.



Diagram of Tornado Origins $(A_s = A_{tp})$



$$A_s = (L)(D+W) + \pi \left(\frac{D+W}{2}\right)^2$$

L = mean damage length

W = mean damage width

D = target diameter

Example of Tornado Missile Analysis Using TORMIS



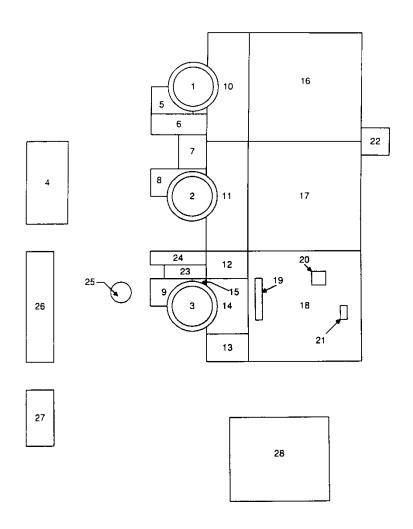


Tornado Missile Impact Frequency

- Dependent on tornado strike frequency φt and probability of tornadogenerated missile hitting a plant structure.
- Methods of estimating tornado missile impact frequency:
 - Tornado Missile Risk Analysis Model (TORMIS) computer code.
 - Generic results from TORMIS calculations for hypothetical plants [EPRI NP-768, -769].
 - Other computer programs; e.g., SPRPND by Bechtel used in the Palo Verde IPEEE.
- Study approach postulates a spectrum of available missiles and evaluates potential damage to plant structures.



TORMIS Analysis Plant Site Model





TORMIS Analysis Plant Site Model (continued)

Target Number	Target Structure
1.	Unit 1 Reactor Building
2.	Unit 2 Reactor Building
3.	Unit 3 Reactor Building
4.	SSF
5.	Unit 1 West Penetration Room
6.	Units 1 and 2 Fuel Handling Structure
7.	Units 1 and 2 Fuel Pool
8.	Unit 2 West Penetration Room
9.	Unit 3 West Penetration Room
10.	Unit 1 Auxiliary Building
11.	Unit 2 Auxiliary Building
12.	Unit 3 Auxiliary Building (Control Room Region)
13.	Unit 3 Auxiliary Building (Volume beneath U3 East Pen.Room)
14.	Unit 3 East Penetration Room

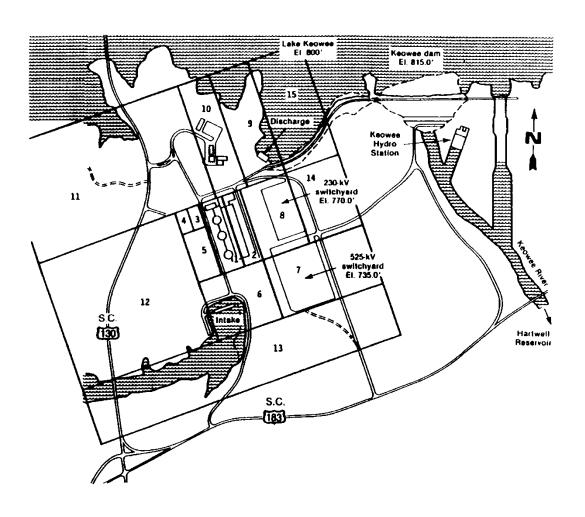


TORMIS Analysis Plant Site Model (continued)

Target Number	Target Structure
15.	Unit 3 Auxiliary Building (between Control Room and U3 Rx. Bldg.)
16.	Unit 1 Turbine Building
17.	Unit 2 Turbine Building
18.	Unit 3 Turbine Building
19.	Unit 3 Upper Surge Tanks
20.	Region above Unit 3 EFW motor-driven pumps
21.	Region above Unit 3 EFW turbine-driven pump
22.	CT-4 Transformer Enclosure
23.	Unit 3 Fuel Pool
24.	Unit 3 Fuel Handling Structure
25 .	Unit 3 Borated Water Storage Tank
26.	Warehouse
27.	Interim Radwaste Facility
28.	Radwaste Facility



Nuclear Station Missile Origination Zones





Nuclear Station Missile Distribution

	Missile Zones														
Missiles	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Cars	6	24	72	0	490	30	30	15	0	292	100	390	0	25	0
Trucks	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0
Trailers	9	9	3	0	6	12	0	0	0	0	0	0	0	0	0
Utility Poles	9	7	0	0	20	0	67	71	0	26	0	0	0	7	0
Barrels	5	0	0	0	0	0	0	250	0	0	0	0	0	0	0
1" Rods	0	0	0	0	25	0	0	10	0	0	0	0	400	400	0
Concrete Slabs	90	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Small Trees	0	0	0	1600	400	0	0	0	100	130	0	4000	800	13000	0
Large Trees	0	0	0	80	0	0	0	0	0	0	0	100	350	520	0
Wire Reels	0	0	0	0	0	0	0	6	0	0	0	0	10	300	0
I-Beam 4"	0	70	0	0	0	0	0	0	00	0	0	0	500	365	0
8'	0	0	0	0	0	0	0	50	0	100	150	0	500	350	0
12"	0	10	0	0	50	0	0	0	0	0	0	Û	200	1061	0

Examples of Tornado Missile Analysis using TORMIS





TORMIS Results for Hypothetical Plant

- Typical result for an operating two-unit plant with tornado frequency of 2.29E-03 per year:
 - Frequency that any tornado-generated missile hits the plant structures(PN) is 7.09E-05 per year.
 - Frequency that a missile impacts with sufficient force to cause back scabbing if all of the plant structures had 6-in. concrete walls (PL) is 3.45E-05 per year.
 - Frequency that a missile impacts with sufficient force to cause back scabbing if all of the plant structures had a 18-in. concrete walls (PU) is 3.33E-06 per year.



Tornado Impact and Damage Frequencies

- Scaling down the impact and damage frequencies from EPRI NP-768 with the ratio of the site-specific tornado strike frequency to the another region tornado occurrence frequency:
 - P^N = 2.65E-05 per year Frequency that any tornado-generated missile will hit plant
 - P_L = 1.29E-05 per year Frequency of back-scabbing caused by missile impacting a 6-inch thick wall
 - $-P_U$ = 1.25E-06 per year Frequency of back-scabbing caused by missile impacting a 18-inch thick wall



Frequency of Tornado Striking the Plant, ϕ_t (continued)

- Number of tornado occurrences per year at the site from the Storm Prediction Center, National Weather Service
 - Historical tornado data for continental U.S.
 - Location specific (longitude and latitude)
 - Magnitude specific
- Tornado Climatology of the Contiguous United States NUREG/CR-4461, Rev 2, PNNL-15112, Rev 1., Pacific Northwest National Laboratory – February 2007



Fujita Tornado F Scale Wind Speeds

Intensity	Description	Orig. F-Scale (Fastest ¼ mile, mph)	Fujita Scale (3-s gust, mph)	Operational Enhanced Fujita Scale (3-s gust, mph)
F0	Light Damage	40 – 72	45 – 78	65 – 85
F1	Moderate Damage	73 - 112	79 – 117	86 – 110
F2	Considerable Damage	113 - 157	118 – 161	111 – 135
F3	Severe Damage	158 – 206	162 – 209	136 – 165
F4	Devastating Damage	207 - 260	210 – 261	166 – 200
F5	Incredible Damage	261 - 318	262 - 317	>200



Tornado Intensity Distribution

Intensity	F0	F1	F2	F3	F4	F5
Number	17554	16076	9145	2903	1005	130
Ave. Length (mi)	1.14	3.376	6.633	10.495	13.442	14.945
Ave. Width (ft)	119.9	241.3	442.4	872.6	1426.8	1581.0
Ave. Area (mi²)	0.0458	0.2220	0.7366	2.1059	3.7797	4.6410

Data is from NUREG/CR-4461, Rev. 2, Tornado Climatology of the Contiguous United States, February 2007, is for contiguous United States (3,020,000 mi²), and spans beginning of 1950 to the end of August 2003 (53.67 years)



Tornado Intensity Distribution

Tornado Area Intensity Distribution for the Point Structure Design Wind Speed **Estimate** Intensity Wind-Speed Recorded Tornado F Scale F Scale (MPH) F0 F1 F2 F3 F4 F5 EF0 65 - 85 0.7720.616 0.529 0.543 0.538 EF1 86 - 110 0.228 0.268 0.2380.223 0.271EF2 111 - 135 0.115 0.133 0.131 0.119 EF3 0.056 136 - 165 0.067 0.07EF4 165 - 200 0.032 0.033 EF5 >200 0.017

The interpretation of Table is as follows: 100 percent of the area impacted by F0 tornadoes has a wind speed in the 65 to 85 mph range. For F1 tornadoes, 22.8 percent of the area has wind speeds in the 86 to 110 mph range, and 77.2 percent of the area has wind speeds in the 65 to 85 mph range.



Tornado Wind Speed Estimates for United States Nuclear Power Plant Sites (MPH)

Table 6-1. NUREG/CR- 4461

			Fujita Scale		Enhanced Fujita Scale		
Index	Power Plant	1E-05	1E-06	1E-07	1E-05	1E-06	1E-07
1	Arkansas Nuclear	198	250	297	160	195	227
2	Beaver Valley	141	203	256	124	164	199
3	Big Rock Point	108	175	229	103	146	183
4	Braidwood	185	240	288	152	188	220
5	Browns Ferry	206	257	303	166	200	232
6	Brunswick	166	221	270	140	178	214
7	Byron	185	240	288	152	188	220
8	Callaway	171	228	278	143	180	213
9	Calvert Cliffs	147	205	256	128	167	202
10	Catawba	168	223	271	142	180	215
11	Clinton	202	254	300	163	198	229
12	Columbia Generating Station	59	143	210	78	127	167
13	Comanche Peak	168	225	275	141	178	211
14	Cooper	209	260	306	168	202	234
15	Crystal River	167	227	278	141	179	212
16	D. C. Cook	202	254	301	163	198	229
17	Davis-Besse	185	240	288	152	188	220
18	Diablo Canyon		74	153		86	134
19	Dresden	185	240	288	152	188	220
20	Duane Arnold	209	260	306	168	202	234
21	Edwin I. Hatch	172	228	278	143	181	213
22	Fermi	185	240	288	152	188	220
23	Fort Calhoun	196	249	296	159	194	226
24	Ginna	150	208	259	130	169	203
25	Grand Gulf	194	247	295	158	193	225
26	H. B. Robinson	158	214	264	135	173	208
27	Haddam Neck	146	204	255	127	166	201
28	Hope Creek	146	205	256	127	166	202
29	Indian Point	146	204	255	127	166	201
30	James A. Fitzpatrick	150	208	259	130	169	203



Tornado Wind Speed Estimates for United States Nuclear Power Plant Sites (MPH)

Table 6-1. NUREG/CR- 4461

	Fujita S cale			Enhanced Fujita Scale		
Power Plant	1E-05	1E-06	1E-07	1E-05	1E-06	1E-07
Joseph M. Farley	179	234	283	148	184	217
Kewaunee	177	232	280	146	183	216
La Salle County	185	240	288	152	188	220
LaCrosse	186	240	289	153	189	221
			256		166	202
						172
						215
						200
						223
						203
						202
						215
						202
Palisades	202		301	163		229
Palo Verde		80	158		89	136
Peach Bottom	139	199	250	123	162	198
Perry	186	240	288	153	188	221
Pilgrim	143	203	254	126	165	200
Point Beach	177	232	280	146	183	216
Prairie Island	192	245	293	156	192	224
Quad-Cities	209	260	306	168	202	234
Rancho Seco		93	168		97	142
River Bend	152	213	265	131	170	204
Salem	146	205	256	127	166	202
San Onofre		113	185		110	153
Seabrook	143	203	254	126	165	200
Sequoyah	186	239	287	154	188	221
Shearon Harris	163	219	268	138	177	212
	132	198		118	161	196
St. Lucie	142	203	255	126	164	198
	Joseph M. Farley Kewaunee La Salle County LaCrosse Limerick Maine Yankee McGuire Millstone Monticello Nine Mile Point North Anna Oconee Oyster Creek Palisades Palo Verde Peach Bottom Perry Pilgrim Point Beach Prairie Island Quad-Cities Rancho Seco River Bend Salem San Onofre Seabrook Sequoyah Shearon Harris South Texas Project	Joseph M. Farley 179 Kewaunee 177 La Salle County 185 LaCrosse 186 Limerick 146 Maine Yankee 79 McGuire 168 Millstone 146 Monticello 190 Nine Mile Point 150 North Anna 147 Oconee 175 Oyster Creek 146 Palisades 202 Palo Verde Peach Bottom Peary 186 Pilgrim 143 Point Beach 177 Prairie Island 192 Quad-Cities 209 Rancho Seco River Bend 152 Salem 146 San Onofre Seabrook 143 Sequoyah 186 Shearon Harris 163 South Texas Project 132	Power Plant TE-05 TE-06 Joseph M. Farley 179 234 Kewaunee 177 232 La Salle County 185 240 LaCrosse 186 240 Limerick 146 205 Maine Yankee 79 156 McGuire 168 223 Millstone 146 204 Monticello 190 242 Nine Mile Point 150 208 North Anna 147 205 Oconee 175 230 Oy ster Creek 146 205 Palisades 202 254 Palo Verde 80 80 Peach Bottom 139 199 Perry 186 240 Pilgrim 143 203 Point Beach 177 232 Prairie Island 192 245 Quad-Cities 209 260 Rancho Seco 93	Power Plant TE-05 TE-06 TE-07 Joseph M. Farley 179 234 283 Kewaunee 177 232 280 La Salle County 185 240 288 LaCrosse 186 240 289 Limerick 146 205 256 Maine Yankee 79 156 214 McGuire 168 223 271 Millstone 146 204 255 Monticello 190 242 289 Nine Mile Point 150 208 259 North Anna 147 205 256 Oconee 175 230 280 Oy ster Creek 146 205 256 Palisades 202 254 301 Palo Verde 80 158 Peach Bottom 139 199 250 Perry 186 240 288 Pilgrim 143 203 254 Point Beach 177 232 280 Prairie Is land 192 245 293 Quad-Cities 209 260 306 Rancho Seco 93 168 River Bend 152 213 265 Salem 146 205 256 San Onofre 113 185 Seabrook 143 203 254 Sequoyah 186 239 287 Shearon Harris 163 219 268 South Texas Project 132 198 253	Teos Teos Teos Teos Teos Teos	Power Plant TE-05 TE-06 TE-07 TE-05 TE-06 Joseph M. Farley 179 234 283 148 184 Kewaunee 177 232 280 146 183 La Salle County 185 240 288 152 188 La Crosse 186 240 289 153 189 Limerick 146 205 256 127 166 Maine Yankee 79 156 214 85 134 McGuire 168 223 271 142 180 Millstone 146 204 255 126 165 Monticello 190 242 289 155 191 Nine Mile Point 150 208 259 130 169 North Anna 147 205 256 128 167 Oconee 175 230 280 145 182 Oyster Creek 146 205 256 127 166 Palisades 202 254 301 163 198 Peach Bottom 139 199 250 123 162 Perry 186 240 288 153 188 Pigrim 143 203 254 126 165 Point Beach 177 232 280 146 183 Prairie Island 192 245 293 156 192 Quad-Cities 209 260 306 168 202 Rancho Seco 93 168 97 River Bend 152 213 265 131 170 Salem 146 205 256 127 166 San Onofre 113 185 110 Seabrook 143 203 254 126 165 Sequoyah 186 239 287 154 188 Shearon Harris 163 219 268 138 177 South Texas Project 132 198 253 118 161



Tornado Wind Speed Estimates for United States Nuclear Power Plant Sites (MPH)

Table 6-1. NUREG/CR- 4461

			Fujita So	cale	Enhanced	l Fujita Scale	
Index	Power Plant	1E-05	1E-06	1E-07	1E-05	1E-06	1E-07
61	Summer	158	214	264	135	173	208
62	Surry	147	205	256	128	167	202
63	Susquehanna	157	213	262	134	173	209
64	Three Mile is land	139	199	250	123	162	198
65	Trojan		84	161		92	138
66	Turkey Point	128	191	245	116	157	191
67	Vermont Ynakee	146	204	255	127	166	201
68	Vogtle	158	214	264	135	173	208
69	Waterford	152	213	265	131	170	204
70	Watts bar	186	239	287	152	188	221
71	Wolf Creek	208	259	305	167	201	233
72	Yankee-Rowe	146	204	255	127	166	201
73	Zion	202	254	301	263	298	229

High Wind PSA





Overview of Methods and Results

- Design for high winds does not meet the 1975 standard Review Plan; many key structures are steel buildings with light metal siding.
- The event (high wind) could not be screened out at the hazard frequency level.
- PSA performed in several "phases" starting with very conservative assumptions and providing refinement of value.



Overview of Methods and Results (continued)

- Wind hazard and fragility analyses of IPPSS* were reviewed and updated. A new event tree based approach was used to define a set of unique wind induced plant states and their frequencies were evaluated.
- The core damage frequency resulting from each wind induced damage state was then quantified by modifying the internal event plant logic.



Wind Hazard Analysis

- Detailed wind hazard analysis was performed. The methods and data used in the PSS have not changed over the years.
- Wind speed exceedance frequency curves for four principal directions (north, south, east and west) were provided for each of the high wind events (tornadoes, hurricanes and extratropical cyclones).
- Uncertainty in the wind hazard is represented by means of a family of five hazard curves (lower, mid-lower, median, mid-upper and upper confidence curves).



Combined Wind Speed Exceedance Frequencies - Plant West Direction

		Windspeed Exceedance Frequencies Per Year			
Vi* Fastest Mile mph (m/s)	Hazard Curve	Hurricane	Extratropical Cyclone	Tornado	Combined Extratropical Cyclone and Tornado
60 (27)	Lower	5E-4	4E-7	-	4.0E-7
	Median	6E-3	2E-3	-	2.0E-3
	Upper	3E-2	4E-2	-	4.0E-2
80 (36)	Lower	2E-5	-	8E-7	8.0E-7
	Median	3E-4	4E-5	9E-5	1.3E-4
	Upper	1E-3	4E-3	5E-4	4.5E-3
100 (45)	Lower Median Upper	2E-7 4E-6 2E-5	1E-6 5E-4	4E-7 7E-6 2E-4	4.0E-7 8.0E-6 7.0E-4
125 (56)	Lower	-	-	1E-7	1.0E-7
	Median	-	-	3E-6	3.0E-6
	Upper	1E-7	2E-5	7E-5	9.0E-5
150 (67)	Lower Median Upper	- - -	- 2E-6	5E-8 2E-6 3E-5	5.0E-8 2.0E-6 3.2E-5
200 (90)	Lower	-	-	-	-
	Median	-	-	3E-7	3.0E-7
	Upper	-	-	2E-6	2.0E-6

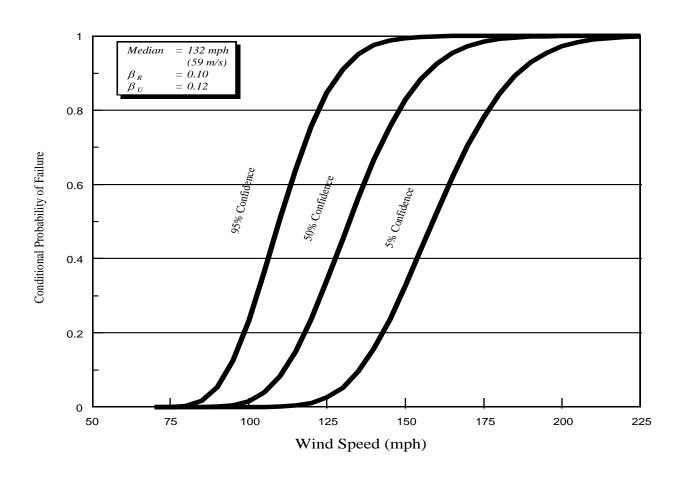


Wind Fragilities

- Wind fragilities for key structures were calculated by reviewing building design drawings and wind design calculations, and the information obtained from the metal siding manufacturer.
- Various modes of failure of these structures were assessed for extreme wind loadings in each of the four principal wind directions.
- Analysis considered building shape factors, roofing and siding failures from impinging winds and negative pressures, and building frame and anchor failures.
- Funneling and shielding effects of these buildings were also considered.



Wind Speed Fragility Curves





Wind Fragilities of Key Structures For West Direction

Structure	Median Capacity mph (m/s)	βr	βυ
S1 - Gas Turbine 1 Shelter	83 (37)	0.15	0.20
S3 - Aux. Feed Pump Bldg.	222 (99)	0.10	0.16
S4 - Control Bldg.	167 (75)	0.10	0.10
S5 - PAB (metal portion)	174 (78)	0.10	0.05
S6 - Diesel Gen. Bldg.	132 (59)	0.10	0.12
S7 - Stack	360 (161)	0.10	0.12
S12 - Unit 1 Turbine Bldg.	200 (89)	0.07	0.07
S13 - Unit 2 Turbine Bldg	170 (75))	0.10	0.07
S14 - Gas Turbine 3 Shelter	83 (37)	0.15	0.20



Wind Damage Event Tree

- Wind induced failures were evaluated separately from random equipment failures using an event tree logic approach with the objective of identifying and quantifying a unique set of wind damage states.
- Components not susceptible to wind damage, but only susceptible to tornado missile damage (e.g. RWST) were excluded; tornado missile damage probability was separately estimated.



Wind Damage Event Tree (continued)

- Consequential effect of a structural failure on loss of function of equipment located in another structure was considered:
 - One building collapsing on to another
 - Loss of supporting equipment in the damaged structure rendering the loss of equipment function in another

			Gas Turbine 2&3 Shelters	Gas Turbine 1 Shelter	Primary Auxiliary Building	Auxiliary Feedwater and Building	Generator Building	Building	Superheater Stack	Turbine Building	Unit 1 Super Heater Bldg and Turbine Bldg	ind Direction Plant West
Р	SEQ-DESC	#	S14	S1	S5	S3	S6	S4	S7	S13	S12	ww
v	ww	1										
W	WWS14	2										
W	WWS1	3										
W	WWS1S14	4										
W	WWS5	5										
W	WWS5E14	6										
W	WWS5E1	7										
. v	WWS5S1S14	8										
W	WWS3	9										
W	WWS3E5	10										
W	WWS6	11										
W	WWS6S14	12										
W	WWS6S2	13										
· v	WWS6S1S14	14										
W	WWS6S5	15										
· v	WWS6S5S14	16										
W	WWS6S5S1	17										
314 W	WWS6S5S1S14	18										
W	WWS6S3	19										
W	WWS4	20										
W	WWS4S3	21										
W	WWS7	22										
W	WWS7S5	23										
W	WWS7S3	24										
W	WWS7S3S5	25										
W	WWS7S6	26										
W	WWS7S6S3	27										
W	WWS7S4	28										
W	WWS7S4S3	29										
W	WWS13	30										
W	WWS13S3	31										
W	WWS12	32		,								
W	WWS12S13	33				<u> </u>	-	-			-	



Wind Plant Damage States

PDS	Description
W01	EDG power and control failed, GTs failed, AFW failed
W02	EDG power and control failed, GTs failed, AFW success
W03	AFW failed, PAB failed, GTs failed, EDG pwr & ctl success
W04	AFW failed, GTs failed, EDG power and control success
W05	PAB failed, GTs failed, EDG pwr & ctl success, AFW success
W06	GTs failed, PAB success, EDG pwr & ctl success, AFW success
W07	GT1 failed, PAB failed, EDG pwr failed, GT2&3 and AFW success
Etc.	

W01 and W02 lead directly to CD, others need additional failures



Mean Annual Frequencies of Wind Damage State from Phase 1 Quantification (Tornado and Extratropical Storms)

Plant Damage	WIND DIRECTION					
States	North	East	South	West		
w01	9.51E-7	5.74E-7	5.27E-7	8.60E-7		
w02	1.08E-5	1.16E-5	8.37E-6	3.21E-5		
w10	1.27E-6	1.09E-6	7.69E-7	1.25E-6		
w11	1.27E-6	1.09E-6	7.69E-7	1.25E-6		
w12	7.30E-7	3.73E-7	3.30E-7	4.33E-7		
w17	8.15E-5	3.75E-5	3.34E-5	3.66E-4		
w18	1.68E-4	6.14E-5	7.69E-7	4.32E-4		
w19	1.68E-4	6.14E-5	5.29E-5	4.32E-4		
w20	5.63E-4	1.81E-4	1.60E-4	7.58E-4		



Mean Annual Frequencies of Wind Damage State from Phase 1 Quantification (Hurricanes)

Plant Damage		WIND DIRECTION					
States	North	East	South	West			
w01	3.44E-8	7.00E-11	4.10E-13	2.83E-9			
w02	1.49E-6	1.96E-9	9.43E-9	2.23E-6			
w10	1.02E-6	7.43E-10	8.94E-9	3.10E-7			
w11	1.02E-6	7.43E-10	8.93E-9	3.10E-7			
w12	1.13E-6	5.99E-10	1.37E-8	1.97E-7			
w17	2.28E-4	3.43E-7	4.52E-6	2.77E-4			
w18	6.57E-4	1.10E-6	8.94E-9	4.25E-4			
w19	6.57E-4	1.10E-6	1.70E-5	4.25E-4			
w20	2.55E-3	4.52E-6	7.94E-5	8.22E-4			



Refinement of Fragilities and Sequence Modeling

- Sensitivity studies on Phase 1 results showed that the failures of turbine building and the diesel generator building dominate the contribution to core damage frequency.
- The wind capacity of the turbine building was refined by accounting for the likelihood that part of the siding will blow away at lower wind speeds thereby reducing the wind loading on the structure. When this was done, it was found that the contribution of the turbine building failure to the core damage frequency was substantially reduced.



Refinement of Fragilities and Sequence Modeling (continued)

- Diesel generator building roof failure was judged to lead to total failure of DG in 70% of the time.
- Consider the distances between the EDG building and Gas Turbines 2 and 3, the probability of a tornado simultaneously striking and damaging the EDG building and the three gas turbines was estimated to be 0.14 (not 1.0 as assumed in Phase 1 quantification).



Wind Induced CD Calculated

- Wind damage states propagated through internal events event trees
 - Internal events event trees modified to account for dependencies associated with each wind damage state, e.g.:
 - Offsite power assumed to always be lost
 - EDG assumed failed for w01, w02, w10, w11, and w12
 - EDG mission times increased from 6 hours to 24 hours



Final Core Damage Frequency From Wind Damage

States

CORE DAMAGE FREQUENCY FROM WIND DAMAGE STATES				
Wind Damage State	Mean Core Damage Frequency / yr			
Tornadoes				
IE Name: w01TT	Total: 2.4900E-06			
IE Name: w02TT	Total: 1.0599E-05			
IE Name: w10TT	Total: 7.4718E-07			
IE Name: w11TT	Total: 8.6480E-08			
IE Name: w12TT	Total: 1.6725E-07			
IE Name: w17TT	Total: 2.9930E-07			
IE Name: w18TT	Total: 1.0864E-06			
IE Name: w19TT	Total: 7.7233E-07			
IE Name: w20TT	Total: 5.8171E-07			
	Total from Tornadoes 1.683E-5			
Hurricanes				
IE Name: w01HT	Total: 3.3498E-08			
IE Name: w02HT	Total: 1.7810E-07			
IE Name: w10HT	Total: 2.0632E-08			
IE Name: w11HT	Total: 3.6022E-08			
IE Name: w12HT	Total: 1.1050E-08			
IE Name: w17HT	total: 4.7359E-07			
IE Name: w18HT	Total: 3.3732E-07			
IE Name: w19HT	Total: 4.6580E-07			
IE Name: w20HT	Total: 8.7974E-07			
	Total from Hurricanes 2.4E-06			
Extratropical Cyclone				
IE Name: w01CT	Total: 1.0800E-08			
IE Name: w02CT	Total: 7.5592E-06			
IE Name: w10CT	Total: 4.3293E-07			
IE Name: w11CT	Total: 7.0093E-07			
IE Name: w12CT	Total: 1.4437E-07			
IE Name: w17CT	Total: 9.3848E-07			
IE Name: w18CT	Total: 3.7638E-07			
IE Name: w19CT	Total: 2.6967E-07			
IE Name: w20CT	total: 6.8021E-07			
	Total from Extratropical Cyclones 1.1E-05			
Total Contribution to CDF From High Winds	3.03E-05			



Summary of Major Contributors

- Tornadoes and extratropical cyclones are the major contributors to total core damage frequency (28%).
- The dominant accident scenarios occur due to wind damage state WO2, which represents loss of normal and emergency power with auxiliary feed available.
- Dominant structural failures are: the Emergency Diesel Generator Building and the Gas Turbine shelters, with lower contributions from the Turbine Building and Control Building.
- There exists no single (or a small set of) structure or component especially vulnerable to high winds. No upgrade of the plant structures was identified which would cost effectively reduce the wind induced risk contribution.

External Floods





External Floods

- NRC Regulatory Requirements:
 - 10 CFR Part 50 Appendix A Criterion 2, 10 CFR Part 100, 10 CFR Part 100 Appendix A
- Other Related Documents
 - Regulatory Guide 1.27, 1.59, 1.102
 - SRP No. 2.4.2, 2.4.3, 2.4.4, 3.4.1
- References:
 - Design-Basis Flood Estimation for Site Characterization at Nuclear Power Plants in the United States of America, NUREG/CR- 7046, PNL-20091
 - Uncertainty Analysis for Large Dam Failure Frequencies Based on Historical Data – Ferrante, F.; PSAM11 Conference.
 - USACE (2011) National Inventory of Dams. US Army Corps of Engineers, http://nid.usace.army.mil.



Flooding Phenomena

- Flooding due to intense local precipitation and runoff effects.
- Stream or river flooding.
- Dam failures.
- Coastal and estuarine flooding.
- Tsunami flooding.
- High water level, wave effects, surges, seiche.



Flooding Terminology

- PFHA Probabilistic Flood Hazard Assessment
- PMF Probable Maximum Flood
- PMP Probable Maximum Precipitation
- PMSS Probable Maximum Storm Surge
- PMH Probable Maximum Hurricane
- PMWS Probable Maximum Windstorm
- PMS Probable Maximum Seiche
- PMT Probable Maximum Tsunami



Flooding Reviewed in FSAR or SER

- Example of nonconformance to SRP criteria: estimation of the probable maximum precipitation (PMP) at the site was based on earlier or other information sources; e.g., - Hydrometerological Report (HMR)-33 (1956).
- New PMP criteria developed by the National Weather Service, presented in Generic Letter No. 89-22.
 - Reanalysis of potential flooding impacts based on more recent HMRs; e.g., HMR-51 and HMR-52.



Example from the IPEEE

- Some of the calculations of the PMF due to runoffs were based on the PMP data from HMR-33.
- Maximum flood water level below door sills of safety-related buildings.
- Using data from HMR-51 and HMR-52, flood water would rise above the door sills of three safety-related buildings.
- Internal flood depths calculated were shown to be below the location of safety-related equipment in the buildings.



Major Elements of External Flooding PRA

- Flooding hazard analysis
- Evaluation of flooding pathways, water level, and damage to SSCs
- Plant and system response analysis including quantification

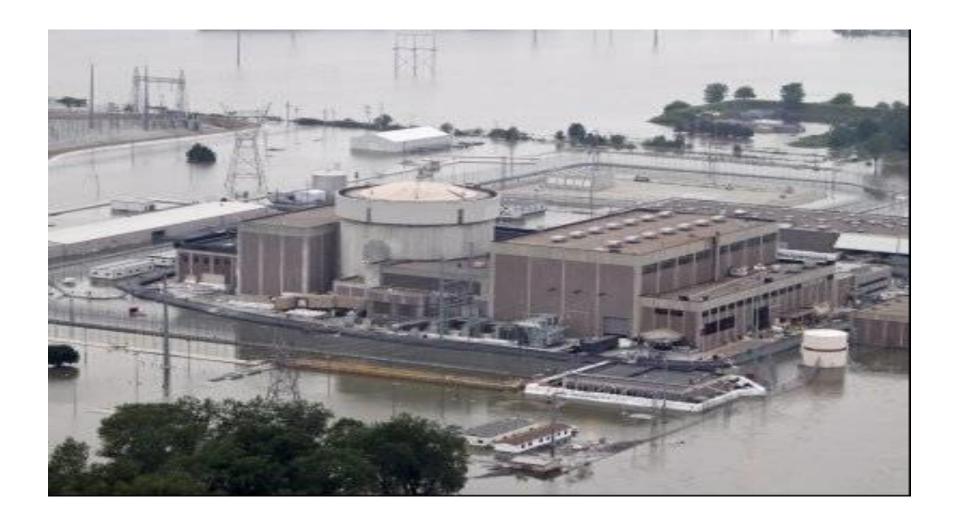






















• Mindset:

"During identification and evaluation of flood barriers, unsealed through wall penetrations in the outside wall of the intake, auxiliary and chemistry and radiation protection buildings were identified that are below the licensing basis flood elevation. A summary of the root causes included: a weak procedure revision process; insufficient oversight of work activities associated with external flood matters; ineffective identification, evaluation and resolution of performance deficiencies related to external flooding; and 'safe as is' mindsets relative to external flooding events."

Transportation Accidents





Transportation Accidents

- NRC Regulatory Requirements:
 - 10 CFR Part 50.34, 10 CFR Part 100, 10 CFR Part 100.10
- Other Related Documents
 - Regulatory Guide 1.78, 1.91, 1.95
 - SRP No. 2.2.1, 2.2.2, 2.2.3, 3.5.1.5, 3.5.1.6
- NRC regulatory guides are deterministic in nature; for example:
 - Shipment of hazardous material of certain weight, below certain frequency.
 - Peak positive incident overpressure below 1 psi from an explosion on transportation route.
 - Plant is at least 2 statute miles beyond the nearest edge of a federal airway, holding pattern, or approach pattern.



Transportation Accidents (continued)

- Modes of Transportation
 - Air Traffic (commercial, general, military)
 - Ground Traffic (on roads and railways)
 - Water Traffic (ship and barge)
 - Pipeline (gas and oil)
- Transportation accidents were evaluated at the time of OL issuance and are documented in the FSAR and SER.
- Plants designed against NRC's current criteria should have no significant vulnerability to severe accidents from transportation accident events.
- Updated analysis may be required due to changes since the original design.
- Older plants may not meet NRC's current criteria.

Example for Aircraft hazards





Aircraft Hazards

- If acceptance criteria for siting with respect to aircraft hazards are no longer true, then detailed review of aircraft hazards must be performed.
- Procedure for estimating the frequency of aircraft crashing into the plant P_{FA} is provided in the SRP No. 3.5.1.6:
 - For airways: P_{FA} = C N A/w
 - C = in-flight crash rate per mile for aircraft using airway.
 - N = number of flights per year along airway.
 - A = effective area of plant in square miles.
 - w = width of airway in miles (plus twice the distance from the airway edge to the site when site is outside the airway) or (1/w is defined as Lateral Crash Density).



Aircraft Hazards (continued)

- Source of aviation accident data is the NTSB, and source of information on airport activity and flights is the FAA.
- Aircraft hazard analysis must be updated using current data: number of operations/flights and aircraft crash rates.



Aircraft Impact Evaluation (1978)

Airway	Distance to Neurest Sufety Related Struc- ture (ml)	Type of Operation.	Number of Flights per year	Crasii Rale (mi ⁴)	Effective impact Area (m²)	Lateral Crash Density (mi ⁻¹)	Impact Probability
V-16	4.5	Air Carrier	27,740	5.9 x 10 ⁻¹⁰	0.05	6 x 10-4	5x10 ⁻¹⁰
		Military	5,110	1.2 x 10 ⁻⁴	0.04	5.6 x 10 ⁻³	1.4 x 10 ⁻⁴
		General Aviation	107,300	9.8 x 10 ⁻⁴	0.01	1.2 x10 ⁻⁴	1.3 x 10 ⁻⁴
V - 461	3.2	General Aviation	100	9.8 x10 ⁻⁸	0.01	1.7 x 10 ⁻³	2x10 ⁻¹⁰
J - 65	4.5	Air Carrier	37,230	5.9 x 10 ⁻¹⁰	0.05	6.0 x10 ⁻⁴	7x10 ⁻¹⁰
J- 4	2.9	Air Carrier	37,230	5.9 x 10 ⁻¹⁰	0.05	7.7 x 10 ⁻³	8.5x 10 ⁻⁹
LAFB	12.5	Military	54,800	1.2 x 10-4	0.04	1.9 x10 ⁻⁶	<1x10 ⁻¹⁰
IR218	12.6	Military	180	1.2 x 10 ⁻⁴	0.04	1.7 x 10 ⁻⁶	<1x10 ⁻¹⁰
IR272	12.4	Military	500	1.2 x 10 ⁻⁴	0.04	2.1 x 10 ⁻⁶	<1x10 ⁻¹⁰
PVNOS(a)	0.13	Helicopter	1,000	4.9 x 10 ⁻⁷ (a)		7.5 x 10 ^{-5 (a)}	3.7 x 10 ⁻⁴
TOTAL	·	· · · · · · · · · · · · · · · · · · ·		<u> </u>			7.4 x 10 ⁻⁸

Notes to Table 5.3-2: (a) PVNGS data refers to helicopter traffic at the PVNGS helipad. For this airway only the crash rate is given in crashes per takeoff or landing operation (rather than per mile), and the value listed in the lateral crash density column represents the conditional probability of striking a safety related structure.



Aircraft Impact Evaluation (1993)

Airway	Distance to Nearest Safety Related Structure (mi)	Type of Operation	Number of Flights per year	Crash Rate (mi ⁻¹)	Effective Impact Atea (mi ²)	Lateral Crash Density (mi ⁻¹)	Impact Probability
V-16	4.5	Air Carrier	33,116	1.18 x 10 ⁻⁹	0.05	6 x 10 ⁻⁴	1.2 x 10 ⁻⁹
		Military	0	2.36 x 10 ⁻⁴	0.04	5.6 x 10 ⁻³	0
		General Aviation	18,148	1.41 x 10 ⁻⁷	0.01	1.2 x 10 ⁻⁴	3.1 x 10 ⁻⁹
V - 461	3.2	General Aviation	100	1.41 x 10 ⁻⁷	0.01	1.7 x 10 ⁻³	2.4x 10 ⁻¹⁰
J - 65	4.5	Air Carrier	44,480	1.18 x 10 ⁻⁹	0.05	6.0 x 10 ⁻⁴	1.6 x 10 ⁻⁹
J- 4	2.9	Air Carrier	44,480	1.18 x 10 ⁻⁹	0.05	7.7 x 10 ⁻³	2.0 x 10 ⁻⁸
LAFB	12.5	Military	42,800	2.36 x 10 ⁻⁸	0.04	1.9 x 10 ⁻⁶	8x 10 ⁻¹¹
IR218	12.6	Military	180	2.36 x 10 ⁻⁸	0.04	1.7 x 10 ⁻⁶	$< 1 \times 10^{-10}$
IR272	12.4	Military	0	2.36 x 10 ⁻⁴	0.04	2.1 x 10 ⁻⁶	0
PVNGS(=)	0.13	Helicopter	100	4.9 x 10 ⁻⁷ (a)		7.5×10^{-5} (a)	3.7 x 10 ⁻⁹
TOTAL					<u> </u>	·	3.0 x 10 ⁻⁸

Notes to Table 5.3-3: (a) PVNGS data refers to helicopter traffic at the PVNGS helipad. For this airway only the crash rate is given in crashes per takeoff or landing operation (rather than per mile), and the value listed in the lateral crash density column represents the conditional probability of striking a safety related structure.



Aircraft Hazards (continued)

- Number of Allowed Operations per 10CFR100.20
 Airport within 5 10 miles of site
 - Number of Allowed Operation = 500 * D^2
- Number of Allowed Operations
 Airport Beyond 10 miles of site
 - Number of Allowed Operation = 1000 * D^2
 Where
 - D = distance airport located from the site



Annual Operations at Airports Near Site

Airport	Closest Distance and Orientation between the Airport and PVNGS (mi)	1978 Estimated No. of Annual Operations	1993 Estimated No. of Annual Operations	Regulatory Guide 1.70 Allowable Number of Operations ⁽¹⁾	
Buckeye Municipal Airport	10.8	6,000	100,000	116,000	
Pierce Airport	15.5 E	23,586	23,586	240,250	
LAFB	32.8 ENE	100,000	300,000	1,075,840	
Empire Machinery Airstrip	5.3 NNW	8,600	< 100	14,045	
APS Helipad	Onsite	1,000 ^(b)	< 50	па	

Notes to Table 5.3-4: (a). 500 d² for airports located between 5 and 10 miles; 1000 d² for airports located more than 10 miles, d is distance from plant in miles. (b). Estimated annual activity after PVNGS becomes operational.



Aircraft Hazards - Other Methods

- Extension of NUREG-0800 Method
 - Solomon, K.A., Analysis of Ground Hazard due to Aircraft and Missiles, RAND/P-7459, June 1988

$$P(x) = (1/2)^* \gamma^* Exp(-\gamma |x|)$$

- γ crash density constant (per mile)
- x orthonormal distance from the intended path to the site
- Ove Arup & Partners Hong Kong Ltd FAA Air Traffic Activity System (ATADS)
 - Environmental Impact Assessment (EIA) Report 24037-REP-125-01
 - Aircraft impact frequency for the Airport Fuel Tank Farm at the Hong Kong International Airport



Aircraft Hazards – Other Methods (Continue) Ove Arup & Partners (Continue)

 Ove Arup & Partners Hong Kong Ltd FAA Air Traffic Activity System (ATADS)

 $F = (Crash Rate X N X f(R, \theta))$

x Proportion of flights in specified direction

x Proportion of flights using specified runways

x Target Area

Where

$$f(R, \theta) = 0.23Exp(-R/5)Exp(-\theta/5)$$

R = the Radial distance in kilometers from the runway end

 θ = the angle in degrees between and runway centerline



Aircraft Hazards - Other Methods (Continue)

- By V. A. Kostikov using the Poisson distribution method
- The probability of damage to an object on the ground in the case when the aircraft breaks up in flight

$$P_{1}^{d} = \frac{S_{ob}}{S_{fall}^{1}} \, \frac{\sqrt{4S_{ob}/\pi}}{\pi(L_{2} - L_{1})}$$

 S_{ob} = the area of the object; S_{fall}^1 = the area over which the fragments of the aircraft are spread L_1 and L_2 = the average distances over which the fragments (heavy and light) are carried



Aircraft Hazards – Other Methods (Continue) By V. A. Kostikov (continue)

The probability of damage to an object struck by an out-of-control aircraft

$$P_2^d = \frac{S_{ob}}{S_{fall}^2} \, \frac{\sqrt{4S_{ob}/\pi}}{2\pi R_e}$$

 S_{fall}^2 = the area of the possible fall zone;

 S_{ob} = the area of the object;

R_e = the radius of the accessible range, determined from ballistic properties of the aircraft, the flight altitude, and possible deviations from the flight path.



Aircraft Hazards References

- NUREG-0800 Standard Review Plan for the Review of Safety Analysis Reports for Commercial Nuclear Power Plants, Rev. 4, US NRC, March 2010
- Solomon, K.A., Analysis of Ground Hazard due to Aircraft and Missiles, RAND/P-7459, June 1988
- Smith, R.E., Methodology for Calculation of the Probability of Crash of an Aircraft into Structures in Weapon Storage Areas, SAND/82-2409, February 1980
- US DOE, Accident Analysis for Aircraft Crash into Hazardous Facilities, DOE-STD-3014-96, October 1996
- Haley, T.A., Progression and Advancement of Aircraft Hazard Analysis Models, PSAM 4, Volume 3, 1998
- Phillips, D.W., "Criteria for the rapid assessment of the aircraft crash rate onto major hazard installations according to their location," United Kingdom Atomic Energy Report SRD/HSE R 435, 1987
- Kostikov, V. A., et. al., "Determination of the Probability of an Aircraft Falling on a Nuclear Power Plant," State Scientific Research Institute of Civil Aviation, FÉI, (translated from Atomnaya Énergiya, Vol. 74, No. 1, pp. 53–58, January, 1993)
- Berg, H.-P., "Risk Assessment of Aircraft Crash onto a Nuclear Power Plant," Bundesamt für Strahlenschutz, Salzgitter, Germany, RT&A # 01 (20) (Vol. 2), March 2011



Ground Transportation Accidents (Road, Railroad, and Waterway)

- Transportation accident resulting in an explosion, missiles generated by an explosion and/or release of hazardous materials.
- Hazards associated with transportation accidents (on roads, railroads, and waterways) must be reviewed and reevaluated using current data: types of hazardous materials, number of shipments of hazardous materials, vehicle accident rates, etc.
- NUREG/CR-5042, "Evaluation of External Hazards to Nuclear Power Plants in the United States," Lawrence Livermore National Laboratory, December 1987.
- NUREG/CR-5042, Supplement 1, "Evaluation of External Hazards to Nuclear Power Plants in the United States, Seismic Hazards" Lawrence Livermore National Laboratory, April 1988.
- NUREG/CR-5042, Supplement 2, "Evaluation of External Hazards to Nuclear Power Plants in the United States, Other External Events," Lawrence Livermore National Laboratory, February 1989.

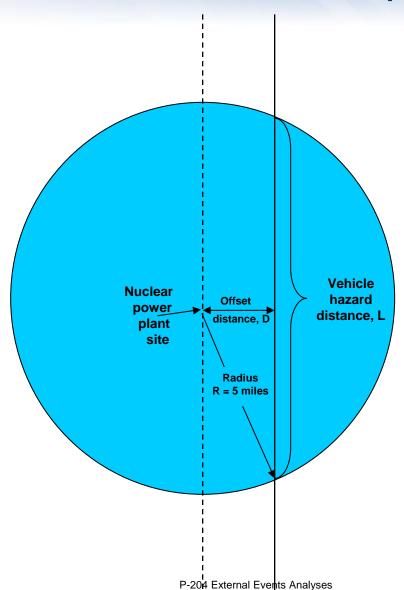


Elements of Transportation Risk Evaluation due to Releases

- Frequency of transportation accident within 5 miles of plant is dependent on:
 - Vehicle hazard distance, L, which is distance traveled by vehicle within 5 miles of plant.
 - Number of vehicles travel on road/railroad/waterway per year.
 - Vehicle accident rate (per vehicle-mile).
- Proportion of the vehicles carrying hazardous materials involved in accidents that results in releases.
- Probability of diffusion of hazardous materials from accident site towards the plant.
- Probability of control room not isolated from the hazardous materials released from the accident site.
- 2,973 billion miles per year and 5.6 million crashes per year



Calculation of Hazard Distance (L)



$$R^2 = \left(\frac{L}{2}\right)^2 + D^2$$

$$25 = \frac{L^2}{4} + D^2$$

$$L = (100 - 4D^2)^{1/2}$$



Travel Statistic

2013 Data from Traffic Safety Facts Research

- Total miles driven = 2,973 Billion
- Total Accident = 5.6 Million (fatal, non-fatal, property damage)
- Rate of Accident = 5.6E6/2.973E12 = 1.9E-6/mile



Other Transportation Accident Modes

- Vehicle hitting a plant structure or offsite structure.
 - Truck traffic movement within the plant boundaries is controlled and infrequent.
- Ship or barge colliding with intake structure.



Pipeline (Oil and Gas) Accidents

- Hazards associated with pipeline accidents:
 - Release of hazardous materials towards the plant.
 - Pressure waves from explosion cause damage to plant.
 - Debris or missile generated from explosion cause damage to plant.
- Only high pressure pipelines going through or near the plant exclusion area pose a threat to the plant.



Nearby Industrial/Military Facilities Accidents

- Examples of accidents are:
 - Chemical plant fires and/or explosions.
 - Munitions plant explosions and/or fire.
- Effect of accidents on power plants similar to that of transportation accident.
 - Explosion overpressure causing damage to the plant.
 - Debris and missiles causing damage to the plant.
 - Hazardous materials release towards plant.
- For plants located near industrial sites, industrial accidents were considered in the licensing of the plant.
- Evaluate the impact of new industries (built since the issuance of OL) in the vicinity of plant.



On-Site Storage of Hazardous and Explosive Materials

- Examples of hazardous and explosive materials at the site:
 - Chlorine, hydrazine, etc.
 - Hydrogen tanks, propane tanks, etc.
- Release of hazardous materials affect control room habitability.
- Explosion may cause damage to safety-related structure, equipment, etc.
- Evaluation required for issuance of operating license.
- Changes since the issuance of OL must be evaluated.



Other External Events

- Lightning
 - Primary impact of lightning is loss of offsite power.
 - May affect safety-related equipment and cause reactor trip.
 - Unlikely to affect onsite power and other safety system.
 - Impact may be bounded by loss of offsite power or reactor trip events.
 - Need to review site-specific lightning events to confirm impact of lightning.
 - Five lightning events through December 2010 (LERs) resulted in LOOP events



- Severe Temperature Transients (Extreme Heat, Extreme Cold)
 - May impact the capacity of Ultimate Heat Sink and offsite power supply.
 - May also freeze instrument lines.
 - NUREG-1407 concluded that events need not be addressed in IPEEE.
 - Need to review site specific events and impact.
- Severe Weather Storm (Ice Storms, Hail Storms, Snow Storms, Dust/Sand Storms)
 - May cause loss of offsite power.
 - NUREG-1407 concluded events need not be addressed in IPEEE.
 - Need to review site specific events and impact.



- Example of Evaluation of Dust and Sand Storm for PVNGS
 - Performed dust concentration evaluation.
 - Dust buildup on switchyard insulators reduced by insulator configuration in transmission lines. Little contribution to line outage frequency from dust storm.
 - Design of diesel generator and control room ventilation and diesel generator intake and exhaust system adequate in protecting dust and sandstorms.
 - The UHS is adequately designed against dust and sandstorm.
 - Essential HVAC and control room HVAC systems are adequately designed against dust and sand storm.



- External Fires (Forest Fires, Grass Fires)
 - Fires occurring outside the plant site boundary.
 - May cause loss of offsite power and forced plant ventilation isolation.
 - Unlikely to spread onsite.
 - NUREG-1407 concluded that events need not be addressed in IPEEE.
 - Only one event reported; LOOP due to external fire.
- Extraterrestrial Activity (Meteorite Strikes, Satellite Falls)
 - Probability of a meteorite strike is insignificant.
 - NUREG-1407 concluded that events need not be addressed in IPEEE based on its low initiating event frequency.



Extraterrestrial Activity

- # of Meteorite Strikes 2 in 100 yrs
- Area of Impact = 500 mi^2
- Surface Area of Earth = 2E8 mi^2

Impact probability at site = ?



- Volcanic Activity
 - Active volcanoes in the continental U.S. are located in the Cascade mountain range.
 - Trojan and Columbia could be affected by volcanic activity.
 - Potential impacts include forced plant ventilation isolation, debris in the UHS, and ash fall accumulation on roof top.



- Example of Volcanic Activity Evaluation for Columbia
 - Columbia is located 165 km from Mt. Adams and 220 km from Mt. St. Helens.
 - Major threat from volcanic eruption is from the ash fall.
 - A review of the plant design was conducted after the eruption of Mt.
 St. Helens in 1980; Columbia was under construction.
 - Procedures were written for implementation in the event of volcanic eruption.

Columbia Design Basis Ash Fall Parameters





Design Basis Ash Fall Parameters

Parameter [Units]	Mt. St. Helens 1980	FSAR	SER	
Maximum Ash fall [in] uncompacted	2.75	5.00	7.4	
Maximum Ash fall [in] compacted	1.65	3.00	3.00	
Ash fall Duration [hrs.]	20	20	20	
Ash fall Rate [in/hr] Average	0.14	0.25	0.35	
Ash fall Rate [in/hr] Maximum	0.21	0.35	0.44	
Average Grain Size [µm]	75	75	75	
Density [lb/ft³] compacted	96	96	96	
Compaction [%]	40	40	60	
Air Concentration [μgm/m³] Average	69,795	124,634	174,488	
Air Concentration [μgm/m³] Maximum	104,693	155,7 93	219,536	

Concluding Observations





Concluding Remarks on Other External Events

- Newer plant and facilities design meets the 1975 SRP criteria.
- Older plant design were evaluated to determine if it meets the 1975 SRP criteria.
- Identify and evaluate significant changes at the site, if any, since OL issuance that affect original design conditions.



Insights from HFO IPEEE Review

- Level of analysis varied widely from plant to plant (from simplistic screening methods to PRA/bounding analysis).
- Most of the HFO IPEEE studies used qualitative screening method.
- None of the submittals identified a vulnerability.
- CDF from high winds/tornadoes varies from 6E-5 to 2E-7/yr. CDF from external flooding varies from 7E-6 to 2E-8/yr.
- Many submittals just used the IPE CCDP, given LOSP and loss of service water without modeling the specific significant impacts of high winds or floods. CDF may be underestimated.
- Risk associated with high winds and external floods for those plants located in coastal areas or along rivers is of concern for a few plants.
- Potential failures of upstream dams leading to flooding of the plant site were considered in a few submittals.



Insights from HFO IPEEE Review (continued)

- Given the large uncertainties in the site specific hazard curves for external floods, screening may have been premature in some cases. A flood level just a few inches (or less) below the failure-incipient level might have a frequency of one or two orders of magnitude greater than the hazard for the failure-incipient level.
- High winds and external floods have motivated improvements at certain plant sites. External floods accounted for about 50% of the plant improvements.
- Some licensees implemented plant modifications/procedural changes (flood protection at entry pathways, door seals, sandbagging, etc.).
- Accidents related to transportation and nearby facilities have been screened out in all of the IPEEE submittals.
- Plant-unique hazards such as lightning (8E-6/yr) and snow/ice loads (7E-6/yr) were reported in one submittal to result in non-negligible risk. Chemical release from a nearby facility (8E-6/yr) was reported in another submittal.



Key Background Documents for Other External Events

- NUREG-75/087, "Standard Review Plan for the Review of Safety Analysis Report for Nuclear Power Plants," December 1975 (Updated SRP NUREG-0800, July 1981).
- NUREG/CR-2300, "PRA Procedures Guide," January 1983
- NUREG/CR-4839, "Methods for External Event Screening Quantification: Risk Methods Integration and Evaluation Program (RMIEP) Methods Development," March 1992.
- NUREG/CR-5042, "Evaluation of External Hazards to Nuclear Power Plants in the United States," December 1987.
- NUREG/CR-5042, Supplement 2, "Evaluation of External hazards to Nuclear Power Plants in the United States, Other External Events," February 1989.
- NUREG-1407, "Procedural and Submittal Guidance for the Individual Plant Examination of External Events (IPEEE) for Severe Accident Vulnerabilities," Final Report, June 1991.
- NUREG-1742, "Perspectives Gained from the Individual Plant Examination of External Events (IPEEE) Program," April 2002
- ANSI/ANS-58.21-2007, "External Events PRA Methodology Standard," March 1, 2007.

Seismic Risk Assessment





Learning Objectives

- After studying this section you should be able to:
 - Describe fundamental differences between the seismic PRA and seismic margin approaches.
 - Define key terms such as hazard curve, fragility curve, and HCLPF.
 - Understand how seismic PRA and seismic margin methodology and procedures can be used in risk informed applications.

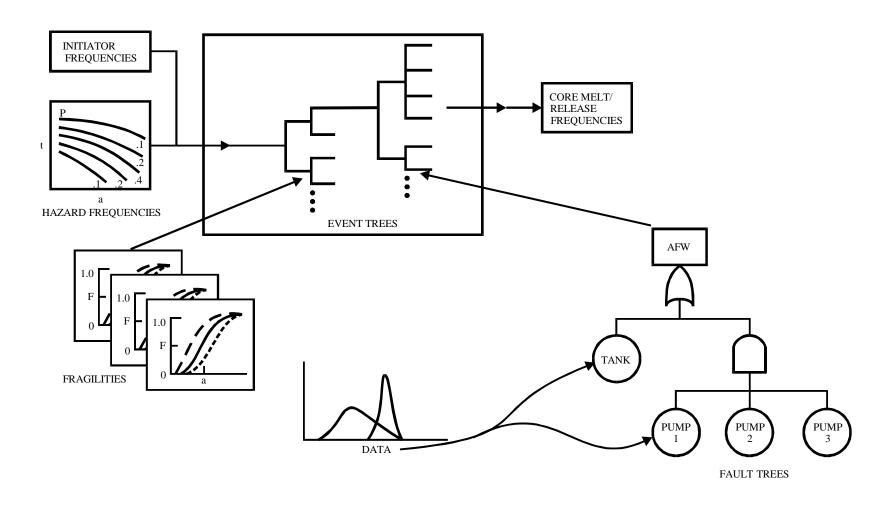


Outline of Seismic Analysis Presentation

- Seismic Analysis Methods Overview
 - Terminology
- Seismic Margin Approach
- Seismic Hazard Evaluation
- Seismic Fragility Evaluation
- Plant System and Sequence Analysis
- Example Results



Seismic Analysis Approach





Terminology

Hazard Curve

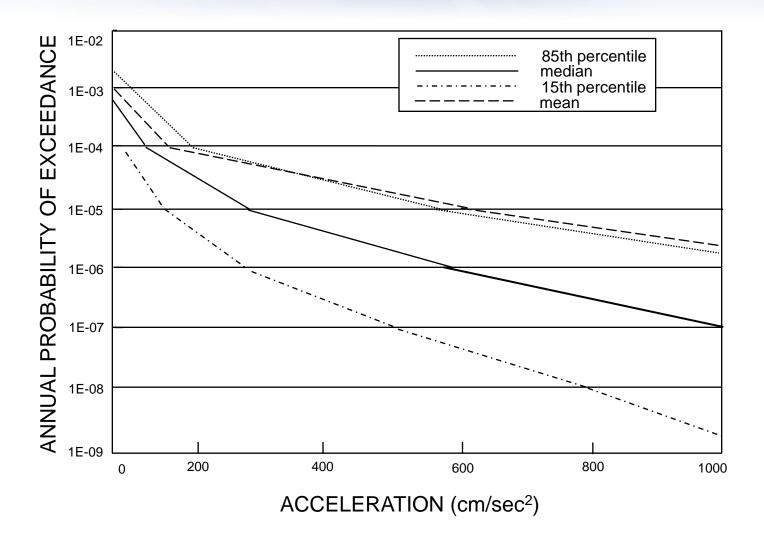
 A monotonically decreasing curve indicating the frequency per unit time of an external event of a specified severity or greater occurring at a specific site; most often used for earthquakes and high winds; only one parameter is used to describe the event severity.

Hazard Curve Family

 A discrete set of hazard curves that represents the uncertainty in the assessed hazard, each curve is assigned a probability of being the true representation of the hazard; the sum of the assigned weights add to 1; usually 10 or fewer curves in the set.



Seismic Hazard Curves





Terminology (Continued)

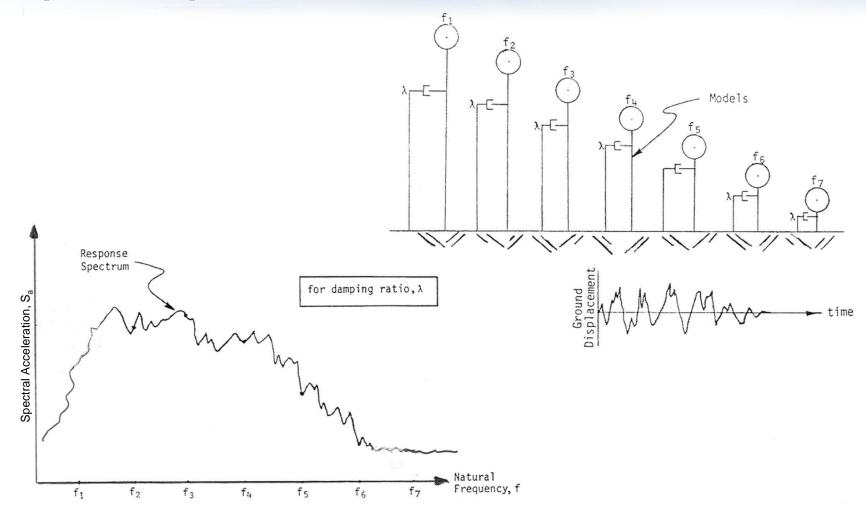
- Response Spectrum
 - A plot of natural (harmonic) frequency vs. spectral acceleration, velocity or displacement signifying the response of a single degree of freedom system to the earthquake ground motion.

OR

 A response spectrum is simply a plot of the peak or steady-state response (displacement, velocity or acceleration) of a series of oscillators of varying natural frequency, that are forced into motion by the same base vibration or shock.



Response Spectrum and Model





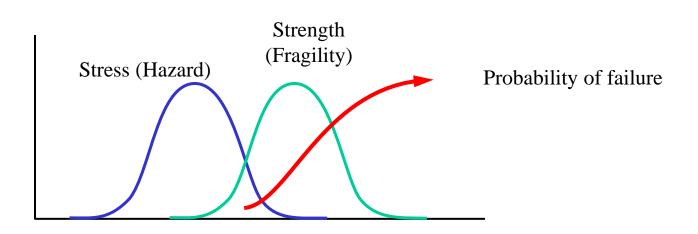
Response Spectra Assessment

- Considers soil-structure interactions
- Reflects the damping and/or amplification effects
- Used to produce the Hazard Spectrum
 - Not only magnitude of motion, but also harmonic-frequency of motion
 - Spectra (harmonic-frequency) can be an important consideration when estimating SSC fragilities



Both Stress and Strength Info Needed

- Hazard curves represent stress on the plant
- Strength of plant systems, structures and components is also needed
- Strength of SSC described as Fragility





Terminology (Continued)

Fragility Curve

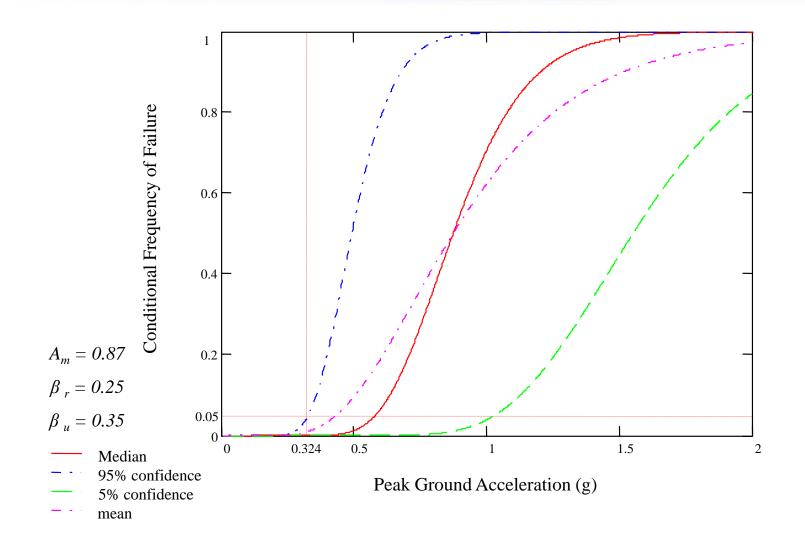
 A monotonically increasing curve indicating the chances of failure of a single structure or component as a function of external event severity; most often used for earthquakes and high winds, event severity is represented by the same parameter used to develop the hazard curve.

Fragility Curve Family

 A continuum of fragility curves that describe the uncertainty in the assessed structure or component fragility; the curves are identified by the cumulative probability of being the correct or at least a conservative curve; e.g., 95% curve.



Component Fragility Curves

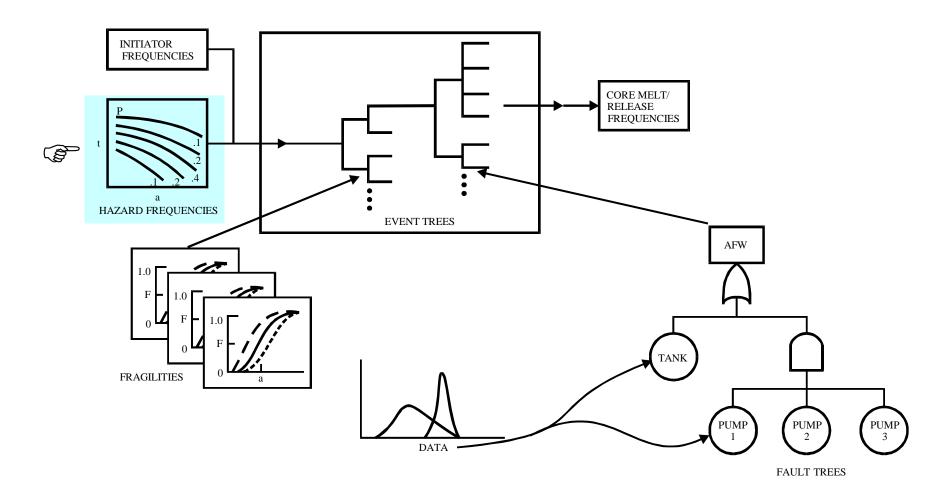


Seismic Hazard Analysis





Seismic Analysis Approach





Seismic Hazard Analysis (cont.)

- Deductive Methods
 - Earthquake occurrence model deduced from:
 - Tectonic Theories
 - Geologic Evidence
 - Analogies with Other Regions
 - Historical Seismicity
 - Typical method for PRA



Estimating Seismic Hazard

- Historical Methods
 - Recreate ground motions at the site over historical times.
 - Translate recreated observations into annual probabilities of exceedance.
 - Extrapolate probabilities to high amplitude of ground shaking.
 - Shortcomings large uncertainties not fully quantified.



Seismic Hazard for IPEEE

- Extensive Research by USNRC and EPRI Hazard Quantified for Eastern U.S. sites
 - NUREG/CR-5250 (1989)
 - NUREG-1488 (1994)
 - EPRI NP-6395-D (1989)
- Western Sites Required (by IPEEE) Independent Site Specific Studies for PRA
- USGS study (2008)



Site-Specific Seismic Hazard Estimation

- Develop the probability/year of exceeding a given estimator of ground motion, such as peak ground acceleration or pseudo-relative velocity^a
- At a given probability/year, develop the equally-weighted spectral shape known as a uniform hazard spectrum

a. A computational approximation to the response spectrum

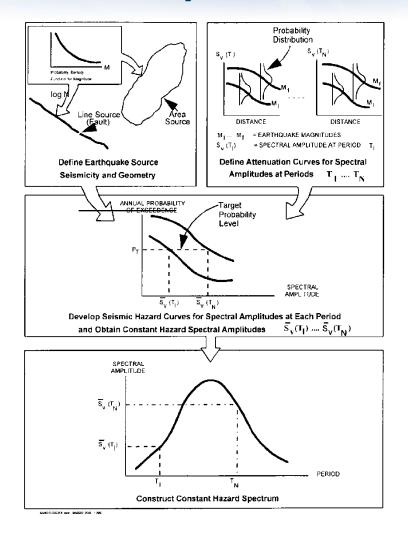


Four Basic Steps to the Methodology

- Step 1 Identify seismic sources (or source zones)
- Step 2 For each source, develop a model describing the expected frequency as a function of magnitude.
- Step 3 For each source, develop a model describing the expected value of a ground-motion parameter as a function of (M, D) = (magnitude, distance from the source).
- Step 4 Integrate among the sources to form a seismic hazard curve.



Seismic Hazard Development





Seismic Hazard Curve Determination

 Integrates the contribution of all possible earthquakes and calculates the probabilities that selected ground motion parameter will be exceeded.

$$P[A > a]year = \sum v \int P[A > a|m,d]f(m)f(d)dddm$$

 Seismic hazard curves — annual frequency of exceedence versus specified ground motion parameter.



Seismic Hazard Described 3 Ways

- Peak Ground Acceleration (PGA)
- Spectral Acceleration (SA)
- Uniform Hazard Spectra (UHS)



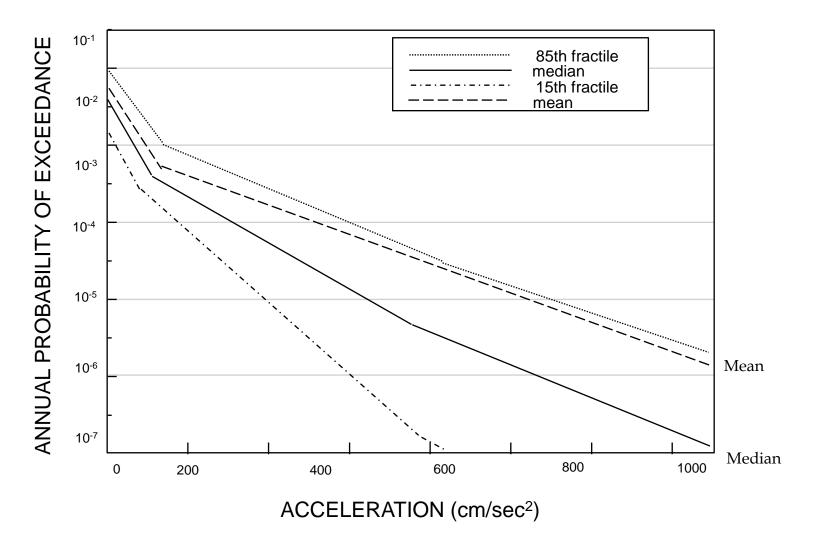
Ground Motion Estimation (Continued)

Ground Motion Parameters

- Peak Ground Acceleration (PGA) is a measure of earthquake acceleration on the ground and an important input parameter for earthquake engineering, also known as the design basis earthquake ground motion (DBEGM).
- Spectral Acceleration (SA) Spectral acceleration (SA) is a unit measured in g (the acceleration due to earth's gravity, equivalent to g-force) that describes the maximum acceleration in an earthquake on an object specifically a damped, harmonic oscillator moving in one physical dimension. This can be measured at (or specified for) different oscillation frequencies and with different degrees of damping although 5% damping is commonly applied. The SA at different frequencies may be plotted to form a response spectrum.



Annual Probability of Exceeding a Peak Ground Acceleration



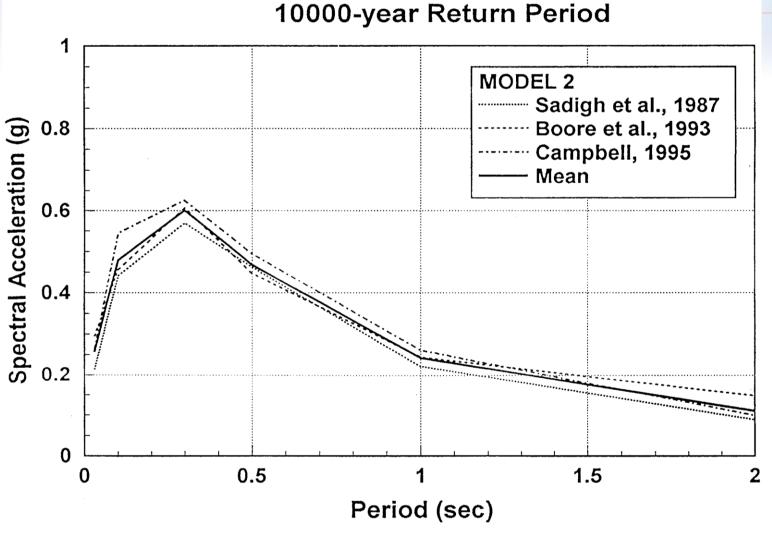


Ground Motion Estimation (Continued)

Ground Motion Parameters

- Peak Ground Acceleration (PGA) is a measure of earthquake acceleration on the ground and an important input parameter for earthquake engineering, also known as the design basis earthquake ground motion (DBEGM).
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Calculated 5%-damped acceleration response spectra with a return period of 10,000-years for Earthquake Source Zonation Module 2.

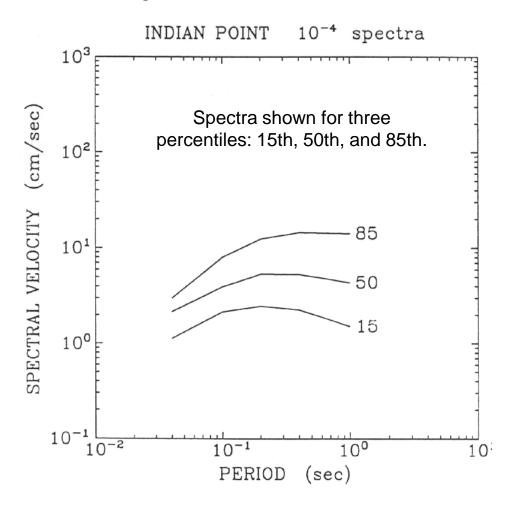


Ground Motion Estimation (Continued)

- Pros and cons of using spectral acceleration:
 - Better indicator of damaging potential of earthquake.
 - Reduced uncertainty in spectral amplitudes.
 - Ground motion estimates have to be done at several frequencies.
 - Additional effort.
- Need to be consistent with what is used in fragility evaluation.



Uniform Hazard Spectra For the 10-4 Annual Probability of Exceedance

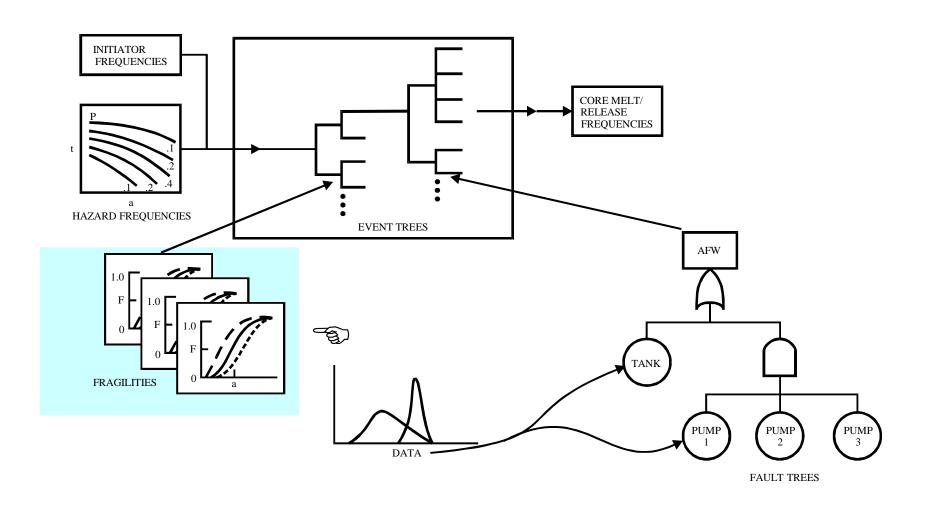


Seismic Fragility Evaluation





Seismic Analysis Approach





Learning Objectives

- After studying this section, you should be able to understand the concept of fragility curves sufficiently to:
 - Understand the difference between a single fragility curve and a family of fragility curves.
 - Understand the lognormal representation of fragility curves
 - Understand the definition and significance of a HCLPF.



Component Fragilities

- Conditional probability of failure for a given response value, or earthquake level
- Requires clear definition of failure and its consequences
 - Several failure modes may be of interest.
 - Generally component loses functional capability before pressure boundary fails
- Screening used to limit required number of fragility curves





Fragility Evaluation for Equipment

- Variables Considered:
 - Strength
 - Inelastic energy absorption
 - Spectral shape
 - Damping
 - Modeling
 - Method of analysis/testing
 - Combination of modes
 - Combination of earthquake components
 - Structural response
 - Soil-structure interaction
 - Ground Motion Incoherence



Fragility Model

- Log normal model (all properties of variables have log normal distribution).
- Entire fragility curve (A) and its uncertainty expressed by three parameters (A_M, β_R, β_U)

$$A = A_m \beta_R \beta_U$$
where

A = ground acceleration corresponding to any given frequency of failure.

 A_m = median ground acceleration capacity.

 β_R , β_U = random variables with unit median and logarithmic standard deviation β_R , β_U .

 β_R , β_U represent inherent randomness about the median and uncertainty in the median value, respectively.



Fragility Derivation

Inherent Randomness, Aleatory Uncertainity (β_R)

Primarily associated with earthquake characteristic (i.e. response spectra shape and amplification, duration, number and phasing of peaks)

Not considered possible to significantly reduce randomness by additional analysis or test

Procedures to assure no double counting of aleatory uncertainty in ground motion

Modeling Uncertainty, Epistemic Uncertainty (β_U)
 Associated with lack of knowledge of model and parameters
 Can be reduced by additional analyses and tests



Fragility Derivation

 Usually, fragility is extrapolated from design information by quantifying factors of conservatism and variability

$$A_m = F_C F_{RE} F_{RS} A_{SSE}$$

Where:

 F_C = capacity factor (strength and ductility)

 F_{RE} = response factor for equipment

 F_{RS} = response factor for structure

A_{SSE} = safe shutdown earthquake acceleration



Standard Normal (Gaussian) Distribution

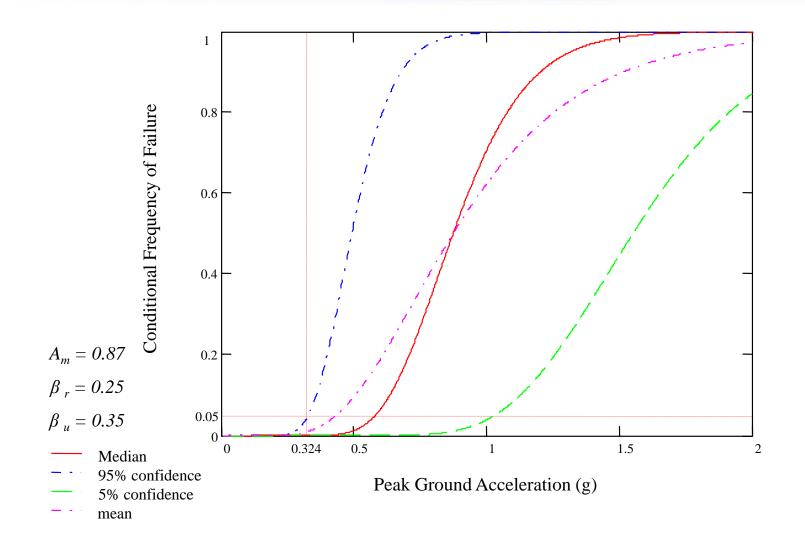
- Useful function in many statistics and probability problems
- Normal distribution with mean = 0,
 and standard deviation = 1.0
- Tables for standard normal distribution available in almost every probability and statistics text



Standard Normal Probability Table										
The table shows the cumulative probabilities (i.e., the area to the left of z)										
Cumulative	Cumulative probabilities for NEGATIVE z-values									
Z	0	0.01	0.02	0.03	0.04	0.05	0.06	0.07	0.08	0.09
-3.4	0.0003	0.0003	0.0003	0.0003	0.0003	0.0003	0.0003	0.0003	0.0003	0.0002
-3.3	0.0005	0.0005	0.0005	0.0004	0.0004	0.0004	0.0004	0.0004	0.0004	0.0003
-3.2	0.0007	0.0007	0.0006	0.0006	0.0006	0.0006	0.0006	0.0005	0.0005	0.0005
-3.1	0.001	0.0009	0.0009	0.0009	0.0008	0.0008	0.0008	0.0008	0.0007	0.0007
-3	0.0013	0.0013	0.0013	0.0012	0.0012	0.0011	0.0011	0.0011	0.001	0.001
-2.9	0.0019	0.0018	0.0018	0.0017	0.0016	0.0016	0.0015	0.0015	0.0014	0.0014
-2.8	0.0026	0.0025	0.0024	0.0023	0.0023	0.0022	0.0021	0.0021	0.002	0.0019
-2.7	0.0035	0.0034	0.0033	0.0032	0.0031	0.003	0.0029	0.0028	0.0027	0.0026
-2.6	0.0047	0.0045	0.0044	0.0043	0.0041	0.004	0.0039	0.0038	0.0037	0.0036
-2.5	0.0062	0.006	0.0059	0.0057	0.0055	0.0054	0.0052	0.0051	0.0049	0.0048
-2.4	0.0082	0.008	0.0078	0.0075	0.0073	0.0071	0.0069	0.0068	0.0066	0.0064
-2.3	0.0107	0.0104	0.0102	0.0099	0.0096	0.0094	0.0091	0.0089	0.0087	0.0084
-2.2	0.0139	0.0136	0.0132	0.0129	0.0125	0.0122	0.0119	0.0116	0.0113	0.011
-2.1	0.0179	0.0174	0.017	0.0166	0.0162	0.0158	0.0154	0.015	0.0146	0.0143
-2	0.0228	0.0222	0.0217	0.0212	0.0207	0.0202	0.0197	0.0192	0.0188	0.0183
-1.9	0.0287	0.0281	0.0274	0.0268	0.0262	0.0256	0.025	0.0244	0.0239	0.0233
-1.8	0.0359	0.0351	0.0344	0.0336	0.0329	0.0322	0.0314	0.0307	0.0301	0.0294
-1.7	0.0446	0.0436	0.0427	0.0418	0.0409	0.0401	0.0392	0.0384	0.0375	0.0367
-1.6	0.0548	0.0537	0.0526	0.0516	0.0505	0.0495	0.0485	0.0475	0.0465	0.0455
-1.5	0.0668	0.0655	0.0643	0.063	0.0618	0.0606	0.0594	0.0582	0.0571	0.0559
-1.4	0.0808	0.0793	0.0778	0.0764	0.0749	0.0735	0.0721	0.0708	0.0694	0.0681



Component Fragility Curves





Failure Probability Calculation

 Median fragility function (curve) evaluated at a specific g-level to estimate the conditional probability of failure given an earthquake of a specific magnitude

Prob(fail | a) =
$$\Phi(\ln(a/A_m)/\beta_r)$$

Where:

Φ (phi) is the cumulative standard normal or Gaussian distribution

a = earthquake magnitude

A_m =median fragility

 β_r = uncertainty parameter (randomness)



Failure Probability Calculation

 Mean fragility function (curve) evaluated at a specific g-level to estimate the conditional probability of failure given an earthquake of a specific magnitude

Prob(fail | a) =
$$\Phi(\ln(a/A_m)/\beta_c)$$

Where:

Φ (phi) is the cumulative standard normal or Gaussian distribution

a = earthquake magnitude

A_m =median fragility

 β_c = uncertainty parameter; Sqrt(β_r ^2 + β_u ^2)



Equations Used to Generate Fragility Curves

 95% confidence fragility function (curve) evaluated at a specific g-level to estimate the conditional probability of failure given an earthquake of a specific magnitude

Prob(fail | g) =
$$\Phi$$

$$\frac{\ln\left[\left(\frac{g}{A_{m}}\right)e^{\left(\beta u\left[\Phi^{-1}(0.95)\right]\right)}\right]}{\beta r}$$



Terminology (Continued)

- HCLPF (High Confidence of Low Probability of Failure)
 - The earthquake acceleration level at which the analysts' have a 95% confidence that the chance of a specific structure or component failure is less than 5%, when the structure or component is subjected to an earthquake of that magnitude.
 - HCLPF value should be higher than design basis earthquake if all structures and components were designed to regulatory accepted criteria.



HCLPF Calculation

Earthquake magnitude at which the SSC is predicted with 95% confidence to have a 5% failure probability

$$HCLPF = (A_m)exp[-1.645(\beta_r + \beta_u)]$$

- Where:
- A_m =median fragility
- $-\beta_r$ = randomness parameter
- $-\beta_u$ = uncertainty parameter



Fragility Methodology and Examples

- Methodology
 - EPRI TR-103959 (1994)
 - EPRI TR-1002988 (2003)
- Examples
 - NUREG/CR-5270 (1989)
 - Conclusion was that there was more variation in analysts than in methods.
 - NUREG-1150 (1990)
 - Published SPRA's



Fragilities Calculated Different Ways

- Response factor can be included in fragility or hazard (spectral acceleration)
 - Need to be consistent between the two, don't over-count or undercount response factor
- Fragility stated for a SSC might not be for the SSC itself
 - Building collapse or anchor failure might dominate



Components Typically Needing Capacity Evaluations

- Bad actor relays.
- Unreinforced or lightly reinforced masonry and block walls that may impact safety components.
- Flat bottom tanks; e.g., RWST, CST.
- Electrical cabinets.
- Large heat exchangers and vessels.
- Long column pumps.
- Most fragilities developed from existing plant documentation.



Significance of Contact Chatter

- Could impact the availability of components required to maintain the reactor in a safe shutdown condition.
- Could cause operator confusion because of unusual equipment operating configurations as well as inconsistent and erroneous indications on control panels.
- Could induce interfacing systems LOCA.
- Could lock out containment isolation.



Relay Chatter Evaluation Steps

- Includes electric relays, contactors, and switches prone to chatter.
- Determine types of relays installed in risk-related circuits.
- Screen out relays known to be not sensitive to high frequency vibration (High Ruggedness Relays).
- Review circuit diagrams to screen out relays whose chatter would not matter.



Relay Chatter Evaluation Steps (Continued)

- Screen out relays if recovery actions can be reasonably assured. (HRA must consider stress from earthquake.)
- Develop fragilities for remaining relays, add to seismic event tree, and determine if they affect important scenarios.
- For relays in dominant scenarios, consider replacement or testing to show insensitivity to high frequencies.



Relay Chatter Evaluation (Continued)

- Fragility calculations for relays should address these issues:
 - Relay Capacity (GERS, Test Data, BNL Data)
 - Single-Axis Sensitivity
 - Cabinet Amplification
 - Clipping of Demand
 - State of Operation (open, closed, energized, de-energized)
- EPRI NP-7147, "Seismic Ruggedness of Relays," Volume 2: Addendum 2, April 1995.



Equations Used to Generate Fragility Curves

- Median curve: Prob(fail|g) = Φ[ln(g/A_m)/β_r]
- Mean curve: Prob(fail|g) = Φ[ln(g/A_m)/β_c]

$$\beta_c = \operatorname{sqrt}(\beta_r^2 + \beta_u^2)$$

95% confidence curve:

Prob(fail | g) =
$$\Phi$$

$$\frac{\ln\left[\left(\frac{g}{A_{m}}\right)e^{\left(\beta u\left[\Phi^{-1}(0.95)\right]\right)}\right]}{\beta_{r}}$$



HCLPF Calculation

Earthquake magnitude at which the SSC is predicted with 95% confidence to have a 5% failure probability

$$HCLPF = (A_m)exp[-1.645(\beta_r + \beta_u)]$$

• Where:

A_m =median fragility

 β_r = randomness parameter

 β_u = uncertainty parameter



Workshop on Fragility Calculations

$$A_{\rm m} = 0.87$$

$$\beta_{\rm r} = 0.25$$

$$\beta_{u} = 0.35$$

Calculate the requested failure probabilities for the given g-levels:

95% confidence for 0.5g

Mean for 1.0g

Median for 1.5g

Calculate HCLPF



Standard Normal Probability Table

Z	PR	Z	PR
-3.4	0.0003	3.4	0.9997
-3.3	0.0005	3.3	0.9995
-3.2	0.0007	3.2	0.9993
-3.1	0.001	3.1	0.999
-3	0.0013	3	0.9987
-2.9	0.0019	2.9	0.9981
-2.8	0.0026	2.8	0.9974
-2.7	0.0035	2.7	0.9965
-2.6	0.0047	2.6	0.9953
-2.5	0.0062	2.5	0.9938
-2.4	0.0082	2.4	0.9918
-2.3	0.0107	2.3	0.9893
-2.2	0.0139	2.2	0.9861
-2.1	0.0179	2.1	0.9821
-2	0.0228	2	0.9772
-1.9	0.0287	1.9	0.9713
-1.8	0.0359	1.8	0.9641
-1.7	0.0446	1.7	0.9554
-1.6	0.0548	1.6	0.9452
-1.5	0.0668	1.5	0.9332
-1.4	0.0808	1.4	0.9192
-1.3	0.0968	1.3	0.9032
-1.2	0.1151	1.2	0.8849
-1.1	0.1357	1.1	0.8643
-1	0.1587	1	0.8413
-0.9	0.1841	0.9	0.8159
-0.8	0.2119	0.8	0.7881
-0.7	0.242	0.7	0.758
-0.6	0.2743	0.6	0.7257
-0.5	0.3085	0.5	0.6915
-0.4	0.3446	0.4	0.6554
-0.3	0.3821	0.3	0.6179
-0.2	0.4207	0.2	0.5793
-0.1	0.4602	0.1	0.5398
0	0.5	0	0.5



Workshop on Fragility Calculations



Workshop on Fragility Calculations

```
A_{\rm m} = 0.87 \, {\rm g}
\beta_{\rm r} = 0.25
\beta_{11} = 0.35
\beta_c = (.25^2 + .35^2)^5 = .43
Median curve: Prob(fail|g) = \Phi[\ln(g/A_m)/\beta_r] = \Phi[\ln(1.5/.87)/.25] = \Phi[2.178]
           Prob(fail|1.5g) = 0.985
Mean curve: Prob(fail|g) = \Phi[\ln(g/A_m)/\beta_c] = \Phi[0.324]
          Prob(fail|1g) = 0.627
95% confidence for 0.5g = \Phi[0.0872] = 0.535
HCLF = 0.324 g
```

Seismic Analysis Methods





Seismic Analysis Methods Overview

- Three Levels of Earthquakes
 - Operating Basis Earthquake (OBE)
 - Operating basis earthquake ground motion (OBE) is the vibratory ground motion for which those features of the nuclear power plant necessary for continued operation without undue risk to the health and safety of the public will remain functional. The operating basis earthquake ground motion is only associated with plant shutdown and inspection unless specifically selected by the applicant as a design input.
 - Design Basis Earthquake (DBE) Seismic PRA [same as SSE]
 - The earthquake which the structure is required to safely withstand with repairable damage. Those systems and components important to safety must remain functional and/or operable. For design purposes, the intended use of this earthquake loading is for economic design of structures or components whose damage or failure would not lead to catastrophic loss. Also, known as Safe-shutdown earthquake ground motion (SSE)
 - Review Level Earthquake (RLE)
 - Ground motion for which HCLPF capacities of the SSCs are evaluated [NUREG-1407]
 - Normally, define as 1.67 * DBE; Used in SMA



Seismic Analysis Methods Overview

- Two approaches:
 - Seismic Margins Analysis (SMA)
 - Postulate an earthquake of a specific magnitude, then judge effect on the plant
 - AKA Review Level Earthquake
 - Seismic PRA
 - Assess earthquake hazard (freq vs. magnitude), evaluate strength of plant SSCs (fragility), then combine the two to calculate risk



Seismic Evaluations Options for IPEEE

- Seismic Margins Analysis
 - U.S. Nuclear Regulatory Commission/LLNL Approach (failure scenarios) [NUREG/CR- 4334, 4482, not used]
 - Electric Power Research Institute Approach (safe shutdown path)
- Probabilistic Risk Assessment (Level 1 plus containment performance analysis, LERF, containment isolation, etc.)
- Any other systematic examination if reviewed and found acceptable by the staff.



Seismic Margins Method

- Different scope levels depending on plant location
 - i.e., based on estimated seismic hazard
 - NUREG-1407 provides a list including specification of the review level earthquake (RLE)
 - 0.3g or 0.5g
- Reduced Scope (uses Safe Shutdown Earthquake as RLE)
- 0.3g Focused Scope
- 0.3g Full Scope
- 0.5g (required for Western U.S. plants)
- Diablo Canyon and San Onofre Seismic PRA Required



NRC Seismic Margins Method

- Vital plant functions examined (e.g., reactor sub-criticality and early core cooling)
- Screening using generic seismic capacities
 - Walkdown to ensure no weaknesses exist
- Event/fault trees used to delineate accident sequences
 - Typically assume transients and small LOCA



EPRI Seismic Margins Method

- Guidance provided in EPRI NP-6041, supplemented by NUREG-1407
- Success paths identified
 - For safe shutdown, given seismically induced transient or small LOCA
 - Preferred path and alternate path



Steps in a Seismic PRA

- Seismic hazard analysis frequency vs. magnitude of ground motion
- Response spectra analysis translates ground motion into local (ground, floor, or component) motion
- Plant walkdown identifies typical and atypical SSCs
- Fragility analysis assesses the "strength" of SSCs
- Systems analysis generates seismic core damage cutsets
- Containment response and offsite consequences



Major Differences between Seismic Margins and SPRA Options

- Seismic hazard and uncertainties (probabilistic) versus review level earthquake (deterministic).
- Accident scenarios versus limited success/failure paths.
- Risk quantification versus pass/fail.
- Quantitative ranking of component significance versus no indication of relative importance to risk.

Seismic Margin Approach





Learning Objectives

- After studying this section, you should be able to:
 - Understand seismic screening techniques
 - Understand how a plant HCLPF is computed in a seismic margin assessment
 - Describe key differences between the EPRI and NRC seismic margin methods



Two SMA Methodologies

- NRC and EPRI methods
- Utilize Review Level Earthquake (RLE)
 - 0.3g and 0.5g Peak Ground Acceleration (PGA)
 - RLE for each plant assigned by NRC in IPEEE guidance (NUREG-1407)
- Three scope levels
 - Reduced scope, focused scope, and full scope
 - Scope level for each plant assigned by NRC in IPEEE guidance (NUREG-1407)



Two SMA Methods Differ

- NRC Methodology (NUREG/CR-4334, NUREG/CR-4482)
 - Fault Tree Approach
 - Abbreviated PRA
- EPRI Methodology (EPRI NP-6041, EPRI NP-6041SL)
 - Success Path Approach
 - Screening method
- Bases for Methodologies
 - System analysis experience from prior PRAs.
 - Fragility information from earthquake experience data, test data, and prior PRAs.



EPRI SMA Method

- Success path approach
 - Two success paths must be identified
 - One must address small LOCA
 - Each success path capable of bringing plant to a safe state (hot or cold shutdown) after an EQ larger than DBE, and maintain it for 72 hours
 - Set of SSCs for each success path become focus of analysis
 - Seismic capacity of each SSC in each path is evaluated
 - Seismic capacity of success path is that of the weakest SSC
 - Seismic capacity of plant is that of the strongest success path



IPEEE Specified Four Enhancements to EPRI SMA

- Initial screening needs to start with more than just two success paths
 - Exclusion of some paths might mask HCLPF and defense-in-depth insights
- Non-seismic failure and human actions need to be included in success path analyses
- Containment performance needs to be address
 - Both containment structure and containment systems
- Relay chatter needs to be considered



EPRI SMA Comprises 7 Steps

- 1. Selection of the seismic margin earthquake specified
- Selection of assessment team
- 3. Preparatory work prior to walkdown
- 4. Systems and elements selection ("success path") walkdown
- 5. Seismic capability walkdown
- 6. Seismic margin assessment
- Documentation



Selection of SME

- NRC has specified the SME (also referred to as RLE) for every plant in the U.S.
 - Tables 3.1 (CEUS) and 3.2 (WUS) of NUREG-1407
 - Reduced Scope plants could use the SSE ground response spectra from FSAR (i.e., design basis)
 - Other plants use the response spectra from NUREG/CR-0098 anchored at 0.3g or 0.5g PGA

CEUS – Central and Eastern U.S. (east of Rocky Mountains) WUS – Western U.S. (west of Rocky Mountains)

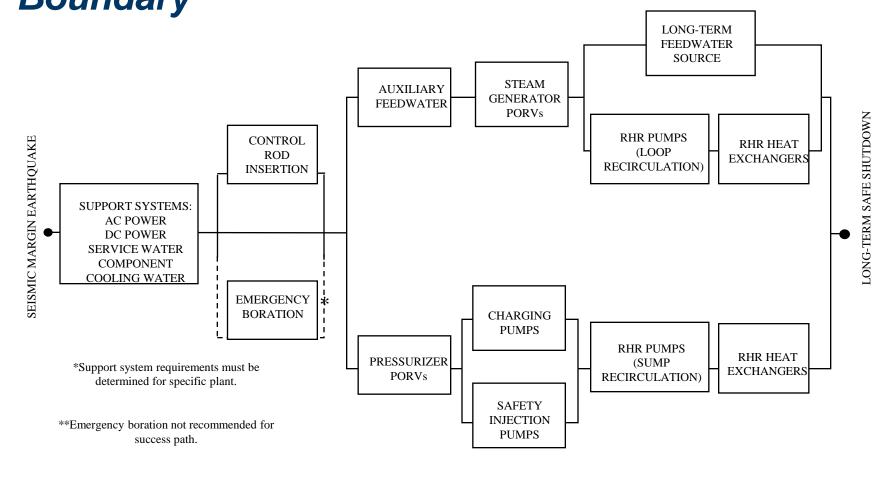


Selection of Success Paths

- Identify reasonably complete set of paths considering transients and small LOCAs.
- Select preferred path for transient and SBLOCA case.
- Alternate path (should involve operational sequences, systems, piping runs, and components different from preferred path).
- Nonseismic failures and human actions in selected paths show low failure probabilities (< .01).
- Include containment performance in SMA (isolation, cooling, bypass).



Example Success Path Logic Diagram - PWR, Intact Reactor Coolant System Pressure Boundary





BWR/6 Example Success Path

Safety Function	Required System
All	Support Systems
Reactivity Control	Reactor Protection System
Pressure Control	Main Steam Isolation
	Safety Relief Valves
Core Cooling and Inventory Control	High Pressure Injection
Decay Heat Removal	Residual Heat Removal



Two Walkdowns Are Performed

- Initial Screening Walkdown
 - Objective is to identify those success paths that are easiest to demonstrate a high seismic margin
 - Seismically weak SSCs are screened-out
 - Identify any evaluation problems
 - Missing anchorages or spatial interactions
- Seismic Capacity Walkdown
 - Identify those SSCs in the success path whose seismic margin (w.r.t. the RLE) is in question
 - Seismically strong SSCs are screened-out
 - Develop list of SSCs that require more detailed assessment



Success Path HCLPF

- HCLPF g-level at which there is a High Confidence (95%) of Low Probability (5%) of Failure
- Lowest HCLPF in a success path is the HCLPF for that success path
- Highest HCLPF of the two success paths is the plant HCLPF



EPRI SMA Summary

- EPRI Seismic Margins
 - Similar to SQUG program for A-46
 - Deterministic (but includes some probabilistic aspects)
 - Safe shutdown paths and associated equipment
 - Use of EQ experience and judgment for screening
 - Walkdowns (vital part of approach) and screening
 - Selected calculations
 - HCLPF defined for weak link in shutdown paths



NRC SMA Summary

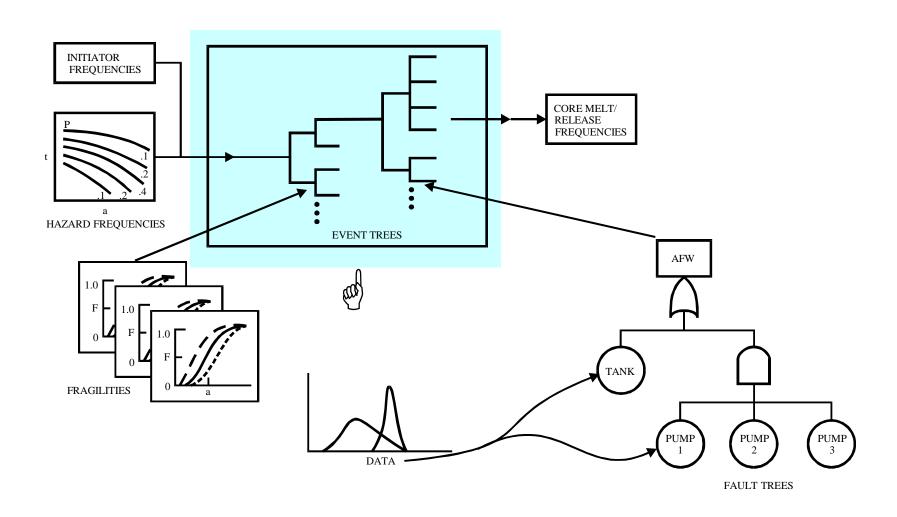
- NRC Seismic Margins
 - Similar to PRA without use of seismic hazard
 - Plant systems models and seismic fragility curves
 - Use of PRA and EQ experience for screening
 - Walkdown and screening
 - Dominant accident sequences and plant level HCLPF derived from fragility curves and systems models
 - Core damage frequency not computed (since no IE/hazard frequency)

Plant System and Sequence Analysis





External Event Analysis Approach





Learning Objectives

- After studying this section, you should be able:
 - To explain how seismic-induced failures can be incorporated into an accident sequence model.
 - List key issues related to seismic-initiated event sequence modeling.



Plant System and Sequence Analysis

- Identify earthquake-induced initiating events.
- Account for increased likelihood of multiple safety system failures.
- Account for correlation and increased dependencies between component failures.
- Combine random and seismic-induced failures.
- Two general approaches: fault tree linking and event tree with boundary conditions.



Identify Initiators

- Use component fragilities to rank components, including structural failures.
- Review applicability of nonseismic initiators.
- Initiator frequencies obtained by multiplying hazard frequencies at different earthquake acceleration levels with component fragilities.
- Multiple initiators may have significant frequency.



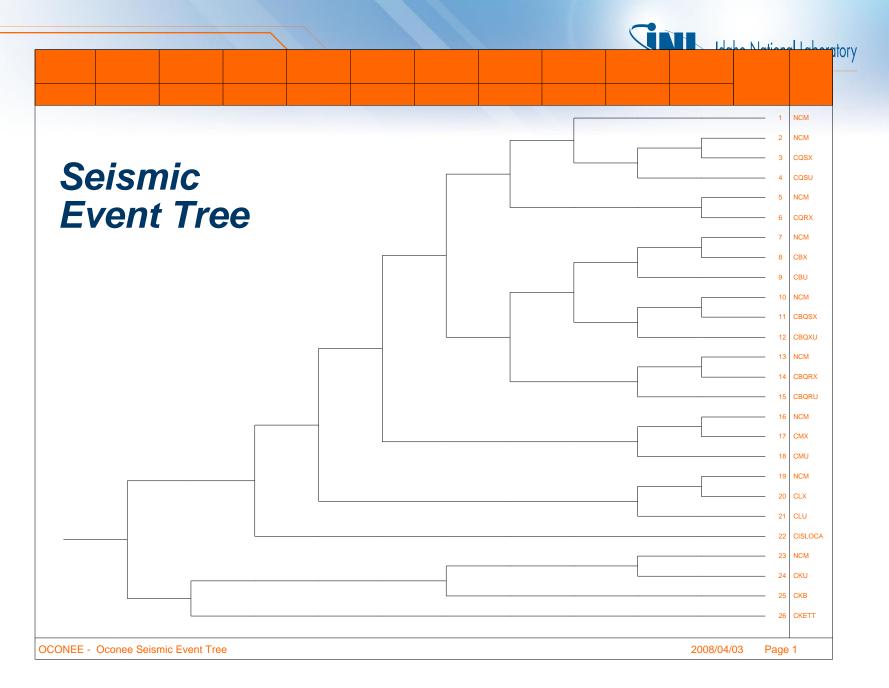
Earthquake Sequence Modeling

- Fault Tree Linking Approach
 - Adapt nonseismic event trees to account for earthquake-induced initiators and consequential failures.
 - Revise modeling approximations made for internal events if failure frequencies have significantly changed.
 - System fault trees are modified to include basic events attributed to earthquake-induced failure modes.
- Alternate Fault Tree Linking Approach
 - A core damage fault tree may be developed for all earthquake sequences.
 - Quantification of minimal cutsets must not employ the rare-event approximation.



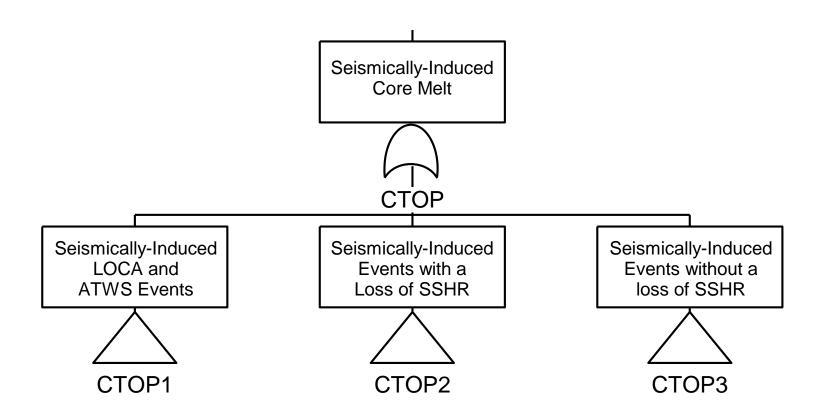
Oconee Seismic Analysis

- Seismic event tree used to develop sequence logic
- Sequence logic quantified using single fault trees
 - Support Systems
 - Relay Chatter



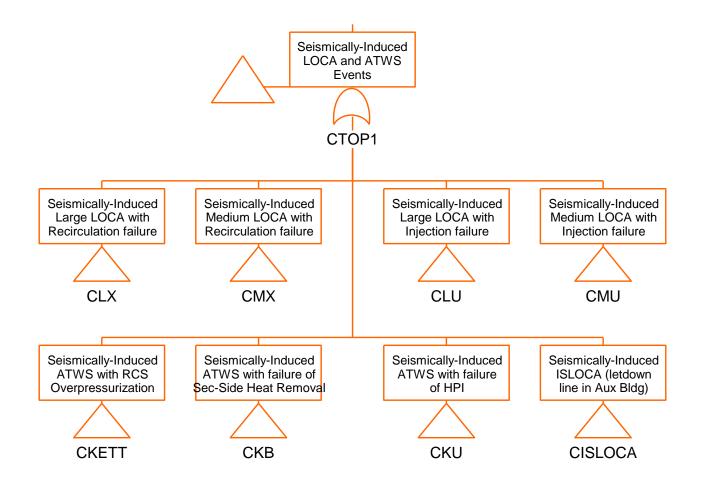


Seismic Sequence Fault Tree Logic



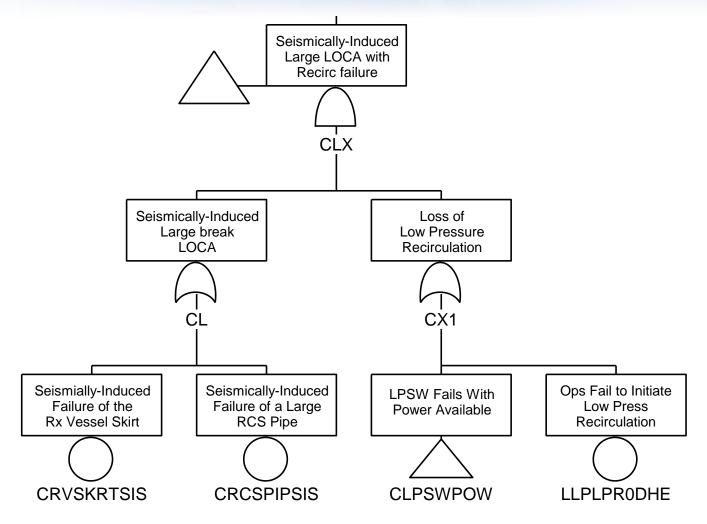


Seismic Sequence Fault Tree Logic (Continued)



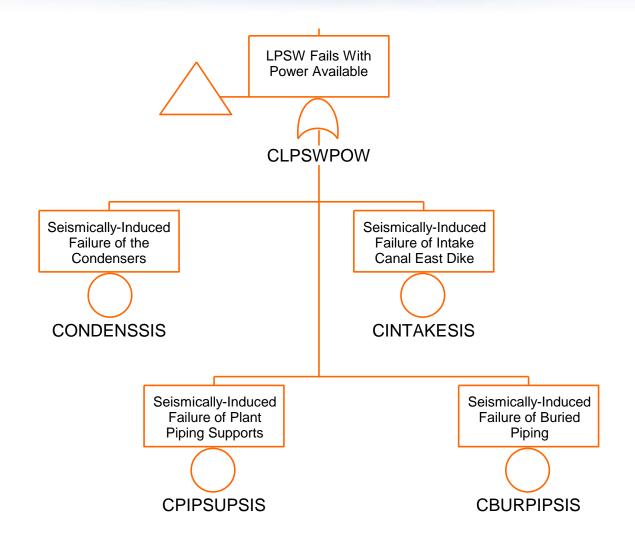


Seismic Sequence Fault Tree Logic (Continued)





Seismic Sequence Fault Tree Logic (Continued)





Earthquake Sequence Modeling Event Tree Linking Approach

- Earthquakes for different ranges of acceleration are defined as initiators.
- All seismic failures represented by a new single seismic event tree.
- Group components into seismic failure top events.
- Use same support event trees as for internal events.
- Use same general transient or other frontline event trees, depending on seismic failure initiator.



Earthquake Sequence Modeling Event Tree Linking Approach

- Define new top events on existing trees for seismic unique impacts;
 e.g., relay chatter and excessive LOCAs.
- Account for dependencies between earthquake failures and system responses.
- Quantify event trees for each range of earthquakes.
- Plant damage state assignment similar to non-seismic.



Example - Seismic Initiating Events

	ı	1
SEISMIC INITIATING EVENT DESIGNATOR	SPECTRAL* ACCELERATION LEVEL (g)	FREQUENCY (PER YEAR)
SEIS1	0.0 to 1.25	1.41E-02
SEIS2	1.25 to 1.75	8.00E-04
SEIS3	1.75 to 2.0	1.47E-04
SEIS4	2.0 to 2.5	1.17E-04
SEIS5	2.5 to 3.0	2.82E-05
SEIS6	3.0 to 4.0	7.43E-06
TOTAL SEISMIC INIT	1.52E-02	

^{*} Average 5% damped spectral acceleration over the 3-8.5 Hz frequency range



Seismic PRA Component Groupings for Top Events

Seismic Top Event	Components/Structures
SOP - OFFSITE POWER	OFFSITE POWER, 230 KV
SDC - 125V DC POWER	AUXILIARY BUILDING BATTERIES DC SWITCHGEAR/BREAKER PANEL
STRUT - TURBINE BUILDING STRUT	STRUT FOR TURBINE BUILDING
SACSS - ALL 4KV VITAL AC POWER/STRUT SUCCESS	TURBINE BUILDING SHEAR WALL 4 KV SWITCHGEAR SAFEGUARD RELAY PANEL BATTERY CHARGERS 4KV/480V TRANSFORMERS BUS F POTENTIAL TRANSFORM BLOCK WALLS
SACSF - ALL 4KV VITAL AC POWER/STRUT FAILURE	TURBINE BUILDING SHEAR WALL BATTERY CHARGERS 4KV/480V TRANSFORMERS SWITCHGEAR/STRUT FAILURE SAFEGUARD RELAY PANEL/STR BUS F POTENTIAL TRANSFORM BLOCK WALLS

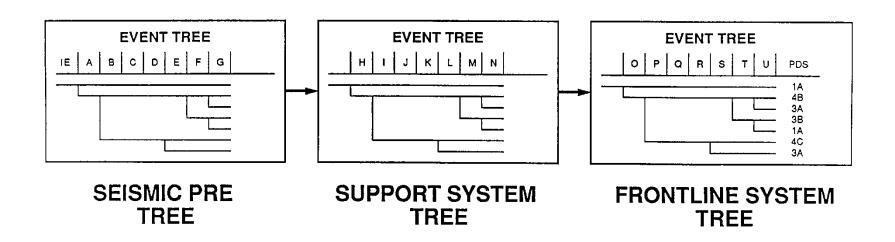


Seismic PRA Component Groupings for Top Events (Continued)

Seismic Top Event	Components/Structures
SDG - ALL SIX DIESEL GENERATORS	DIESEL GENERATORS DG RADIATOR/WATER PUMPS DG EXCITATION CUBICAL DG CONTROL PANEL
SFO - FUEL OIL TRANSFER	DG FUEL OIL PUMPS/FILTERS BOP PIPING AND SUPPORTS
SVI - ALL FOUR VITAL INSTRUMENT CHANNELS	INVERTERS PROCESS CONTROL AND PROTECTION PRESSURE AND DP TRANSMITTERS
SRT - REACTOR TRIP	REACTOR INTERNALS
SPT - PARTIAL REACTOR TRIP	REACTOR TRIP SWITCHGEAR
SCV - CONTROL ROOM VENTILATION	CONTROL ROOM SUPPLY FANS HVAC DUCTING AND SUPPORTS
SCC - COMPONENT COOLING WATER	RHR HEAT EXCHANGERS CCW PUMPS CCW HEAT EXCHANGERS CCW SURGE TANK BOP PIPING AND SUPPORTS



Seismic and Non-Seismic Event Tree Calculation





Containment Response

- Sequences binned into plant damage states.
- Seismic-induced containment failure modes different from IPE.
 - Mechanisms leading to containment bypass; e.g., steam generator failure.
 - Structural failure of the containment.
 - Containment safeguards failure of containment fan coolers creating path to CCW system outside containment.
 - Containment isolation valves/signals.
- Review Level 2 containment event tree to determine if applicable to seismic core damage sequence types.



Seismic Human Action Considerations

- Applicability of procedures.
- Error probabilities may increase with earthquake size.
- Offsite power recovery changes for earthquakes.
- Pathways to critical areas.
- Competing requirements for actions.



Approximate Relationships between Intensity and Acceleration (1 of 2)

Modified Mercalli Intensity Scale	Richter Mag.	Max. Accel. (g)	Description of Effects
III	3	0.003 to 0.007	Felt indoors. Hanging objects swing. Vibration like passing of light trucks. Duration estimated. May not be recognized as an earthquake.
IV	4	0.007 to 0.015	Hanging objects swing. Vibration like passing of heavy trucks; or sensation of a jolt like a ball striking the walls. Standing motor cars rock. Windows, dishes, doors rattle. Glasses clink. Crockery clashes. In the upper range of IV wooden walls and frames creak.
V	4	0.015 to 0.03	Felt outdoors; direction estimated. Sleepers wakened. Liquids disturbed, some spilled. Small unstable objects displaced or upset. Doors swing, close, open. Shutters, pictures move. Pendulum clocks stop, start, change rate.
VI	5	0.03 to 0.09	Felt by all. Many frightened and run outdoors. Persons walk unsteadily. Windows, dishes, glassware broken, knickknacks, books, etc., off shelves. Pictures off walls. Furniture moved or overturned. Weak plaster and some masonry cracked. Small bells ring (church, school). Trees, bushes shaken (visible, or heard to rustle).
VII	5-6	0.07 to 0.22	Difficult to stand. Noticed by drivers of motor cars. Hanging objects quiver. Furniture broken. Damage to some masonry, including cracks. Weak chimneys broken at roof line. Fall of plaster, loose bricks, stones, tiles, cornices (also unbraced parapets and architectural ornaments). Waves on ponds; water turbid with mud. Small slides and caving in along sand or gravel banks. Large bells ring. Concrete irrigation ditches damaged.



Approximate Relationships between Intensity and Acceleration (2 of 2)

Modified Mercalli Intensity Scale	Richter Mag.	Max. Accel. (g)	Description of Effects
VIII	6	0.15 to 0.3	Steering of motor cars affected. Damage to some masonry; partial collapse. Fall of stucco and some masonry walls. Twisting, fall of chimneys, factory stacks, monuments, towers, elevated tanks. Frame houses moved on foundations if not bolted down; loose panel walls thrown out. Decayed piling broken off. Branches broken from trees. Changes in flow or temperature of springs and wells. Cracks in wet ground and on steep slopes.
IX	7	0.3 to 0.7	General panic. Some masonry destroyed; other masonry seriously damaged. (General damage to foundations.) Frame structures, if not bolted, shifted off foundations. Frames racked. Serious damage to reservoirs. Underground pipes broken. Conspicuous cracks in ground. In alluviated areas sand and mud ejected, earthquake fountains, sand craters.
X	7-8	0.45 to 1.5	Most masonry and frame structures destroyed with their foundations. Some well-built wooden structures and bridges destroyed. Serious damage to dams, dikes, embankments. Large landslides. Water thrown on banks to canals, rivers, lakes, etc. Sand and mud shifted horizontally on beaches and flat land. Rails bent slightly.
XI	8+	0.5 to 3	Rails bent greatly. Underground pipelines completely out of service.
XII	8+	0.5 to 7	Damage nearly total. Large rock masses displaced. Lines of sight and level distorted. Objects thrown into the air.



Key Seismic Human Actions Modeled

- Offsite power recovery.
- Recovery from relay chatter-induced blackout.
- Align fire water cooling to charging pumps.
- Crosstie service water between units.



IPEEE Seismic Human Action: Method 1

Human		Point Estimate Values Used for	Multiplication Factors Used for Seismic Initiating Events		
Action Identifier	Human Action Description	Internal Events Analysis	SEIS1 SEIS2	SEIS3 SEIS4	SEIS5 SEIS6
ZHEAC1	Failure to Recover from common cause Startup Breaker Failure on Demand	2.30E-03	30	30	30
ZHEAS1	Failure to remotely crosstie U1 & U2 ASW-Both U1 Pumps Failure	7.10E-03	30	30	30
ZHEAS2	Failure to Locally crosstie U1 & U2 ASW or Fail to Open	5.90E-03	1	5	30
ZHECC1	Failure to Reduce CCW heat Loads with One CCW Pump	8.20E-03	1	5	30
ZHECT1	Failure to Restore AC Power - Relay Chatter	1.20E-02	1	5	30
ZHECT2	Failure to Restore AC Power - Relay Chatter, SLOCA, No AFW	1.30E-02	1	5	30
ZHECT3	Failure to Restore AC Power - Relay Chatter and No AFW	1.10E-02	1	5	30



IPEEE Seismic Human Action: Method 2

Rates are a function of timing and access.

Seismic IPEEE Performance Shaping Factors	short time period (t < 20 min)	medium time period (20 m < t < 60 m)	long time period (1 hr < t < 24 hr)
In control room action	10	5	1
Ex-control room action	30	10	5



Human Error Rates Used for in Seismic PRA

- Rates are a function of seismic level
 - < 0.12g (SSE); no change.
 - 0.12g .36g; linear increase to factor of 10 at 3*SSE.
 - > .36g; failure probability = 1.0.



SPRA Documentation

- Level of SPRA documentation should follow new ANS Standard for External Event PRA.
- SPRA documentation should include:
 - Description of methodology and key assumptions.
 - Hazard curves used in analysis.
 - Walkdown team, procedures and findings.
 - Systems information (including non-seismic failures human actions modeling of screened out components, correlations and dependencies).



SPRA Documentation (Continued)

- SPRA documentation should include (continued):
 - List of fragility parameter values.
 - Core damage fragility curve.
 - Mean core damage frequency and ranking of contributors.
 - Containment failures and performance insights.
 - Results of evaluation and insights gained.
 - Documentation regarding other seismic issues.
 - Decay heat removal.
 - Seismic/fire interaction.
 - Inadvertent actuations of fire protection systems.

Example Results





Learning Objectives

- After studying this section you should be able to:
 - Interpret and understand the different presentation of results in a seismic PRA.
 - Judge the reasonableness of sequence contributors given the list of detailed fragilities.



Example Results

- Insights From Submittals
- Relative Importance to CDF
- Key Plant Damage States
- Key Sequence Groups
- Seismic Basic Event Importance



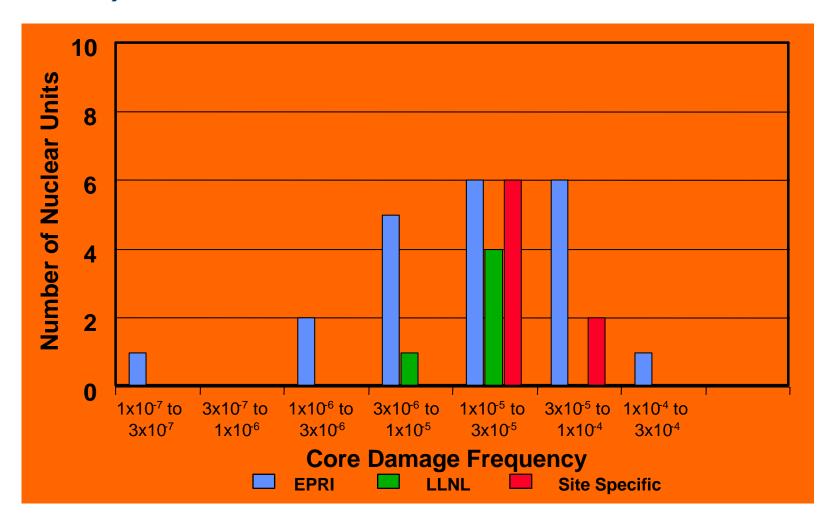
Seismic Core Damage Frequencies

Plant	Unit (34)	A-46	Reactor Type	Seismic IPEEE Approach	RLE	Mean Seismic CDF Per Year	Ratio of Seismic CDF to Internal CDF	Seismic Hazard Used for Base Case
No. 03	1		W-PWR	PRA	0.3g Focused	9.07E-06	7.75%	EPRI
No. 04	2		W-PWR	PRA	0.3g Focused	5.33E-06	8.90%	EPRI
No. 10	1	a	C-E - PWR	PRA	0.3g Focused	1.30E-05	5.40%	Revised LLNL
No. 11	2	a	C-E - PWR	PRA	0.3g Focused	1.50E-05	6.25%	Revised LLNL
No. 12	1 & 2		W-PWR	PRA	0.3g Focused	1.60E-05	36.36%	EPRI
No. 15	1 & 2		W-PWR	PRA	0.3g Focused	1.83E-05	29.23%	Site-Specific
No. 19	1 & 2		W-PWR	PRA	PRA	4.00E-05	45.45%	Site-Specific
No. 29		匍	W-PWR	PRA	0.3g Focused	2.26E-04		EPRI
No. 30			GE-BWR	PRA	0.3g Focused	1.00E-06	2.16%	EPRI
No. 31	2	a	W-PWR	PRA	0.3g Full	1.10E-05	35.14%	EPRI
No. 32	3	a	W-PWR	PRA	0.3g Full	5.30E-05	120.45%	EPRI
No. 33			W-PWR	PRA	0.3g Focused	1.10E-05	16.54%	EPRI
No. 37	1 & 2		W-PWR	PRA	0.3g Focused	1.10E-05	27.50%	EPRI
No. 42	1		GE-BWR	Margin & PRA	0.3g Focused	1.10E-06	20.00%	EPRI
No. 43	2		GE-BWR	Margin & PRA	0.3g Focused	2.50E-07	0.81%	EPRI
No. 46	1,2 & 3		B&W - PWR	PRA	0.3g full	3.60E-05	156.52%	EPRI
No. 47			GE-BWR	PRA	0.3g Focused	3.60E-06	97.56%	EPRI
No. 48			C-E - PWR	PRA	0.3g Focused	8.88E-06	17.20%	Revised LLNL
No. 52			GE-BWR	PRA	0.3g Full	5.82E-05	100.34%	EPRI
No. 53	1 & 2		W-PWR	PRA	0.3g Focused	1.31E-05	12.17%	Revised LLNL
No. 59/No. 60	1 & 2		W-PWR	PRA	0.3g Full	4.70E-06	7.52%	EPRI
No. 61	2 & 3		C-E - PWR	PRA	PRA	1.70E-05	56.67%	Site-Specific
No. 62			W-PWR	PRA	Full	1.20E-05	17.91%	Site-Specific
No. 71	1		B&W - PWR	PRA	0.3g Focused	3.21E-05	71.49%	EPRI
No. 77	2		GE-BWR	PRA	0.5g	2.00E-05	114.29%	Site-Specific

^{*} Decommissioned

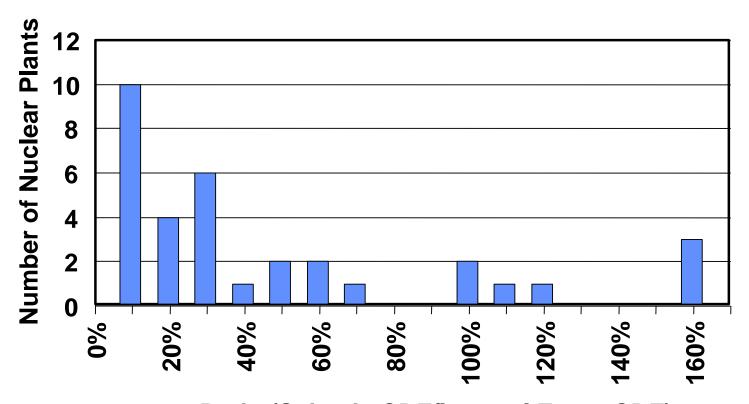


Mean Seismic CDF (EPRI, LLNL, Site Specific Hazard)





Seismic/Internal Event CDF's

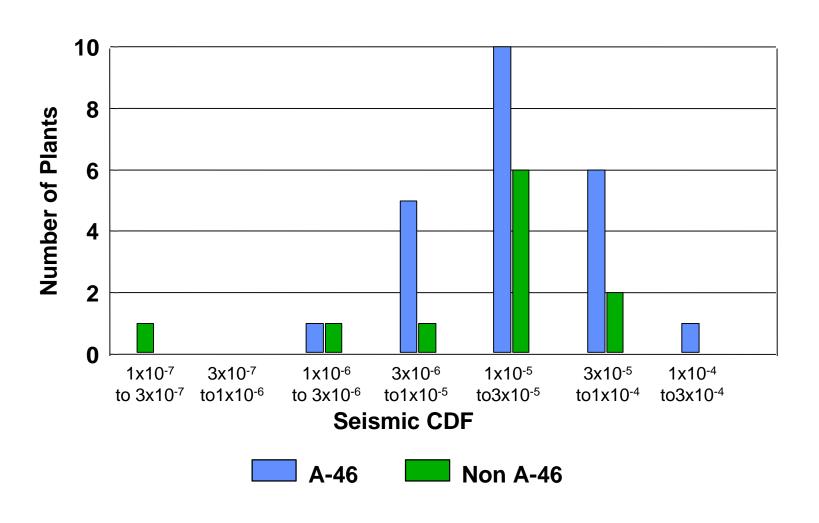


Ratio (Seismic CDF/Internal Event CDF)

33 Plants

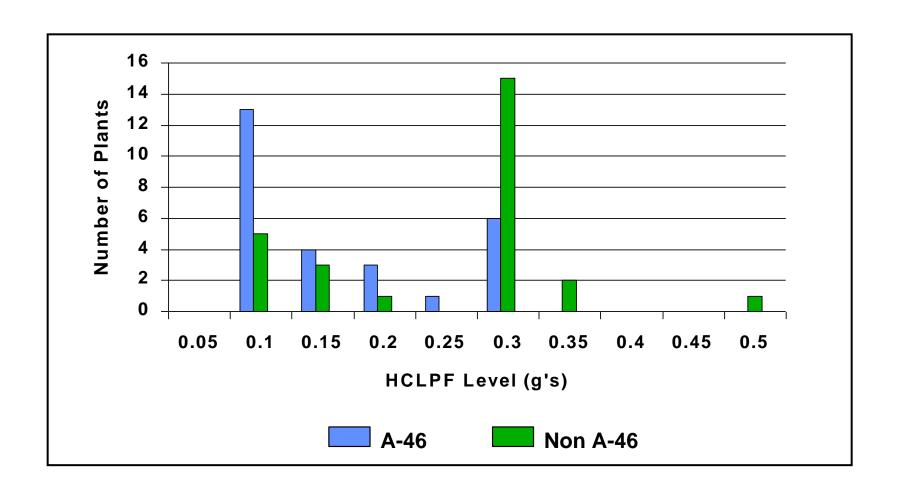


CDF Comparison: Plant Vintage





Plant HCLPF Capacities: Plant Vintage





IPEEE Seismic PRA Results Dominant Risk Contributors: Plant Systems

Unit Code	Off Site Power	Diesel Generators System	AC Power System (Non-Diesel)	Auxiliary/Emergency Feedwater	Service Water System	Main Steam System	Main Feedwater System	Component Cooling Water	DC Power System	Site Unique Sequence/System	Residual/Decay Heat Removal	NSSS
3	х	x							х			
4	x	x										
15/16	x				х							
17/18	x	x										
22/23	x				x	x	x					
27/28	х											
40.	x	x		x			х					
41			x						x			
4 2			x					x				
43	x	x	x								x	
4 4			x		x							x
45/46	x											
50/51	x	x										
5 4	x	x									x	
5 6	x	x										
57	x	x										
60/61/62	x		x							X 2		
63	x	x	x	x								
6 4	x	x				x				X 1		
71 3												
72/73	х	x	x	x								
81/82	х				x				x			
83/84	x		x									
8 5	x	x	х									
89/90	x	x										
94/95	x									X 4		
98	x	x	×									
107	x	х	X									
Total Units = 41	37	20	15	4	7	3	3	1	4	6	2	1

^{*} Decommissioned

¹ Fire Protection System Used As Makeup for CST

² Refers to a Hydro Plant and a Safe Shutdown Facility for Emergency Power

³ Dominant Risk Contributors not defined in the submittal

⁴ Seismic-induced damage to turbine building which leads to loss of cooling of emergency switchgear room, changing pump, RHR heat exchanger, and recirculation spray.



IPEEE Seismic
PRA Results
Dominant Risk
Contributors:
Component Types

Unit Code	Tanks	Relays	Piping	Cable Trays	Valves	Diesel Generators	Electrical Cabinets	Pumps	Batteries/Chargers	Heat Exchangers	Transformers	Buildings	Seismic Interaction	Block Walls	Chillers/HVAC	Fans	Surrogate	NSSS Components
3														х				
4						х					х	х	х					1
15/16	X						х				^	^			х		х	
17/18	X						Х		х									
22/23	X										х	х						
27/28		х					х				X	X						
40*			Х						х						х	х		
41							х											
42	х											х	Х					
43	х						х	Х										
44																	х	
45/46																		
50/51	X						х		х									
54	X					Х						х						Х
56	Х					Х											х	
57	х					х											х	
60/61/62													Х				Х	
63	х					Х						х			16	9		
64	Х				Х		х	х										
71	Х						х	X				х	X	X				Х
72/73				х			х				х						Х	
81/82									х				Х			Х		
83/84							Х				Х	Х					Х	
85	Х					Х	х											
89/90	X	Х				Х	х											
94/95	Х											Х	Х					
98	Х					X				Х			Х					х
107							Х										Х	
otal Units = 41	22	4	1	2	1	9	20	3	7	1	9	13	11	2	3	3	13	3

^{*} Decommissioned



Insights from Seismic IPEEE Submittals

- Need for sensitivity study of operator error rates.
- Fire sources often not clearly located.
- Degradation of fire suppression capability needs greater attention.
- Component screening criteria in a SPRA may not always be chosen sufficiently high. (Surrogate elements are significant contributors or are not included to represent screened out components.)
- Relay chatter effects not quantified for focused scope plants that conducted SPRA.



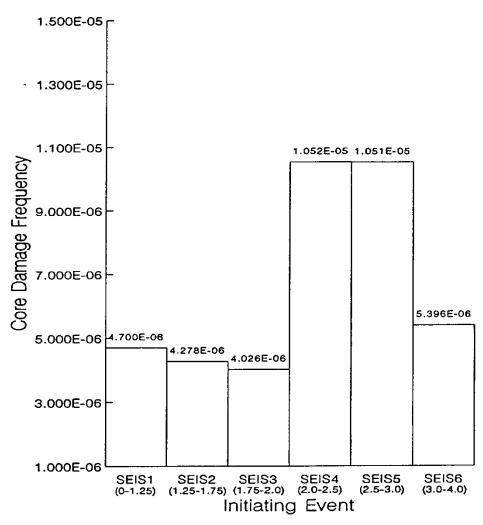
Insights from Seismic IPEEE Submittals (Continued)

- CDFs range from 2.5×10^{-7} to 2.3×10^{-4} /year.
- Eastern site HCLPFs range from 0.09g to 0.50g.*
- Bad actor relays have often been found.
- Soil failures might be of concern (often screened out at lower level than component screening).
- Key failures frequently repeated.
 - Seismic Offsite Power, Electrical Panels, Block Walls, Tanks, Interactions, Structures
 - Random Diesel Generators

*after some modifications

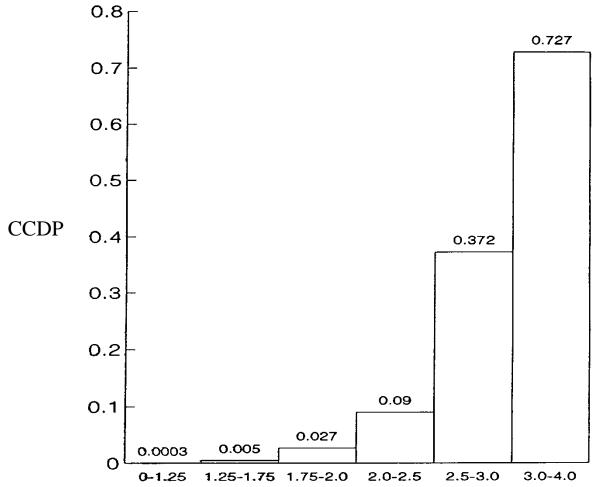


Example - PRA Core Damage Frequency by Initiating Event





Example - Plant Fragility Including Seismic Failure and Random Failure Modes for Seismic



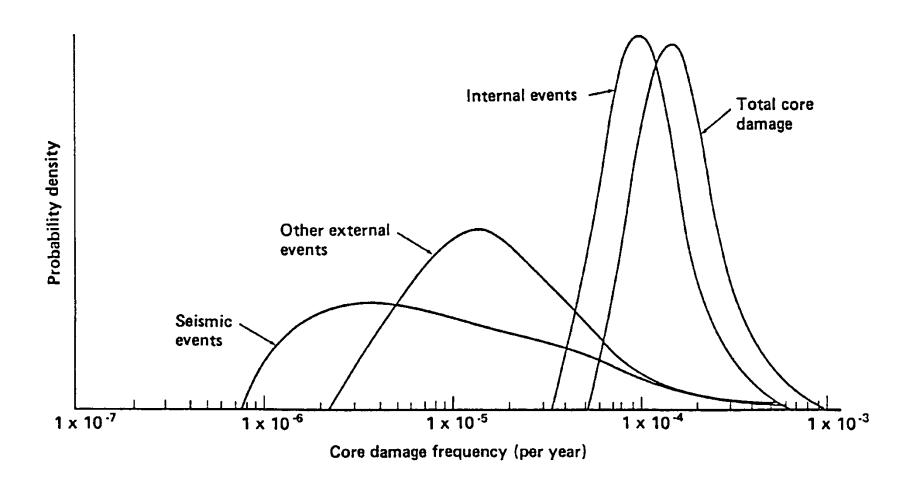


Groups of Seismic Failures Contributing to Core Damage

Sequences Evaluated	Failure Frequency (per year)
Total Seismic Core Damage	3.7 × 10 ⁻⁵
All 4.16-kV Vital AC Switchgear Fail	1.7 × 10 ⁻⁵
Loss of Offsite Power	1.2 × 10 ⁻⁵
Excessive LOCAs	7.8 × 10 ⁻⁶
All Vital 125V DC Fails	5.6 × 10 ⁻⁶
All 120V Vital Instrumentation Fails	1.4 × 10 ⁻⁶
Relay Chatter with Failure To Recover	1.2 × 10 ⁻⁶
Control Room Boards and Hot Shutdown Panel Fail	9.7 × 10 ⁻⁷



Uncertainty Results





Insights From Seismic IPEEEs

- Utility personnel received seismic IPEEE training and generally had a significant participation in the seismic walkdown and evaluation process.
- Seismic walkdown performed for each plant was useful in identifying vulnerabilities.
- Where needed, potential adverse effects of relay chatter have been corrected.
- Containment safeguard equipment have generally been found to be rugged.



Insights From Seismic IPEEs (Continued)

- Simplifications in systems analysis and fragility analysis have limited insights to a qualitative nature.
- Risk reduction from plant improvements often not reported.
- The differences in hazard curves from different studies (LLNL and EPRI) generally do not change dominant contributor ranking (for some cases examined, the choice of hazard could make a significant difference).
- Different ground motion spectra used for PRA and margins, thus HCLPFs not comparable.
- Seismic-induced fires and floods have been addressed in all the plants to varying levels of detail.



Example Issues Identified in Seismic IPEEEs

- Failure of toxic and flammable gas storage tanks.
- Collapse of nearby tall chimney or stack.
- Spatial interactions with columns or grating.
- Incorrectly supported piping between buildings.
- Amplification of ground motion at shallow soil sites.
- Unanchored equipment, such as DG day tanks and DG jacket water expansion tanks, don't meet design basis.
- Banging of unattached adjacent cabinets, causing potential relay chatter.
- Poor battery racks and poorly anchored cabinets.
- Non safety related structures can dominate CDF and LERF results.



Summary

- Seismic PRA and seismic margin are useful tools to analyze beyonddesign-basis events.
- At many plants (including new plants), seismic reviews have identified some design, construction, and maintenance errors.
- Seismic reviews have also identified items with low seismic margin above the design basis earthquake, and identified cost-effective upgrades to improve plant seismic safety.
- Methodology and knowledge gained can be used for future risk informed decisions.



Summary (Continued)

- Seismic reviews have been used to develop training and simulator scenarios, and also to identify changes to operating procedures to improve plant seismic safety.
- In addition to prioritizing seismic plant modifications, PRAs have been used to quantify the risk versus return and the cost effectiveness of modifications.
- IPEEE models and information can potentially be used for future riskinformed plant modifications or regulatory activities.



Summary (Continued)

- ANS Standard for External Event PRA focused on risk informed decisions.
- IPEEE requirements focused on identifying vulnerabilities.
- IPEEE SPRA models will likely require enhancement for future risk informed applications.
- IPEEE SMA studies will require recasting into a quantitative risk model
 - Develop fragilities from HCLPF calculations.
 - Develop risk models from internal event PRA models.





- United States Nuclear Regulatory Commission's (US NRC) move toward risk-informed regulation has increased emphasis on performing probabilistic risk assessments (PRA) for internal and external events.
- Recent domestic (i.e., revision of seismic hazard curves in the eastern US and Central Virginia Earthquake) and foreign developments (i.e., Tōhoku Earthquake and Tsunami) are expected to generate activity in reevaluation of seismic risk for US sites
- On-going efforts to expand and enhance the use of external events PRA tools for US NRC risk-informed reactor oversight activities



- Development of seismic framework for SPAR-EE models suitable for events assessment:
 - Built efficiently
 - Standardized modeling
 - Uses readily available information
- Informed by current PRA standards and requirements, but focused on risk-insights for oversight activities
- Consistent with INL/NRC PRA software tools, i.e., <u>Systems Analysis Programs for Hands-on Integrated</u> <u>Reliability Evaluations (SAPHIRE) quantification code</u> (linked fault tree approach)

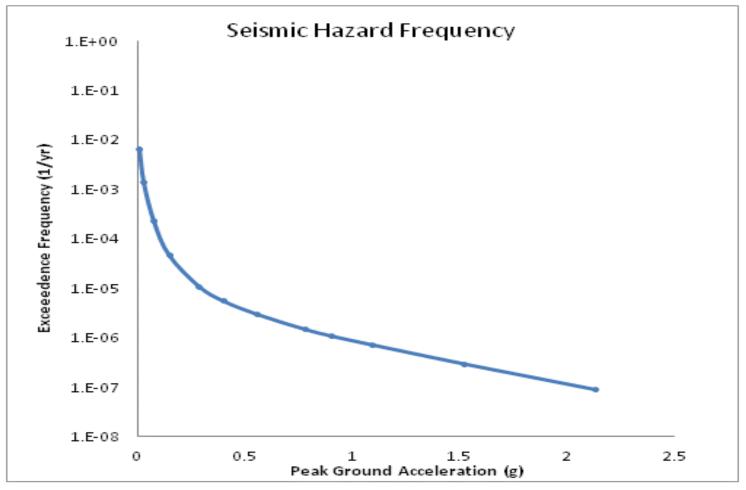


Seismic PRA – SPAR 5 Bins Methodology Seismic Hazard Curve

USGS 2008								
Ground Acceleration (g)	Exceedence Frequency (1/yr)							
0.007	6.800E-03							
0.0098	4.700E-03							
0.0137	3.200E-03							
0.0192	2.200E-03							
0.0269	1.400E-03							
0.0376	8.500E-04							
0.0527	4.600E-04							
0.0738	2.300E-04							
0.103	1.100E-04							
0.145	4.700E-05							
0.203	2.200E-05							
0.284	1.100E-05							
0.397	5.600E-06							
0.556	3.000E-06							
0.778	1.500E-06							
0.9	1.100E-06							
1.09	7.300E-07							
1.52	3.000E-07							
2.13	9.100E-08							



Seismic PRA – SPAR 5 Bins Methodology Seismic Hazard Curve





Seismic PRA – SPAR 5 Bins Methodology Development of Bins vs Frequency

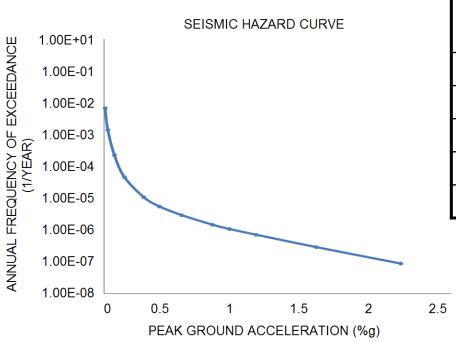
Bin Range = $g_{Bin 1}$ to $g_{Bin 2}$

Bin Magnitude (g) = Sqrt (g $_{Bin 1}$ * g $_{Bin 2}$)

Bin Frequency (1/yr) = Frequency Bin 1 - Frequency Bin 2



- Seismic hazard curve as an output from a probabilistic seismic hazard analysis (PSHA) or other sources
- Discretize hazard curve commensurate with level of detail expected from modeling approach



Bin PGA Range (g)	Bin PGA (g)	Frequency (1/yr)
0.05 - 0.28	0.122	4.49E-04
0.28 - 0.56	0.397	8.00E-06
0.56 - 0.78	0.658	1.50E-06
0.78 - 1.10	0.921	7.70E-07
> 1.10 g	1.287	4.30E-07



Seismic PRA – SPAR 5 Bins Methodology Component Fragility Parameters

SSC Description	Median Capacity (g)	βr	βu	SSC HCLPF (g)	SPAR Event
ACCUMULATOR TANK	1.13	0.29	0.35	0.39	ACC-TNK-EQX-ACCUM
DIVISION 1 AC POWER 6.9kV BUS	1.17	0.24	0.25	0.52	ACP-BAC-EQX-69KV
480V AC BUS Fails	0.69	0.23	0.36	0.26	ACP-BAC-EQX-480V
AFW MDP PUMP	1.07	0.24	0.32	0.42	AFW-MDP-EQX-MDP
AFW TDP PUMP	0.68	0.30	0.30	0.30	AFW-TDP-EQX-TDP
CCW SURGE TANK	0.75	0.21	0.30	0.32	CCW-TNK-EQX-TANK
CONDENSATE STORAGE TANK (!)	0.75	0.21	0.30	0.32	AFW-TNK-EQX-CDSTNK
BRMT PUMP 3BR-E015	1.33	0.18	0.27	0.63	ASI-MDP-EQX-3BRE015
ALTERNATE SEAL INJECTION PUMP	1.33	0.18	0.27	0.63	ASI-PDP-EQX-E001
CCW MDP	1.33	0.18	0.27	0.63	CCW-MDP-EQX-CWMDP
CCW HTX	0.83	0.24	0.29	0.35	CCW-HTX-EQX-CWHTX
CCW RCP HTX	0.83	0.24	0.29	0.35	CCW-HTX-EQX-RCHTX
CDS MDP	1.40	0.25	0.37	0.50	CDS-MDP-EQX-MDP
DIV 1 BATTERY CHARGER	0.51	0.321	0.321	0.18	DCP-BCH-EQX-BTCHG
NON VITAL 125VDC BUS	1.02	0.20	0.42	0.37	DCP-BDC-EQX-125V
AIR COND UNIT	1.40	0.25	0.37	0.50	ECW-MDP-EQX-MDP
HVAC FAN FOR AHU	0.75	0.21	0.21	0.37	ECW-FAN-EQX-CHFAN
CHILLED WATER PUMP	1.40	0.25	0.37	0.50	ECW-CHL-EQX-CHLR
DIESEL GENERATOR	1.07	0.30	0.30	0.40	EPS-DGN-EQX-DGNS



Seismic PRA – SPAR 5 Bins Methodology Component Fragility Parameters

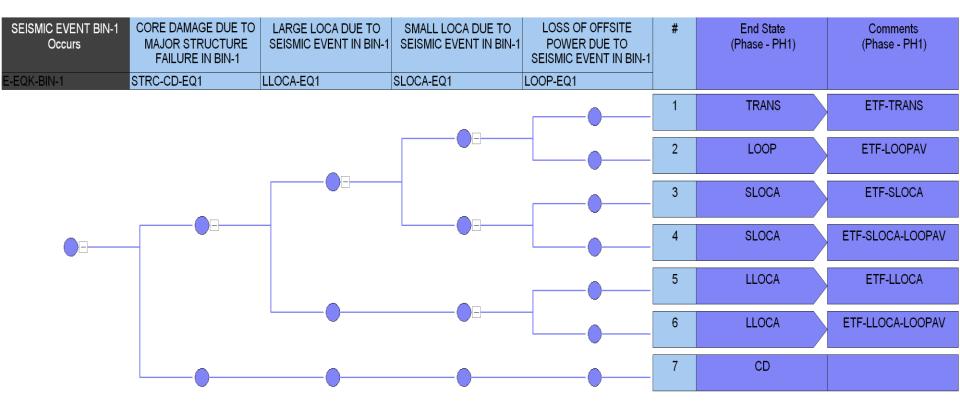
		Bin 1	Bin 2	Bin 3	Bin 4	Bin 5
SSC Description	SPAR Event	0.122	0.400	0.660	0.920	1.290
ACCUMULATOR TANK	ACC-TNK-EQX-ACCUM	4.86E-07	1.12E-02	1.18E-01	3.26E-01	6.15E-01
DIVISION 1 AC POWER 6.9kV BUS	ACP-BAC-EQX-69KV	3.43E-11	9.77E-04	4.93E-02	2.44E-01	6.11E-01
480V AC BUS Fails	ACP-BAC-EQX-480V	2.50E-05	1.01E-01	4.59E-01	7.50E-01	9.28E-01
AFW MDP PUMP	AFW-MDP-EQX-MDP	2.84E-08	6.95E-03	1.14E-01	3.53E-01	6.80E-01
AFW TDP PUMP	AFW-TDP-EQX-TDP	2.57E-05	1.06E-01	4.72E-01	7.62E-01	9.34E-01
CCW SURGE TANK	CCW-TNK-EQX-TANK	3.54E-07	4.30E-02	3.64E-01	7.12E-01	9.31E-01
CONDENSATE STORAGE TANK (!)	AFW-TNK-EQX-CDSTNK	3.54E-07	4.30E-02	3.64E-01	7.12E-01	9.31E-01
BRMT PUMP 3BR-E015	ASI-MDP-EQX-3BRE015	9.07E-14	1.07E-04	1.54E-02	1.28E-01	4.63E-01
ALTERNATE SEAL INJECTION PUMP	ASI-PDP-EQX-E001	9.07E-14	1.07E-04	1.54E-02	1.28E-01	4.63E-01
CCW MDP	CCW-MDP-EQX-CWMDP	9.07E-14	1.07E-04	1.54E-02	1.28E-01	4.63E-01
CCW HTX	CCW-HTX-EQX-CWHTX	1.76E-07	2.62E-02	2.71E-01	6.08E-01	8.79E-01
CCW RCP HTX	CCW-HTX-EQX-RCHTX	1.76E-07	2.62E-02	2.71E-01	6.08E-01	8.79E-01
CDS MDP	CDS-MDP-EQX-MDP	2.32E-08	2.51E-03	4.61E-02	1.74E-01	4.27E-01
DIV 1 BATTERY CHARGER	DCP-BCH-EQX-BTCHG	8.14E-04	2.96E-01	7.15E-01	9.03E-01	9.80E-01
NON VITAL 125VDC BUS	DCP-BDC-EQX-125V	2.50E-06	2.21E-02	1.75E-01	4.12E-01	6.93E-01
AIR COND UNIT	ECW-MDP-EQX-MDP	2.32E-08	2.51E-03	4.61E-02	1.74E-01	4.27E-01
HVAC FAN FOR AHU	ECW-FAN-EQX-CHFAN	8.26E-10	1.85E-02	3.36E-01	7.51E-01	9.64E-01
CHILLED WATER PUMP	ECW-CHL-EQX-CHLR	2.32E-08	2.51E-03	4.61E-02	1.74E-01	4.27E-01
DIESEL GENERATOR	EPS-DGN-EQX-DGNS	1.54E-07	1.02E-02	1.27E-01	3.61E-01	6.70E-01



- Limited component screening performed based on past modeling and operational experience, seismic ruggedness and available data
- Component fragilities of major SSCs obtained from large database of site-specific and generic values

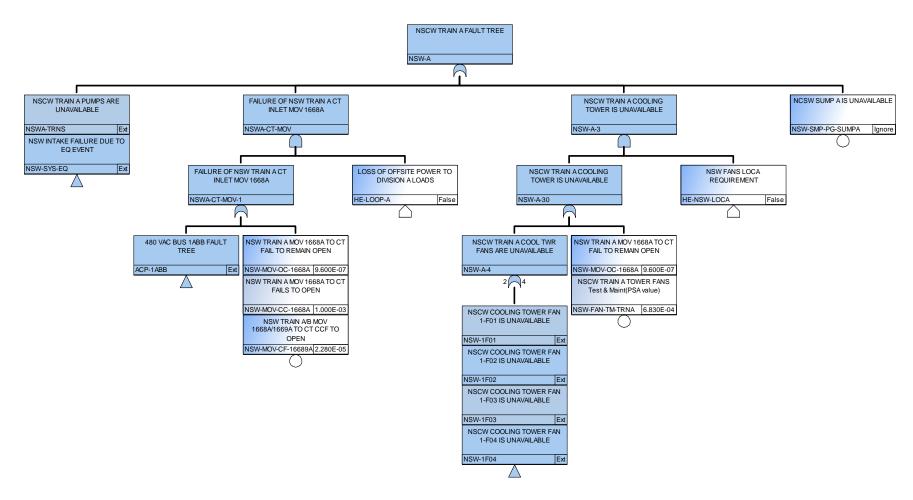


 Seismically-induced initiating event categories defined hierarchically in event tree modeling for each bin

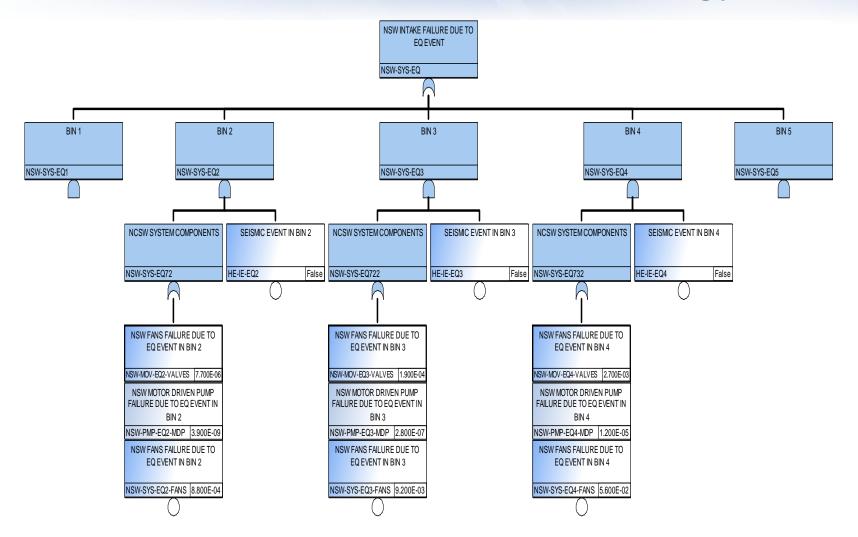




PRA Level 1 fault trees modified to include seismic failure modes, connected to fault tree via event tree rules









Name	Description	Prob	CDF	CCDP	Count
IE-EQK-BIN-1	SEISMIC EVENT IN BIN 1 (0.1 - 0.5g) OCCURS (BIN PGA 0.224)	2.52E-03	3.24E-07	1.28E-04	5417
IE-EQK-BIN-2	SEISMIC EVENT IN BIN 2 (0.5 - 0.75g) OCCURS (BIN PGA 0.612)	1.08E-04	2.60E-06	2.40E-02	2241
IE-EQK-BIN-3	SEISMIC EVENT IN BIN 3 (0.75 - 1.0g) OCCURS (BIN PGA 0.866)	2.80E-05	2.79E-06	9.98E-02	1289
IE-EQK-BIN-4	SEISMIC EVENT IN BIN 4 (1.0 - 1.5g) OCCURS (BIN PGA 1.22)	1.17E-05	3.84E-06	3.29E-01	968
IE-EQK-BIN-5	SEISMIC EVENT IN BIN 5 (> 1.5g) OCCURS (BIN PGA 2.12)	1.57E-06	1.42E-06	9.05E-01	501
	SUM		1.10E-05		

- Overall seismic contribution to Core Damage Frequency (CDF) similar in magnitude to internal events results
- Cut set results dominated by structural failures leading directly to core damage
 - Bin 4 and 5, CCDP approaches to unity
 - Bin 2 and 3 events compounded by random failures



Seismic PRA – SPAR 5 Bins Methodology

• Importance measures calculated for individual basic events: Fussell-Vesely (FV), Risk Increase Ratio (RIR), Risk Reduction Ration (RRR), and Birnbaum measure

DESCRIPTION	Prob	FV	RIR	RRR	Birnbaum
CORE DAMAGE DUE TO FAILURE OF CRITICAL INFRA STRUCTURES IN BIN-1	8.26E-10	1.11E-07	135.0	1.00	4.49E-04
CORE DAMAGE DUE TO REACTOR INTERNAL AND CORE ASSEMBLY FAILURE IN BIN-1	6.94E-06	9.29E-04	135.0	1.00	4.49E-04
CORE DAMAGE DUE TO REATOR COOLANT PIPING FAILURE IN BIN-1	3.22E-08	4.31E-06	135.0	1.00	4.49E-04
CORE DAMAGE DUE TO REACTOR PRESSURE VESSEL FAILURE IN BIN-1	1.13E-06	1.51E-04	135.0	1.00	4.49E-04
NON VITAL 125VDC BUS FAILS DUE TO EQ EVENT IN BIN 1	2.50E-06	3.33E-04	131.0	1.00	4.35E-04
CCF 10 OR MORE RCCAS FAIL TO DROP	1.21E-06	1.94E-05	15.9	1.00	4.98E-05
ATWS DUE TO SEISMIC EVENT IN BIN 1	6.94E-06	1.03E-04	15.2	1.00	4.75E-05
SEISMIC EVENT BIN-1 (0.05g - 0.28g) Occurs (BIN ACCELARATION = 0.122g)	4.49E-04	1.65E-03	4.7	1.00	1.23E-05
CORE DAMAGE DUE TO REACTOR INTERNAL AND CORE ASSEMBLY FAILURE IN BIN-2	2.04E-02	4.86E-02	3.1	1.05	7.28E-06
CORE DAMAGE DUE TO FAILURE OF CRITICAL INFRA STRUCTRES IN BIN-2	1.85E-02	4.41E-02	3.1	1.04	7.27E-06
CORE DAMAGE DUE TO REACTOR PRESSURE VESSEL FAILURE IN BIN-2	3.19E-03	7.61E-03	3.1	1.01	7.16E-06
CORE DAMAGE DUE TO STEAM GENRATOR SUPPORT FAILURE IN BIN-2	8.57E-06	2.04E-05	3.1	1.00	7.13E-06
CORE DAMAGE DUE TO REATOR COOLANT PIPING FAILURE IN BIN-2	2.71E-04	6.46E-04	3.1	1.00	7.13E-06
NON VITAL 125VDC BUS FAILS DUE TO EQ EVENT IN BIN 2	2.21E-02	5.05E-02	2.4	1.05	4.90E-06
CCF OF RTB-A AND RTB-B (MECHANICAL)	1.61E-06	2.20E-06	2.4	1.00	4.57E-06
ATWS DUE TO SEISMIC EVENT IN BIN 2	2.04E-02	3.39E-02	2.1	1.03	3.76E-06
FAILURE OF CONDENSATE STORAGE TANK DUE TO EQ EVENT IN BIN 2	4.30E-02	6.03E-02	2.0	1.06	3.42E-06
COMMON CAUSE FAILURE OF BATTERIES	4.99E-07	6.03E-07	1.9	1.00	2.97E-06
SMALL LOCA DUE TO SEISMIC EVENT IN BIN-2	2.80E-02	2.47E-02	1.7	1.02	2.27E-06
CCF 6 BISTABLES IN 3 OF 4 CHANNELS	2.70E-06	1.78E-06	1.7	1.00	2.21E-06



Seismic PRA - SPAR 5 Bins Methodology

- Seismic PRA framework developed and implemented for both current and new US commercial reactors in SAPHIRE software environment
- Software capable of using input seismic hazard curve, fragility information to develop insights into overall results, major contributors and sensitivity analysis
- Application of methodology provides a mechanism to evaluate risk for US operational events and determination of individual component safety significance worth

Fire Risk Analysis History





Fire PRA History

 Appendix R to Part 50 - Fire Protection Program for Nuclear Power Facilities Operating Prior to January 1, 1979

Three levels of fire damage limits are established according to the safety functions of the structure, system, or component:

Safety function	Fire damage limits
Hot Shutdown	One train of equipment necessary to achieve hot shutdown from either the control room or emergency control station(s) must be maintained free of fire damage by a single fire, including an exposure fire. 1
Cold Shutdown	Both trains of equipment necessary to achieve cold shutdown may be damaged by a single fire, including an exposure fire, but damage must be limited so that at least one train can be repaired or made operable within 72 hours using onsite capability.
Design Basis Accidents	Both trains of equipment necessary for mitigation of consequences following design basis accidents may be damaged by a single exposure fire.

 Plants licensed to operate after January 1, 1979, are required to comply with 10 CFR 50.48(a), as well as any plant-specific fire protection license conditions and technical specifications.



Fire PRA History

- WASH-1400
 - Risk Judged to be negligible
- 2001 NFPA-805; Performance based Standards for Fire protection for LWR
- 2004 NRC Amended requirements: Voluntary adoption of NFPA-805
- 2005 NUREG/CR-6850; Guidance on quantification of fire risk (EPRI)
- 2007 NRC-EPRI, V&Ved of Five fire model tools per NFPA-805
- 2012 NUREG/CR 1934 NPP Fire Modeling Analysis Guidelines

Fire Risk Analysis Introduction





What is a "Fire"?

- Fire occurs within the plant
- Fire results in plant trip
- Fire causes systems needed for safe shutdown to become unavailable
 - Increases likelihood of core damage
- Sometimes referred to as:
 - External Event
 - Internal Fire
 - Fires external to the plant boundary have generally been judged to be not risk significant.



Questions Commonly Asked in Fire Risk Analysis

- Where and how much:
 - Ignition Sources
 - Combustible Loading
 - Critical Components
- Are there any room features that affect fire growth?
- Can a fire grow to a size that can damage the critical components in its vicinity?
- If a fire can potentially damage critical components in its vicinity, when will it happen?
 - Fire is a horse race between damage and suppression



Fire Area vs. Fire compartment

- Fire area portion of building that is separated from other areas by a rated fire barrier (per Reg Guide 1.189)
- Fire compartment enclosed room where a fire would be expected to be substantially confined
 - Defined to support a fire PRA



Important Definitions

Fire Modeling vs Fire Analysis Task: Analytical process of predicting the behavior of a fire event in terms of heat flux impinging material near the fire and behavior of those material as a result of that. – Fire engineer

Zone of Influence: The area around a fire where radiative and convective heat transfer is sufficiently strong to damage equipment or cables and/or heatup other materials to the point of auto-ignition.

Fire PRA: Estimating the probability of equipment damage/failure as a result of fire and overall consequences.



Compartment Fires

- Compartment fires are enclosure fires that are confined (at least initially) to a single compartment or space (i.e. room or hall way) within a structure.
- The course of the compartment fire and the conditions that result depend on the following major variables (others also exist):
 - Fire growth time
 - Heat release rate (HRR) of combustibles
 - Enclosure size
 - Enclosure design and construction
 - Enclosure ventilation
 - Suppression systems

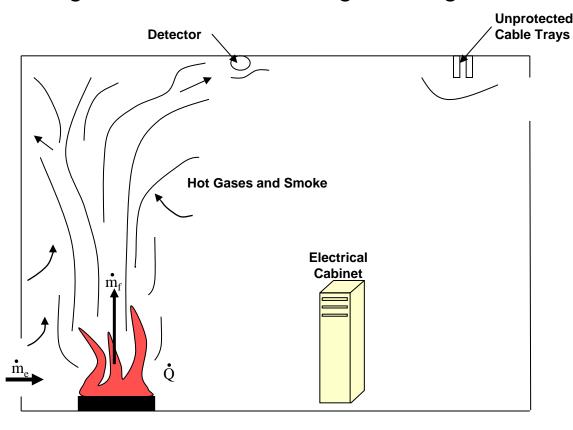


Compartment Fires

- Ventilation is achieved through open doors and windows and HVAC systems
 - In some ventilated situations, ventilation is stopped automatically under fire conditions either through termination of HVAC system operation or shutting or closing of fire doors and dampers
 - However, in other situations, ventilation may continue or unprotected opening may remain open
 - These fires typically progress through 4 stages (or phases) as a function of time



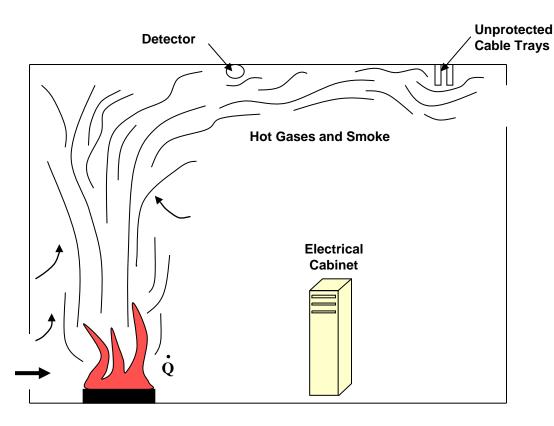
Stage 1: Fire Plume/Ceiling Jet Stage



- Hot gases rise to ceiling and entrain cool air
 - Decrease in plume temperature
 - Increase in smoke volume
- Plume gases form a ceiling jet and continues to extend until confined by enclosure boundaries



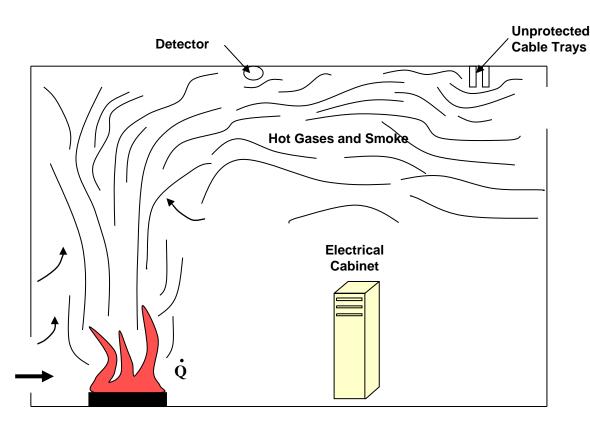
Stage 2: Unvented Smoke Filing Stage



- Ceiling jet spreads to the full extent of compartment
- Smoke descends due to gas expansion
- Heat addition increase smoke layer temperature
- Continuing entrainment of cool air slows temperature increase



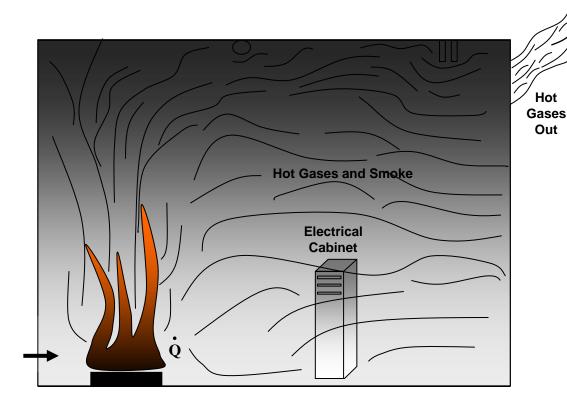
Stage 3: Pre-flashover Vented Stage



- Smoke begins to flow from the compartment
- Ventilation may occur naturally through openings (doorways)
- Ventilation may be forced by HVAC systems
- Smoke layer may continue to expand and descend



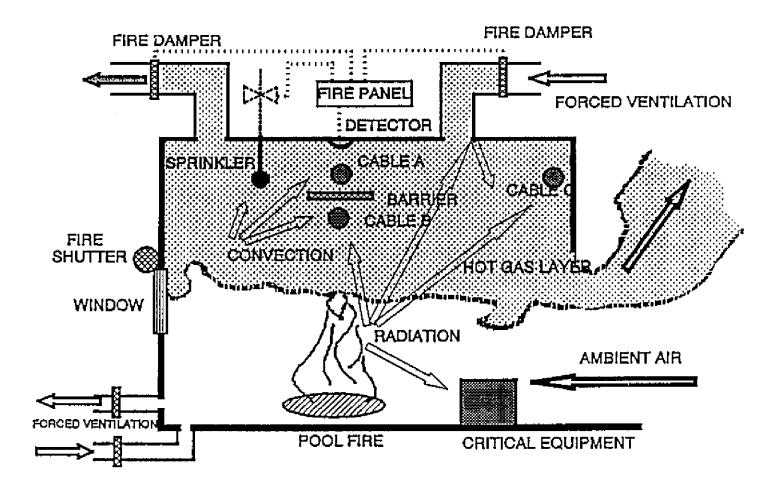
Stage 4: Post-flashover Vented Stage



- Most significant hazard
- Thermal conditions have reached a point where all exposed combustibles ignite
- With adequate ventilation, flames may fill the enclosed volume
- Flashover causes fire to reach fully developed state where all fuel within the compartment becomes involved



Typical Features in a Compartment Fire





Latest (Jointly Accepted) Guidance on Fire PRA

- NUREG/CR-6850, Vol. 1 & 2, September 2005
- Joint effort between NRC and EPRI
 - Report also identified as EPRI 1011989
 - Includes advances in the state-of-the-art on Fire PRA
- Talk about this in more detail later
- EPRI has recently published new interim guidance on several issues arising from pilot applications of NUREG/CR-6850
 - NRC has not accepted these updates
- Joint work ongoing in Fire HRA



Acceptable Methods for Performing Internal Fire PRA

- The analysis should contain or involve the following:
 - Identification of plant areas/locations in which a fire could impact safety-related equipment.
 - Calculate a fire initiating event frequency in each area.
 - Estimation of the likelihood of equipment damage by a fire in the area.
 - Calculate the fire-induced core damage frequency for each area.
 - Determine impact of fire on containment performance.



Major Steps of Fire Risk Analysis

- Qualitative Analysis of Spatial Interactions
 - Information gathering, data collection, and plant walkthrough.
 - Plant fire locations definitions.
 - Development of fire location characteristics tables.
 - Development of location-based fire scenarios.
- Scenario Screening Analysis
 - Qualitative screening.
 - Quantitative screening.

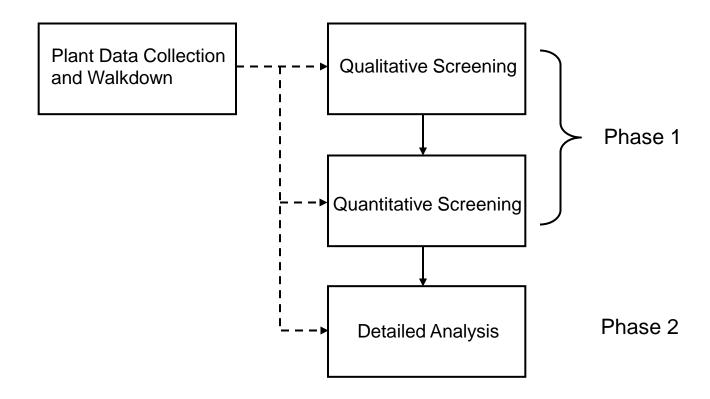


Major Steps of Fire Risk Analysis (continued)

- Detailed Scenario Analysis
 - Information review and plant walkthrough
 - For each compartment/scenario not screened
 - Evaluation of scenario frequency refinement factors.
 - Analysis of plant response.
 - Risk quantification and sensitivity/uncertainty analysis.



Internal Fire PRA - Two-Phase Approach



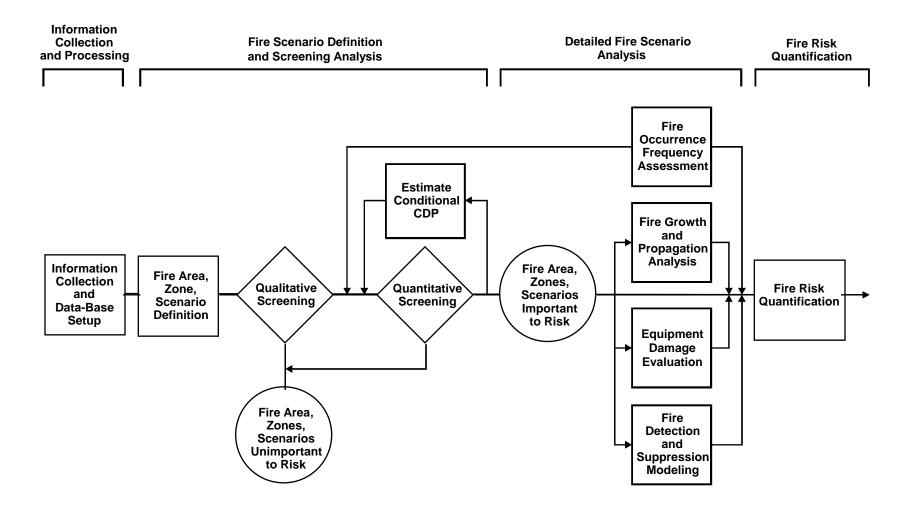


Internal Fire PRA - Key Aspects

- Phase 1 Screening Analysis to Identify Important Fire Locations
 - Screen out areas not risk significant.
- Phase 2 Detailed Analysis of the Important Fire Scenarios
 - Involves analysis of fire frequencies and performance evaluation of fire scenarios (propagation, damage, and suppression).
 - Phenomenological models (COMPBRN, CFDs, etc.) for fire growth.
 - Reliability and/or state-transition models for suppression.
 - Uses logic models developed for Internal Events PRAs.

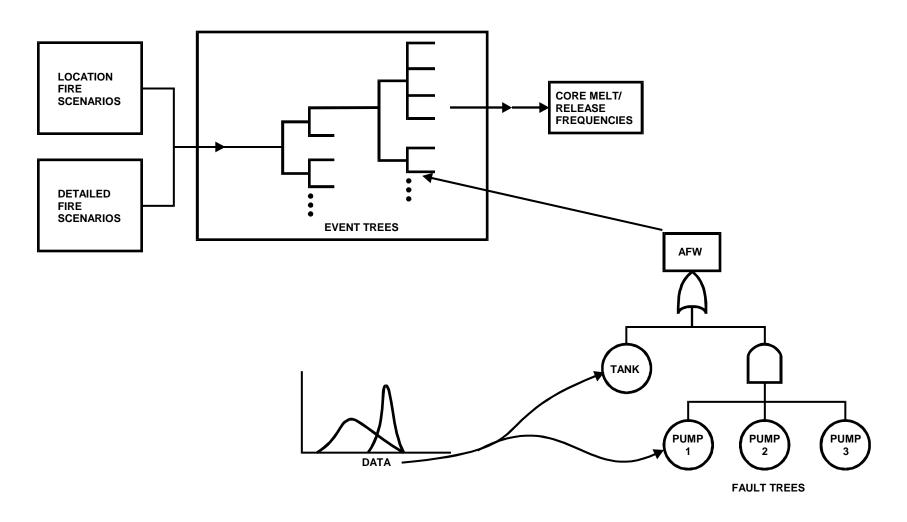


Overview of an Internal Fire PRA Approach





Fire Risk Quantification

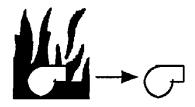




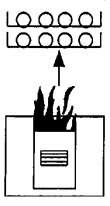
Detailed Fire Scenarios

Example types of fire scenarios (fire source and fire targets):

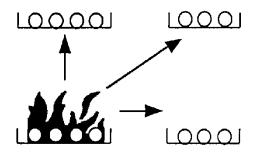
• Equipment fire affecting other equipment



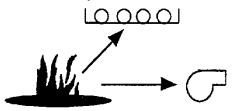
Equipment fire affecting raceways



Raceway fire affecting other raceways



 Transient fire affecting equipment and/or raceways





Fire Occurrence Frequency Assessment

- Determine the fire frequency for each fire location/scenario; fire initiating event frequency.
- Two approaches in fire occurrence frequency assessment:
 - Location based: auxiliary building, turbine building, diesel generator building, etc.
 - Component based: Battery, pumps, cable, transformer, motors, etc.



Fire Occurrence Frequency Assessment (continued)

- Two kinds of information needed:
 - Number of fire events.
 - Corresponding number of years of operating experience.
- Two steps involved in fire frequency determination for the plant fire locations/scenarios:
 - Calculate the fire occurrence frequencies for the plant buildings/components.
 - Apportion the fire frequencies to the fire locations/scenarios.



Location-Based Fire Occurrence Frequency Assessment

- Used in earlier fire PRAs.
- Fire events are categorized according to the location of occurrences regardless of fire source.
- The generic fire occurrence frequencies are updated (Bayesian) with plant specific experience.



Location-Based Fire Occurrence Frequency Assessment (continued)

- Fire occurrence frequency for a building is then apportioned to all the fire areas/zones in the building based on the characteristics of the fire areas/zones:
 - Amount of ignition sources.
 - Amount of combustibles.
 - The size of the fire area/zone.
 - Activity level in the fire area/zone.
 - Whether the fire area/zone is controlled.

Five Fire Analysis Tools





Fire Analysis Tools -

- Fire Dynamic Tool (FDT) NUREG/CR-1805
 - Developed by NRC; Algebraic Model, Excel Spread Sheet, Screening Calculation
- Fire-Induced Vulnerability Evaluation (FIVE) EPRI TR-100370
 - Developed by EPRI; Algebraic Model, Excel Spread Sheet, Screening Calculation
- Consolidated Fire Growth And Smoke Transport (CFAST) NIST
 - Detailed Fire modeling, 2-Zone Model; Hot gas layer and cool layer, Simple geometry
- Global Analysis Model for fire into Compartments (MAGIC) EDF
 - Detailed Fire modeling, Two zones approach; Hot gas layer and cool layer, Simple geometry
- Computation Fluid Dynamics Model (FDS) NIST
 - Detailed Fire modeling in complex geometries, Complex input, run time in hours to days



Fire Analysis Tools Summary of five fire models

Fire Model Class	Examples	Typical Applications	Advantages	Disadvantages
Algebraic models	FDTS FIVE- Rev1	Screening calculations; ZOI; target damage by thermal radiation, HGL, or thermal plume acting in isolation.		Limited application range; treats phenomena in isolation; typically applicable only to steady state or simply defined transient fires (e.g., proportional to the square of time or t^2 fires).
Zone Model	CFAST MAGIC	Detailed fire modeling in simple geometries; often used to compute HGL temperatures and target heat fluxes.	Simple to use; couples HGL and localized effects; quick results; ability to perform multiple parameter sensitivity studies.	Error increases with increasing deviation from a rectangular enclosure; large horizontal flow paths not well treated.
Computation Fluid Dynamics Model	FDS	Detailed fire modeling in complex geometries, including computing time to target damage and habitability (main control room (MCR) abandonment or manual action feasibility).	Ability to simulate fire conditions in complex geometries and with complex vent conditions.	Significant effort to create input files and post- process the results; long simulation times; difficult to model curved geometry, smoke detector performance, and conditions after sprinkler actuation.



Fire Analysis Tools Summary of FDT Modules

Table 2-2. Routines included in the FDT ^s .			
FDT ^S Spreadsheet Function Name	eadsheet NUREG-1805 Chapter and Function Description		
02.1_Temperature_NV.xls	Chapter 2. Predicting Hot Gas Layer Temperature and Smoke Layer Height in a Compartment Fire with Natural Ventilation (Compartment with Thermally Thick/Thin Boundaries): Method of McCaffrey, Quintiere, and Harkleroad (MQH)	V&V provided	
02.2_Temperature_FV.xls	Chapter 2. Predicting Hot Gas Layer Temperature in a Compartment Fire with Forced Ventilation (Compartment with Thermally Thick/Thin Boundaries): Method of Foote, Pagni, and Alvares (FPA) and Method of Deal and Beyler	V&V provided	
02.3_Temperature_CC.xls	Chapter 2. Predicting Hot Gas Layer Temperature in a Compartment Fire with Door Closed (Compartment has Sufficient Leaks to Prevent Pressure Buildup; leakage is Ignored): Method of Beyler	V&V provided	
03_HRR_Flame_Height_ Burning_Duration_Calculation. xls	Chapter 3. Estimating Burning Characteristics of Liquid Pool Fire, HRR, Burning Duration, and Flame Height	V&V provided for flame height only	
04_Flame_Height_Calculations. xls	Chapter 4. Estimating Wall Fire Flame Height, Line Fire Flame Height Against the Wall, and Corner Fire Flame Height	V&V not provided	
05.1_Heat_Flux_Calculations_ Wind_Free.xls	Chapter 5. Estimating Radiant Heat Flux from Fire to aTarget Fuel (Wind-Free Condition):Point Source Radiation Model (Target at Ground Level); Solid Flame Radiation Model (Target at Ground Level); and Solid Flame Radiation Model (Target Above Ground Level)	V&V provided for the point source model and the solid flame radiation model(above	
05.2_Heat_Flux_Calculations_ Wind.xls	Chapter 5. Estimating Radiant Heat Flux from Fire to a Target Fuel (Presence of Wind): Solid Flame Radiation Model (Target at Ground Level); and Solid Flame Radiation Model (Target Above Ground Level)	V&V not provided	



Fire Analysis Tools Summary of FDT Modules (Continued)

FDT ^S Spreadsheet Function Name	NUREG-1805 Chapter and Function Description	NUREG-1824 Verification and Validation Status	
05.3_Thermal_Radiation_From_ Hydrocarbon_Fireballs.xls	Chapter 5. Estimating Radiant Heat Flux from Fire to a Target Fuel: Estimating Thermal Radiation from Hydrocarbon Fireballs	V&V not provided	
06_Ignition_Time_Calculations. xls	Chapter 6. Estimating the Ignition Time of a Target Fuel Exposed to a Constant Radiative Heat Flux Method of Estimating Piloted Ignition Time of Solid Materials Under Radiant Exposures Method of:(1) Mikkola and Wichman;(2) Quintiere and Harkleroad; (3) Janssens;(4) Method of Toal, Silcock, and Shields; and(5) Method of Tewarson	V&V not provided	
07_Cable_HRR_ Calculations.xls	Chapter 7. Estimating Full-Scale Heat Release Rate of a Cable Tray Fire	V&V not provided	
08_Burning_Duration_Soild.xls	Chapter 8. Estimating Burning Duration of Solid Combustibles	V&V not provided	
09_Plume_Temperature_ Calculations.xls	Chapter 9. Estimating Centerline Temperature of a Buoyant Fire Plume	V&V provided	
10_Detector_Activation_ Time.xls	Estimating Detector Response Times: Chapter 10. Estimating Sprinkler Response Time Chapter 11. Estimating Smoke Detector Response Time Chapter 12. Estimating Heat Detector Response Time	V&V not provided	
13_Compartment_Flashover_ Calculations.xls	Chapter 13. Predicting Compartment Flashover Compartment Post-Flashover Temperature: Method of Law Minimum Heat Release Rate Required to Compartment Flashover:(1) Method of McCaffrey, Quintiere, and Harkleroad(MQH);(2) Method of Babrauskas; and(3) Method of Thomas	V&V not provided	
14_Compartment_Over_ Pressure_Calculations.xls	Chapter 14. Estimating Pressure Rise Attributable to a Fire in a Closed Compartment	V&V not provided	



Fire Analysis Tools Summary of FDT Modules (Continued)

FDT ^S Spreadsheet Function Name	NUREG-1805 Chapter and Function Description	NUREG-1824 Verification and Validation Status
15_Explosion_Claculations.xls	Chapter 15. Estimating the Pressure Increase and Explosive Energy Release Associated with Explosions	V&V not provided
16_Battery_Compartment_ Flammable_Gas_Conc.xls	Chapter 16. Calculating the Rate of Hydrogen GasGeneration in Battery Compartments: Method of Estimating Hydrogen Gas Generation Rate in Battery Compartments; Method of Estimating Flammable Gas and Vapor Concentration Buildup in Enclosed Spaces; and Method of Estimating Flammable Gas and Vapor Concentration Buildup Time in Enclosed Spaces	V&V not provided
17.1_FR_Beams_Columns_ Substitution_Correlation.xls	Chapter 17. Calculating the Fire Resistance of Structural Steel Members (Algebraic Models): Beam Substitution Correlation (Spray-Applied Materials); and Column Substitution Correlation (Spray-Applied Materials)	V&V not provided
17.2_FR_Beams_Columns_ Quasi_Steady_State_Spray_ Insulated.xls	Chapter 17. Calculating the Fire Resistance ofStructural Steel Members (Algebraic Models): Heat Transfer Analysis using Numerical Methods Protected; and Steel Beams and Columns (Spray-Applied)	V&V not provided
17.3_FR_Beams_Columns_ Quasi_Steady_State_Board_ Insulated.xls	Chapter 17. Calculating the Fire Resistance of Structural Steel Members: Heat Transfer Analysis using Numerical Methods Protected Steel Beams and Columns (Board Materials)	V&V not provided
17.4_FR_Beams_Columns_ Quasi_Steady_State_ Uninsulated.xls	Chapter 17. Calculating the Fire Resistance of Structural Steel Members: Heat Transfer Analysis using Numerical Methods Unprotected Steel Beams and Columns	V&V not provided
18_Visibility_Through_Smoke. xls	Chapter 18. Estimating Visibility Through Smoke	V&V not provided



Fire Analysis Tools Summary of FIVE Modules

FIVE- Rev1Function	Function Description	NUREG-1824 Verification and Validation Status
Qf	Heat release rate profile considering t ² growth and four stages.	V&V not provided
Firr	Estimates flame irradiation at distance r from the fire source. Point source approximation for REMOTE targets.	V&V provided
FHeight	Flame height based on Heskestad flame height correlation.	V&V provided
TpAlpert	Plume temperature at a specific height based on Alpert plume temperature correlation.	V&V not provided
TpMcCaffrey	Plume temperature at a specific height based on McCaffrey plume temperature correlation.	V&V not provided
TpHeskestad	Plume temperature at a specific height based on Heskestad plume temperature correlation.	V&V not provided
Plcflux	Estimates convective heat flux in the fire plume.	V&V not provided
VpAlpert	Plume velocity at a specific height based on Alpert's plume temperature correlation.	V&V not provided
VpMcCaffrey	Plume velocity at a specific height based on McCaffrey plume temperature correlation.	V&V provided
VpHeskestad	Plume velocity at a specific height based on Heskestad plume temperature correlation.	V&V provided
EpZukoski	Air entrainment into plume based on Zukoski plume entrainment correlation.	V&V not provided
EpThomas	Air entrainment into plume based on Thomas plume entrainment correlation.	V&V not provided
EpHeskestad	Air entrainment into plume based on Heskestad plume entrainment correlation.	V&V not provided



Fire Analysis Tools Summary of FIVE Modules (Continued)

FIVE- Rev1Function	Function Description	NUREG-1824 Verification and Validation Status
PdHeskestad	Estimates plume diameter based on Heskestad plume correlation.	V&V not provided
TcjAlpert	Unconfined ceiling jet temperature based on Alpert ceiling jet correlation.	V&V provided
TcjDelichatsios	Confined ceiling jet temperature based on Delichatsios ceiling jet correlation.	V&V not provided
Cjcflux	Estimates convective heat flux in the ceiling jet.	V&V not provided
VcjAlpert	Unconfined ceiling jet velocity based on Alpert ceiling jet correlation.	V&V not provided
MQHTemperature	Compartment temperature after a specified time, given a steadyHRR based on MQH approach.	V&V provided
MQHFlashover	Heat release rate required for flashover after a specified time based on MQH approach.	V&V not provided
FiveTemp	Estimates compartment temperature based on FIVE-Rev1.	V&V provided
Detact	Activation time of heat detection devices based on heat release rate profiles.	V&V not provided
Aset	Time required by Hot Gas Layer to reach a specific height based on heat release rate profiles and openings at the bottom of the enclosure.	V&V not provided
CThrr	Estimates heat release rate from cable trays. The correlation is based on 14 experiments with a stack of 12 horizontal cable trays and 2 experiments with a combination of 12 horizontal cable trays and 3 vertical trays.	V&V not provided
Visib	Estimates the length of a visible path in a smoke environment. The correlation applies to light-reflecting signs.	V&V not provided
Ttar	Estimates target temperature under constant heat flux.	V&V not provided
Ttdam	Time to target damage under constant heat flux.	V&V not provided



Fire Analysis Tools

Fire modeling attributes included in NUREG 1824/EPRI 1011999 (2007)

Fine Mandeline Attailmetes	Fire Model							
Fire Modeling Attributes	FDT ^s	FIVE-Rev1	CFAST	MAGIC	FDS			
Hot Gas Layer (HGL) Temperature	YES	YES	YES	YES	YES			
Hot Gas Layer (HGL) Height	NO	NO	YES	YES	YES			
Ceiling Jet Temperature	NO	YES	YES	YES	YES			
Plume Temperature	YES	YES	NO	YES	YES			
Flame Height	YES	YES	YES	YES	YES			
Radiated Heat Flux to Targets	YES	YES	YES	YES	YES			
Total Heat Flux to Targets	NO	NO	YES	YES	YES			
Total Heat Flux to Walls	NO	NO	YES	YES	YES			
Wall Temperature	NO	NO	YES	YES	YES			
Target Temperature	NO	NO	YES	YES	YES			
Smoke Concentration	NO	NO	YES	YES	YES			
Oxygen Concentration	NO	NO	YES	YES	YES			
Room Pressure	NO	NO	YES	YES	YES			

Fire Frequency Bins and Generic Frequencies





Plant Generic Locations

Eight Plant Generic Locations and Thirty Seven Fire Frequency Bins Plant Generic Locations

- Battery Room
- Containment (PWR)
- Control Room
- Control/Auxiliary/Reactor Building
- Diesel Generator Room
- Plant-Wide Components
- Transformer Yard
- Turbine Building



Fires in LWRs (NUREG/CR-6850)

Table 6-1 Fire Frequency Bins and Generic Frequencies (Continued)

	1	Ignition Source	Gener			S	plit Fraction	ns for Fire	Туре	
ID	Location	(Equipment Type)	Mode	Freq (per rx yr)	Electrical	Oil	Transient	Hotwork	Hydrogen	HEAF'
25	Plant-Wide Components	Transients	Power	9.9E-03	0	0	1.0	0	0	0
26	Plant-Wide Components	Ventilation Subsystems	All	7.4E-03	0.95	0.05	0	0	0	0
27	Transformer Yard	Transformer – Catastrophic ²	Power	6.0E-03	1.0°		0	0	0	0
28	Transformer Yard	Transformer - Non Catastrophic ²	Power	1.2E-02	1.0°		0	0	0	0
29	Transformer Yard	Yard transformers (Others)	Power	2.2E-03	1.0	0	0	0	0	0
30	Turbine Building	Boiler	All	1.1E-03	0	1.0	0	0	0	0
31	Turbine Building	Cable fires caused by welding and cutting	Power	1.6E-03	0	0	0	1.0	0	0
32	Turbine Building	Main Feedwater Pumps	Power	1.3E-02	0.11	0.89	0	0	0	0
33	Turbine Building	Turbine Generator Excitor	Power	3.9E-03	1.0	0	0	0	0	0
34	Turbine Building	Turbine Generator Hydrogen	Power	6.5E-03	0	0	0	0	1.0	0
35	Turbine Building	Turbine Generator Oil	Power	9.5E-03	0	1.0	0	0	0	0
36	Turbine Building	Transient fires caused by welding and cutting	Power	8.2E-03	0	0	0	1.0	0	0
37	Turbine Building	Transients	Power	8.5E-03	0	0	1.0	0	0	0

^{1.} See Appendix M for a description of high-energy arcing fault (HEAF) fires.

^{2.} See Section 6.5.6 below for a definition.

^{3.} The event should be considered either as an electrical or oil fire, whichever yields the worst consequences.



Fire Initiating Event Frequencies (/yr)*

Fire Area	<u>Mean</u>	5th <u>Percentile</u>	50th <u>Percentile</u>	95th <u>Percentile</u>
Control Room	1.8E-3	1.2E-6	9.6E-4	7.4E-3
Cable Vault/Tunnel	7.5E-3	3.0E-6	1.8E-3	1.6E-2
Electrical Switchgear Room	8.0E-3	2.0E-5	2.4E-3	1.7E-2
Auxiliary Building	6.6E-2	2.7E-2	5.9E-2	1.6E-1
Pump Room	3.7E-3	(1	Lognormal EF - 3)	

^{*[}NUREG/CR-4550, Vol. 3, Part 3]



Component-Based Fire Occurrence Frequency Assessment

- Fire frequency in a compartment is proportional to the number of potential ignition sources.
- Used in more recent fire PRAs.
- Fire events are categorized according to the component types.
- The generic fire occurrence frequencies are updated (Bayesian) with plant-specific experience.
- Fire occurrence frequency for a fire area/zone in the plant is based on the amount and type of fire ignition sources in that fire area/zone.

Example of Component-Based Fire Occurrence Frequencies from NUREG/CR-6144





Example - Fire Frequency from Bayesian Updating for Selected Component Categories*

Type of Fire (fire area)	5%	50%	Mean	95%
MCC Small	1.85-3	5.34-3	6.56-3	1.54-2
MCC Large	4.0-5	2.9-4	6.2-4	2.2-3
Bus Small	1.5-4	1.4-3	3.4-3	1.2-2
Bus Large	8.8-5	6.2-4	1.3-3	4.4-3
Bus Small, Shutdown Only	1.1-4	8.2-4	1.8-3	63-3
Transformer Small	5.5-4	2.1-3	2.9-3	8.1-3
Transformer Large	2.9-4	1.4-3	2.2-3	6.6-3
Pump Small	2.4-5	2.9-4	9.4-4	3.6-3
Pump Small, Shutdown Only	1.9-3	6.1-3	7.9-3	2.0-2
UPS, Shutdown Only	1.13-4	8.3-4	1.7-3	6.1-3



Example - Fire Frequency from Bayesian Updating for Selected Component Categories*

Type of Fire (fire area)	5%	50%	Mean	95%
Relay Small	1.1-3	3.1-3	9.3-3	3.9-3
Relay Medium	3.9-5	2.9-4	6.2-4	2.2-3
Relay Small, Shutdown Only	1.6-3	5.0-3	6.4-3	1.6-2
Large, Large Switchgear	4.9-7	2.9-6	5.2-6	1.7-5
Cable, Self-Ignited	1.8-4	1.4-3	2.9-3	1.0-2
Transients (CT/RB)	1.5-4	1.2-3	2.4-3	8.7-3
Transients (TB)	8.4-5	6.1-4	1.3-3	4.5-3
Transients (SWGR)	9.2-5	6.4-4	1.3-3	4.4-3
Transients, Shutdown Only (CT/RB)	2.6-3	9.8-3	1.4-2	3.7-2
Transients, Shutdown Only (TB)	1.1-4	8.3-4	1.7-3	6.2-3
Transients, Shutdown Only (SWGR)	1.9-4	1.6-3	3.8-3	1.4-2

⁺ NOTE: All fire frequencies are per plant year, not per panel year or cabinet year, similarly for pumps.



Fire Growth and Propagation Analysis

- Model more realistic impact of fire on the equipment in that location.
- Consider relative location of fire source and safety-related equipment (target).
- Consider heat release rate of fire source and duration of fire exposure.
- Fire growth rate and size depend on amount/type of combustible and ventilation.
- Use computer code:
 - To predict time to damage of cable trays.
 - To determine critical distance of fire source from target.
- Computer codes: COMPBRN IIIe, CFAST, FASTLITE, FPETOOL, FLAMME-S, MAGIC, CFD models, etc.

Example of COMPBRN IIIe Analysis Results from NUREG/CR-6144





Cable Damage Time for Oil Fires in ESGR Room J (Time in Minutes)

	Fire Source Distance from Cable, Ft.									
Cable Elevation	Sma	ll Fire	Mediu	ım Fire			Large	Fire		:
ft.	1	2	2	3	0	2	3	4	4.5	5
7	2	_	2	-	I	2	3	5	10	-
9	3	-	3	-	1	2	3	7	-	-
10	3	-	5	-	1	2	4	-	-	-
11	3	_	8	-	1	2	5	-	-	-
11.5	-	-	-	-	1	2	. 5	_	-	-
12.5	-	-	-	-	•	4	9	-	-	-
13.5	•	-	-	•	3	4	-	-	-	-

Note: 1. Cable at 7 ft. is non-critical

2. - No damage predicted

3. Cable ignition is predicted for large fires at 0 and 2 ft. from the cable tray.



Component Fragilities and Failure Modes Evaluation

- Assess equipment response and determine the likelihood and mode of equipment failure.
- Fire in a fire zone assumed to damage equipment, e.g.,
 - Cable assumed damaged if surface temperature greater than damage temperature;
 - Some other components may be assumed damaged if incident heat flux exceeds a critical value.
- Evaluate equipment failure mode; fire damage may not disable fail-safe equipment.
- Impact of fire on control cables and circuits; loss of function, spurious actuation.



Fire-Induced Cable Failures

- Fire-induced failures of electrical cables
 - Electrical insulation capability of the insulation material degrades as the cables are heated by fire.
 - All cable insulation and jacket materials support combustion.
 - One of the most important contributors to fire risk.
- Risk-important areas in the plant
 - Presence of significant fire ignition sources in the compartments.
 - Compartments through which critical electrical cables are routed.
 - Cost of tracing cables can be significant fraction of overall fire PRA cost



Cable Insulation Materials

- Two broad categories: Thermoset and thermoplastic
- Thermoplastic
 - Easy to manufacture and economical to use
 - Generally NOT qualified (IEEE 383), more prone to fail (melting and burning)
 - Polyethylene (PE), Polyvinyl chloride (PVC), polyurethane, polypropylene (PPE), nylon, Teflon, etc.
- Thermoset
 - Generally qualified
 - Ethylene propylene rubber (EPR), crosslinked polyethylene (XLPE), DuPont's Hypalon, neoprene, silicone rubber, etc.



Cables insulation/jacket types

- Thermoplastic
 - Melt if heated, solidify if cooled,
 - Drip and burn as a liquid pool
 - More wimpy
 - Examples:
 - Polyethylene (PE)
 - Polyvinylchloride (PVC)
 - Generally not qualified

- Thermoset
 - Don't melt
 - Burn/char in place if heated enough
 - More macho
 - Examples:
 - Cross-linked polyethylene (XLPE or XPE)
 - Ethylene-Propylene rubber (EPR)
 - Generally qualified



Damage Thresholds

Screening Criteria for Assessment of Ignition and Damage Potential of Electrical Cables

Cable Type: Thermoplastic	(Metric)	(English)
Heat flux	6 kW/m ²	0.5 BTU/ft ² s
Temperature	205°C	400°F
Cable type: Thermoset	(Metric)	(English)
Heat flux	11 kW/m ²	1.0 BTU/ft ² s



Damage Time Look-Up Table (Example*) *Table A7.1 from IMC 0609, Appendix F, Attachment 7, 02/28/05

Exposure T	emperature	Time to Failure
°C	°F	(minutes)
330 ≤ T < 335	625 ≤ T < 634	28
335 ≤ T < 340	634 ≤ T < 642	24
340 ≤ T < 345	642 ≤ T < 651	20
345 ≤ T < 350	651 ≤ T < 660	16
350 ≤ T < 360	660 ≤ T < 680	13
360 ≤ T < 370	680 ≤ T < 700	10
370 ≤ T < 380	700 ≤ T < 716	9
380 ≤ T < 390	716 ≤ T < 735	8
390 ≤ T < 400	735 ≤ T < 752	7
400 ≤ T < 410	752 ≤ T < 770	6
410 ≤ T < 430	770 ≤ T < 805	5
430 ≤ T < 450	805 ≤ T < 840	4
450 ≤ T < 470	840 ≤ T < 880	3
470 ≤ T < 490	880 ≤ T < 915	2
T ≥ 490	T ≥ 915	7



Cable Damage Mechanisms



Thermoplastic

Thermoset





Insights from Latest Research on Cable Fire Behavior (CAROLFIRE)

- Inter-cable shorting of Thermoset cable is plausible, but less likely than intra-cable failure
- Inter-cable shorting between Thermoset and thermoplastic cables is plausible, but less likely than intra-cable failure
- Configurations requiring failure of > 3 cables plausible
 - No a priori limit on number of cables
 - Scenario-dependent



Insights from Latest Research on Cable Fire Behavior (CAROLFIRE)

- Multiple spurious operations in control circuits with properly sized current-power transformers:
 - Inconclusive results, do not coincide with earlier NEI/EPRI results
- Fire-induced hot shorts lasting > 20 minutes unlikely
- Spurious actuation of cold shutdown circuits not investigated by CAROLFIRE

Overview of the EPRI Fire-Induced Vulnerability Evaluation (FIVE) Methodology¹

1. EPRI TR-100370, Fire-Induced Vulnerability
Evaluation (FIVE) Methodology Plant Screening Guide,
Professional Loss Control, April 1992.





Learning Objectives

- After studying this section you should be able to:
 - List the three phases of the FIVE method.
 - Outline the steps involved in each of the three phases of the FIVE method.
 - Estimate the fire ignition frequency of a fire compartment.



FIVE Methodology

- Developed to satisfy GL 88-20 requirements
 - Oriented toward uncovering plant fire vulnerabilities.
- Uses the progressive screening approach at various stages.
- Provides guidelines to assess potential for fire propagation across compartments due to failure of barriers and penetration seals
- Provides tables, worksheets, and various equations for fire growth and spread analyses.
- Provides tables for estimating the availability of automatic detection and suppression systems

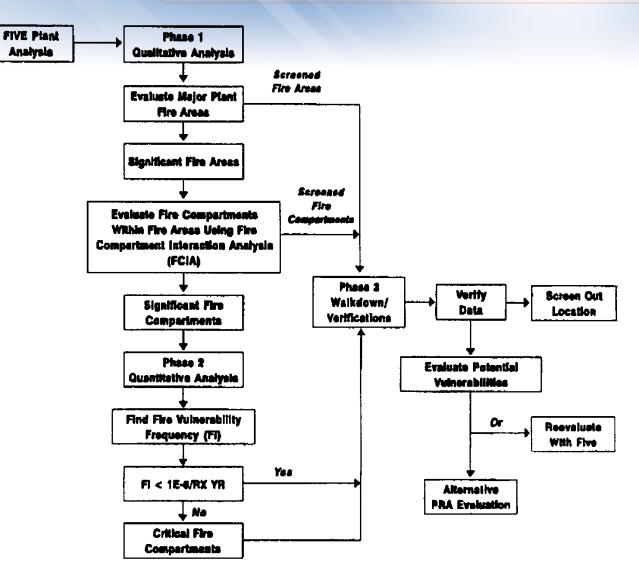


FIVE Methodology is Performed in Three Phases

- Phase I: Fire Area Screening (Qualitative Analysis)
- Phase II: Fire Compartment Screening (Quantitative Analysis)
- Phase III: Plant Walk-Through and Verification









Phase I Fire Area Screening Involves the Following Steps

- Identify plant safe shutdown systems.
- Identify fire areas and associated compartments.
- Identify safe shutdown equipment in each fire compartment.
- For each fire area, perform qualitative screening analysis.
- For the unscreened fire compartments, perform the fire compartment interaction analysis.



Identify Plant Safe Shutdown Systems

- Safe shutdown systems credited in Appendix R analysis.
- FIVE provides table format for documenting information.



Identify Fire Areas and Associated Compartments

- Identify fire areas in the plant
- Use plant general arrangement drawings and Appendix R information
- Consider barriers that are included in the plant's inspection, testing, and maintenance program
- Define fire compartments within fire areas
- FIVE provides table format for documenting information



Identify Safe Shutdown Equipment in Each Fire Compartment

- Use Appendix R safe shutdown equipment list.
- FIVE provides Safe Shutdown Equipment Detail Worksheet for documentation.



Qualitative Screening Criteria

- A fire area can not be screened from further evaluation if:
 - There are safe shutdown equipment, cables, or components in the area.

OR

- Following a fire in the area, there is a demand for safe-shutdown functions because the plant can not maintain normal plant operation.
- Fire is assumed to damage everything within the fire area boundaries.



Fire Compartment Interactions Analysis

- Screened fire areas include all associated fire compartments.
- Unscreened fire areas may contain compartment(s) that are not important with respect to plant risk.
- Identify and screen unimportant fire compartments based on the potential for fire propagation
 - Barrier rating
 - Combustible loading
 - Fire suppression features



Fire Compartment Interactions Analysis (continued)

- Screening criteria for compartment boundaries:
 - Boundaries between two compartments, neither of which contain safe shutdown components nor plant trip initiators.
 - Boundaries that consist of a 2-hour or 3-hour rated fire barrier.
 - Boundaries that consist of a 1-hour rated fire barrier with combustible loading in the exposing compartment < 80,000 Btu per sq-ft.
 - Boundaries in which the exposing compartment has a very low combustible loading < 20,000 Btu per sq-ft and automatic fire detection.
 - Boundaries in which both the exposing and exposed compartment have a very low combustible loading < 20,000 Btu per sq-ft.
 - Boundaries in which automatic fire suppression is installed over combustibles in the exposing compartment.



Fire Compartment Interactions Analysis (continued)

- Compartment is screened out if:
 - All boundaries (adjacent compartments) of the compartment are screened out.
 - **AND**
 - {Compartment contains no safe shutdown equipment} OR {Following a fire, there is no demand for safe shutdown functions}.
- Combine adjacent compartments with unscreened boundaries into a single compartment.



Phase II Fire Compartment Screening Involves the Following

- Calculate compartment fire initiation frequency (FC).
- If FC is less than 1E-6 per reactor year, compartment is screened from further analysis.
- Calculate unavailability of redundant/alternate shutdown path (PRA).
- For unscreened compartment, if FC•PRA is less than 1E-6 per reactor year, screen compartment from further analysis.
- If not screened-out, then perform fire hazard analysis and combustible material evaluation.



Compartment Fire initiation Frequency (FC)

- Based on the type and amount of fire source equipment in location.
- Use fire ignition source frequencies, FI, from the EPRI Generic Fire Events Database.
- Determine weighting factor for the location (WFL).
- Determine weighting factor for each type of ignition source (WFIS).
- Compartment fire initiation frequency:
 - FC = Σ FI WFL WFIS



Determination of Location Weighting Factor, WFL

Weighting Factors for adjusting generic location fire frequencies to plant-specific locations					
Plant Location	Weighting Factors (WFL)				
Auxiliary Building (PWR)	The number of units per site divided by the number of buildings.				
Reactor Building (BWR) [Does not include containment]	The number of units per site divided by the number of buildings.				
Cable Spreading Room	The number of units per site divided by the number of rooms per site.				
Plant-Wide Components	The number of units per site.				



Determination of Ignition Source Weighting Factor, WFIS

Fire Igni	tion Sources and Fr	equencies by plant	location
Plant Location	ant Location Ignition/Fuel Source		Method for Calculating WFIS
Auxiliary Building (PWR)	Electrical Cabinets, Pumps	1.9 x 10 ⁻² 1.9 x 10 ⁻²	Divide the number of ignition sources in the fire compartment by the number in the location.
Reactor Building (BWR)	Electrical Cabinets, Pumps	5.0 x 10 ⁻² 2.5 x 10 ⁻²	Divide the number of ignition sources in the fire compartment by the number in the location.
Cable Spreading Room	Electrical Cabinets	3.2 x 10 ⁻²	No ignition source weighting factor is necessary



Fire Area/Compartment Ignition Source Data Sheet (ISDS) Auxiliary Building

Fire Compartment Boundaries: Compartment 17-1, Unit 1 Charging Pump	Cubicle 1				
Inside Fire Area: 17, Auxiliary Building					
AREA/COMPARTMENT	FIRE IGNIT	ON FREQUEN	CY		
FIVE STEP 1.1 Selected Plant Location (Ref. FIVE Table 1.1)		,	luxiliary Bu	ilding	
FIVE STEP 1.2 Location Weighting Factor (WF $_{ m L}$) (Ref. FIVE Table 1.1)		2 Ur	nits/1 Build	ing = 2.0	·
FIVE STEP 1.3 IGNIT	ION SOURCE	FREQUENCY ((F ₁ ,) ¹		
AREA/COMPARTMENT IGNITION SOURCES	(A)	(8)	WF _{LS} =A/B	F, (Table 1.2)	F.,
1. Electrical Cabinets 2. Pumps	0	100% 36	0 2.78E-2	1.9E-2 1.9E-2	0 1.1E-3
Plant Wide Components Weighting Factors WF _L) per Five Table 1.1			WF _L = 2 2 Units		I.
PLANT WIDE IGNITION SOURCES	(A)	(C)	WF _{LS} =A/C	F, (Table 1.2)	
 Transients (0 + 4 + 0 + 0 + 2 + 0) Welding/Ordinary Combustibles Welding/Cables Transformers Ventilation Systems Fire Protection Panels Air Compressors 	6 1 1 0 0 0	1*/69 1*/69 1*/69 93 195 30 25	8.70E-2 1.45E-2 1.45E-2 0 0 0	1.3E-3 3.1E-2 5.1E-3 7.9E-3 9.5E-3 2.4E-3 4.7E-3	2.3E-4 9.0E-4 1.5E-4
IVE STEP 1.4 AREA/COMPARTMENT FIRE FREQUENCY (F ₁) ²					2.38E-3

WFIS FI



Fire Hazard and Combustible Material Analysis/Fire Growth and Propagation

- A process for evaluating the probability of critical combustible loading for the compartment.
- Fixed and transient combustibles are considered.
- Fire suppression prior to target equipment damage is considered.
- FIVE provides the algorithm, worksheets, and look-up tables for analysis.



Fire Hazard and Combustible Material Analysis (continued)

- Evaluate fixed and transient combustible exposures.
 - Identify fire sources.
 - Identify target component.
 - Develop fire scenarios.
 - Determine critical combustible loading.
- Use FIVE evaluation worksheets.
- Determine fire suppression (automatic and/or manual) unavailability.
- Determine critical combustible (transient) loading probability.



Critical Combustible (Transient) Loading Probability

- The CCL probability is dependent on the following factors:
 - Probability of combustibles located within a critical range of target,
 P_r.
 - Probability of combustibles being exposed, P_e.
 - Frequency of combustible present in the compartment.
 - Frequency of combustible material inspection.
- Probability of critical transient combustible loading is given by:

$$P_r \cdot P_e \cdot P_p$$

where P_p is the probability of critical amount of transient combustibles being present between inspections.



Compartment Critical Combustible Loading Probability and Fire Damage Frequency

 Probability of compartment critical combustible loading fire is given by:

$$P_{CL} = P_{fx} + P_r \cdot P_e \cdot P_p$$

where P_{fx} is for a fixed source in the compartment, and is evaluated first.

 Transient source not considered if fixed source has impact on target (P_{fx} = 1).



Compartment Critical Combustible Loading Probability and Fire Damage Frequency (continued)

Overall fire damage frequency for compartment is given by:

$$F_{FD} = F_C \cdot P_{RA} \cdot P_{CL} \cdot U_{FS}$$

F_C is the compartment fire frequency

P_{RA} is the unreliability of redundant/alternate shutdown path

U_{FS} is the unreliability of fire suppression

- Compartment is screened from further analysis if F_{FD} is less than 1E-06 per reactor year.
- Compartments not screened are significant fire compartments.



Evaluate Potential Fire Vulnerabilities

- For the unscreened compartments:
 - Accept the results.
 - Implement administrative and/or hardware changes.
 - Refine the analysis for the unscreened compartments.
- Use PRA models to determine risk significance of unscreened compartments.



Phase III Plant Walk-Through and Verification Involves the Following Steps

- Performed before or after Phase I/II.
- Collect data and confirm information gathered for analysis.
- Verify assumptions and calculations.
- Plant walkdown guide/checklist provided.



Example - Summary of FIVE Phase I Screening

Building	Total Number of Fire Zones	FIVE Phase I Screening
Reactor Building	33	15 fire zones can be screened out on the basis that a postulated fire does not propagate into adjacent fire zones and 1 fire zone does not impact safe shutdown equipment. (The drywell is dismissed due to the N2 atmosphere - consistent with FIVE.) Total of 16 zones screen out.
Turbine Building	20	8 fire zones can be screened out as described above.
Control Building	9	2 fire zones can be screened out as described above.
Radwaste/Offgas Building	9	Entire building screens out.



Example - Summary of FIVE Phase I Screening (continued)

Building	Total Number of Fire Zones	FIVE Phase I Screening
Pump House/Intake Structure	10	3 fire zones can be screened out as described above.
Security Center/ Technical Support Center/DAC	9	Entire building screens out.
Administration Building	3	Entire building screens out.
Low Level Radwaste Processing and Storage Facility	21	Entire building screens out.
TOTAL	114	71 fire zones screen out - 43 left unscreened.



Example - Phase I Screening Process

Phase I Step # 4 Initial Quick Screening

IF SSE = N AND FIE=N THEN SCREEN = Y

ELSE SCREEN = N

Phase I Step # 5 Only Compartments with Safe Shutdown Equipment Addresses

IF SSE = Y AND FIE=Y THEN SCREEN = N

IF SSE = Y AND FIE=N THEN SCREEN = Y

ELSE SCREEN = N

Phase I Step # 6 Investigate Potential for Fire Spread Between Compartments

IF PFS= N AND (SSE=N OR FIE = N) THEN SCREEN = Y

ELSE SCREEN = N

SSE = Safe Shutdown Equipment

FIE = Fire Initialed Event

PFS = Potential for Fire Spread between compartments



Example - FIVE Phase I Screening

ļ	W.	FIRE AREA		COMPARTMENT DESCRIPTION	SSE	FIE	PHASE 1 STEP #4 SCREEN	SCREEN	PF\$	PHASE [STEP #6 SCREEN
	47	TB	8-6	Diesel Generator Day Tank Room - Division II	Y	Y	======: N		=2224 ¥	*********
	48		8-N	Emergency Diesel Generator Room - Division :	Ÿ	Ý	2	N	Ñ	2
	49		9-J	Diesel Generator Day Tank Room - Division (Ÿ	Ý	Ñ	Ñ	Ä	ē
	50		8-K	Domineratizer Pump and Tank Cells	Ň	Ý	Ñ	Ñ	Ñ	Ÿ
	51 52		9-A	Turbine Operating Floor (North End)	N	Ý	H	N	Ñ	Ý
	53		9-B 9-C	Turbine Operating Floor (Area Between the Shield Walls)	N	Y	N	N	Ÿ	Ň
	• • • • •	• • • • • • • • • • • • • • • • • • • •	••••••	Turbine Operating Floor (South End)	N	Y	N	N	N	Y
	54		10-A	Corridor Control Building	M	Y	H	N	K	Y
	55 56		10-B 10-C	Battery Room Division II	Y	Y	×	H	N	Ň
	57		10-L 10-D	Battery Room Nonessential	N	Y	N	H	N	Y
	58		10-E	Settery Room Division I Essential Switchgear Room Division II	Y	Y	N	N	N	R
	59		10-F	Essential Switchgear Room Division I	Ţ	Y	N	Ä	N	N
	60		11-A	Cable Spreading Room	Ţ	Ÿ	R N	# "	K	N
	61	CB	12-A	Control Room Complex	Ĭ	Ÿ	3	N	N	N N
	62	CB	12-8	Control Building HVAC Room	Ý	Ÿ	Ñ	N	ï	
•	63	Rad/Offgas Bldg	13-A	Radwaste Drumming and Shipping						********
			13-R	Radwaste Treatment and Access Area		Y	M	W	N	Ä
			13-C	Raduaste Precoet and Access Area	M	Ÿ		N	N	Y
			13-D	Racheste Control Roce		Ÿ	a N		H	Y
	67	Rad/Offges Bldg	14-A	Hachine Shop	- 2	Ÿ	2	2	ñ	Ÿ
	68	Rad/Offgas Bldg	15-A	Offgas Charcoel Adsorber Vault	Ñ	Ÿ	ũ	2	Ñ	Ÿ
	69 1	Rad/Offgas Bldg	15-B	Offgas Control and Glycol Area	Ÿ	Ÿ	ũ	ï	2	÷
				Offgas Prefilter and Condenser Area	Ñ	Ý	Ñ	ũ	ñ	Ý
	71	Rad/Offgas Bldg	OGS	Offgas Stack	N	Ÿ	N	Ñ	Ñ	Ÿ
		Pumphouse/Intake		Pumphouse RHR and ESW Pump Area Division []	Y	Y	H	N	N	N
			16-8	Pumphouse RHR and ESW Pump Area Division I	Y	Y	N	N	N	N
				Pumphouse Main Pump Room	N	Y	N	N	N	Y
				Pumphouse Diesel Fire Pump	N	Y	N	N	N	Y
		Pumphouse/Intake Pumphouse/Intake		Pumphouse Fire Pump Day Tank	N	Y	N	N	N	Y
			17-A	Pumphouse Safety-Related Piping Intake Structure Pump Area Division 1	Ţ	Y	N H	N 	N	N
				Intake Structure Pump Area Division II	Ţ	Y	R		N N	N N
			17-C	Intake Structure Screen Area Division I	Ţ	Ÿ	N N	••	N M	
	81	Pumphouse/Intake		Intake Structure Screen Area Division II	Ÿ	Ý	2		R	M N
-				***************************************	•	•••••		*****		
				Security Center Security Power	N	Y.	Ħ		(1)	
			18-8 18-C	Security Center Lobby, Ingress and Egress Search Area	N	Y	N			Y
			18-D	Security Cntr Rm, Dir. Off, Lckr, Batt, & Elect/Inst Repair Rms Security Center Equipment Room	R	Y	# #		(1)	
				Tech Support Ctr, Doc Control Ctr, Library, and Office Area		Ÿ	N M		(1) (1)	
			19-B	Technical Support Center Mechanical Room		Ÿ	Ä		ä	
				Data Acquisition Center - Computer Room			Ä			Ÿ
				Data Acquisition Center - First Floor Area	Ä	Ÿ	Ň			Ý
		•-		•			-	-		-



FIVE Phase I Screening (cont'd)

NO.	FIRE AREA	COMP ID	COMPARTMENT DESCRIPTION	SSE	FIE	PHASE I STEP #4 SCREEN	PHASE I STEP #5 SCREEN	PFS	PHASE I STEP #6 SCREEN
90	Security/TSC/DAC	19-E	Data Acquisition Center - Second Floor Area	N	Y	N	N	(1)	Υ
92	Admin Bldg Admin Bldg Admin Bldg	20-A 20-B 20-C	First Floor Area Second Floor Area Third Floor Area	H N N	Y Y Y	N H N	N N	(1) (1) (1)	Y
95 96 97 98 99 100 101 102 103 104 105 106 107 108	LLRPSF	21-A 21-B 21-C 21-E 21-F 21-F 21-H 21-K 21-H 21-M 21-D 21-P 21-P	Surge Tank Room (Room 802) Future Expansion North (Room 801) DAW Storage Area (Room 804) Spend Resins Storage Area (Room 803) Truck Load Bay, Access Areas, & Nezz. (Rms 800, 805, 806, & 842) QA/QC Inspection Office (Room 808) Corr, Misc Rms & Expn. Area (Rms 807, 810, 826, 834-837, 839-841) Container Storage Area (Room 838) Sump Room (Room 809) HP Office and Count Rooms (Rooms 832, 833) Processing Area (Rooms 811 thru 825) Mask Cleaning Area (Room 831) Railroad Bay Extension (Room 827) HVAC & Elec. EQ Room (Room 862) Hydrolazing Decontamination Area (Room 829) Storage and Corridor (Rooms 859, 861, 863) Mezzanine Future Expansion (Room 860)	M M M M M M M M M M M M M M M M M M M	**************************************				***************************************
112 113	LLRPSF LLRPSF LLRPSF LLRPSF	21-R 21-8 21-T 21-U	Radweste Offices & Crane Control Room (Rooms 844-858) Tool & Equipment Storage (Room 828) Future Storage Area & Chemistry Dept Equip Room (Room 843) Future Expansion Upper Level (Room 864)	N N	Y Y Y	N N N	N N	(1) (1) (1)	Ÿ Y Y

MOTES:

(1): As each of these buildings contains no safe shutdown equipment, the individual compartments of each building are conservatively combined into a single fire compartment so as not to unnecessarily expend effort performing Fire Compartment Interaction Analysis.

PHASE I STEP #4 SCREEN: - Initial quick screen

- IF SSE=N AND FIE=N THEN SCREEN=Y

- ELSE SCREEN=N

PHASE I STEP #5 SCREEN: - Only compartments with safe shutdown equipment addressed

- IF SSE=Y AND FIE=Y THEN SCREEN=N
- IF SSE=Y AND FIE=N THEN SCREEN=Y

- ELSE SCREEN=N

PHASE I STEP #6 SCREEN: - Investigate potential for fire spread between compartments

- IF PFS=N AND (SSE=N OR FIE=N) THEN SCREEN=Y

- ELSE SCREEN=N



Example - ISDS Worksheet

Fire Compartment Ignition Source Data Sheet (ISDS)

Compartment Description Fire Area

Fire Compertment Compartment Fire Ignition Frequency

Step 1.1 Plant Location ID Plant Location Description

Reactor Building (BWR)

Step 1.2 Location Weighting Factor (WFL)

Fire Compertment CSDS Required

1.00E+00

Compartment Ignition Sources (FIF)

Fire Ignition/Fuel Source	Sources in Compartment	Sources in Plant Location	Weighting Factor	Fire Frequency	Ignition Source Frequency
1 Electrical Cabinets	(A) G	(B) 3.83E+02	WFLS=(A)/(B) 0.00E+00	(FF) 5.0E-02	(FIF) 0.0E+00
2 Pumps	2	7.90E+01	2.53E-02	2.5E-02	6.3E-04
2 runps 3	â	0.00E+00	0.00E+00	0.0E+00	0.0E+00
4	Ö	0.00E+00	0.00E+00	0.0E+00	0.0E+00
5	Ğ	0.00E+00	0.00E+00	0.0E+00	0.0E+00
6	0	0.00E+00	0.00E+00	0.0E+00	0.0E+00
7	Q	0.00E+00	0.00E+00	0.0E+00	0.0E+00
Plant Wide Ignition Sources	(A)	(C)	(A)/(C)		Reference 1.2
Fire Protection Panels	0	0.00E+00	0.00E+00	2.4E-03	0.0E+00
RPS MG Sets		4.00E+00	0.00E+00	5.5E-03	0.0E+00
Non-qualified Cable Run	0	0.00E+00	0.00E+00	6.3E-03	0.0E+00
Junction box/Splice in Non-qualified Cable	Ø	0.00E+00	0.00E+00	1.6E-03	0.0E+00
Junction Box in Qualified Cable	0	0.00E+00	0.00E+00	1.6E-03	0.0E+00
Transformers	0	1.60E+01	0.00E+00	7.9E-03	0.0E+00
Battery Chargers	Q	0.00E+00	0.00E+00	4.0E-03	0.0E+00
Off-gas/Hydrogen Recombiner (BWR)	G	0.00E+00	0.00E+00	8.6E-02	0.0E+00
Hydrogen Tanios	0.	0.00E+00	0.00E+00	3.2E-03	0.0E+00
Miscellaneous Hydrogen Fires (Y/N)	N	7.70E+01	0.00E+00	3.2E-03	0.0E+00
Gas Turbines	Ο	0.00E+00	0.00E+00	3.1E-02	0.0E+00
Air Compressors	σ	0.00E+00	0.00E+00	4.7E-03	0.0E+00
Ventilation Subsystems	0	1.63E+02	0.00E+00	9.5E-03	0.0E+00
Elevator Motors	0	0.00E+00	0.00E+00	6.3E-03	0.0E+00
Dryers	Q	0.00E+00	0.00E+00	8.7E-03	0.0E+00
Cable Fires Caused by Weiding	N/A	7.70E+01	1.30E-02	5.1E-03	6.6E-05
Transient Fires Caused by Welding/Cutting	N/A	7.70E+01	1.30E-02	3.1E-02	4.0E-04
Transients:	(Y/N)	8		1.3E-03	1.4E-04
Cigarette Smolding	N	0			
Extension Cords	Y	4			
Heater	Y	3			
Candle	N	0			
Overheating	N	0			
Hot Pipe	У	1			
Compartment Fire Frequency (FL)		Notes:			
Step 1.4 Compartment Fire Frequency	1,24E-03	(A) Number	r of Ignition Source	s in Compertme	nt .

- (B) Total Number of Ignition Sources in Selected Plant Location
- (C) Total Number of Ignition Sources/Compartments in Plant

Ignition Source Frequency: FIF = WFL*WFLS*FF Compertment Fire Frequency: FL = SUM(FIF)

Yes



Example - Fire Ignition Frequency Estimates

Fire Compartment	Fire Ignition Frequency (Per Yr)
1-A	1.24E-03
1-B	2.64E-03
1-C	7.34E-04
1-D	1.87E-03
1-E	1.94E-03
1-F	4.02E-03
1- G	6.04E-04
1-H	1.24E-03
2A/2B/2C	2.43E-02
2-D	6.62E-04



Example - Fire Ignition Frequency Estimates (continued)

Fire Compartment	Fire Ignition Frequency (Per Yr)
2-G	6.04E-04
3A/3B	2.73E-02
3-D	7.82E-03
4-A	3.18E-03
7A/8A/8C/8D/9B	1.30E-02
7-B	4.15E-03
7-C	1.07E-03
7-B	4.06E-03
8-F	2.97E-02
8-G	6.45E-04
8-H	2.97E-02



Example - Phase III Walkdown Checklist

AREA DESCRIPTION: Laydown Area						
FIRE ZONE: 3-A	FIRE ZONE: 3-A					
BUILDING: Reactor	Building	ELEVATION: 786' - 0"				
V	VALKDOWN VERIFICA	ATION	PUR	POSE(S)		
Verification of IPEEE fire analysis issues Verification of IPEEE high wind analysis issues Other WALKDOWN VERIFICATION CHECKLIST						
		Veri	fied			
Verific	ation Item	Yes	No	Comments		
Verify that the ignitic compartment are as I Data Sheet.	on sources in this fire isted in the Ignition Source					
	rotection systems in this fire isted in the Critical Screen					
system actuation, red are not susceptible to suppressant. Conside	ent of spurious fire protection undant safe shutdown trains damage from the er coverage of suppression arriers/shields protecting					
and penetration seals	rs, fire dampers, fire doors, are maintained under the l maintenance program.					
	aries of this fire area satisfy compartment barriers as					



Example - Phase III Walkdown Checklist

(continued)

AREA DESCRIPTION: Laydown Area				
FIRE ZONE: 3-A				
BUILDING: Reactor Building	ELEVATION: 786' - 0"			
Verify FCIA screening criteria selected for the compartment boundaries is appropriate.				
 Verify that fixed combustibles are as listed in the Fire Hazards Analysis. 				
Verify quantities of fixed combustibles are as listed on the Critical Combustible Data Sheet.				
 Identify any flammable liquid or gas storage vessels or piping (e.g., H2) and whether these are outliers with respect to leakage under seismic conditions. 				
Note the existence and dimensions of dikes that would contain flammable liquid spills.				
 Review expected operations in area and verify that the expected transient combustibles in this area are as considered in the Critical Screen Data Sheet. 				
Verify that the approximate quantities of expected transient combustibles is as considered in the Critical Combustible Data Sheet.				
13. Verify that the approximate distance between fire sources and targets is consistent with that used in the fire modeling of this fire compartment.				
 Review controls on control room panels to determine the extent of damage given the postulated fire event. 				
 Verify that control room back panels are compartmentalized so as to minimize the spread of fire from one panel to another. 	,			
 Verify that external doors and vents are protected by interior missile barriers. 				
ANALYST:	DATE:			

Fire PRA





Update on Fire PRA

- Joint NRC-RES/EPRI development effort
 - NUREG/CR-6850 (September 2005)
 EPRI 1011989
- Aimed primarily at practitioners of Fire PRA
- Assumes Fire PRA team comprises experts in:
 - Fire analysis
 - General PRA
 - Human Reliability Analysis
 - Electrical Analysis

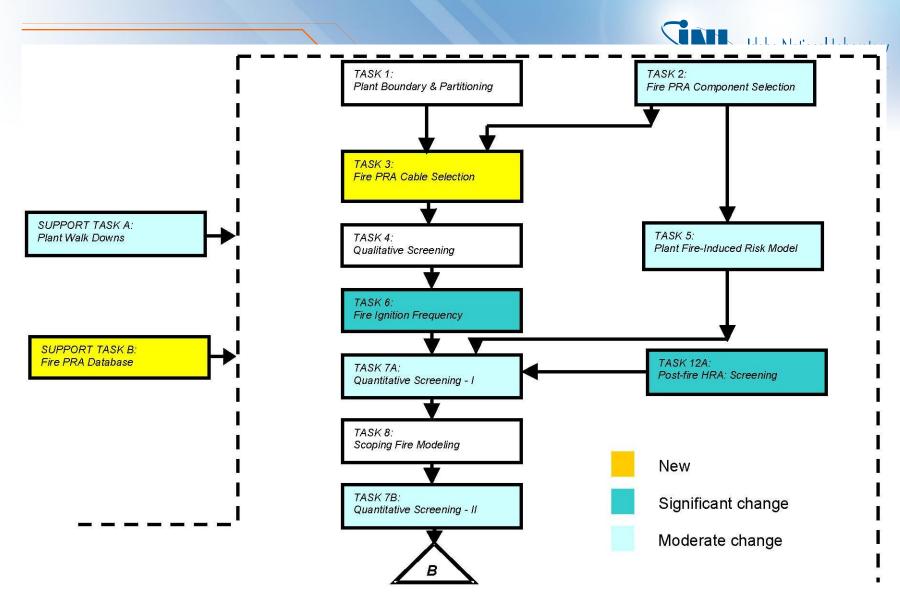


Figure 1
Overview of the Fire PRA Process

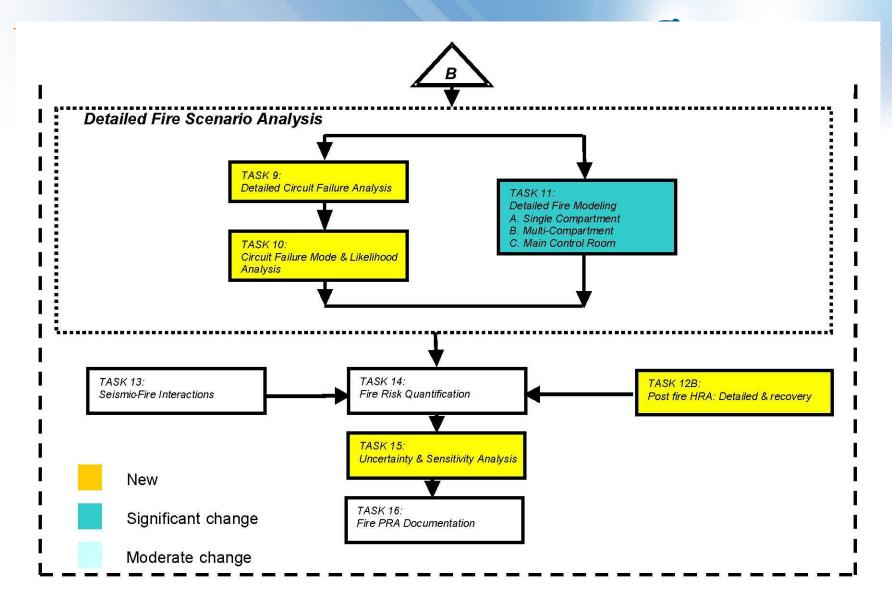


Figure 1
Overview of the Fire PRA Process (Continued



Task 1 – Plant Boundary & Partitioning

- Two-phase task
 - Global plant areas (boundary) identified
 - E.g., at building level
 - Fire "Compartments" identified
 - Effective at containing fire damage under most conditions
 - This is the basis for subsequent analysis
 - Typically defined by physical fire barriers
 - Not necessarily the same as regulatory defined fire areas or zones



Task 2 - Component Selection

- Components selected become focus of analysis
- Utilizes info from Internal Events PRA and Fire Safe Shutdown Analysis
 - Internal events PRA reviewed and applicable core damage sequences identified
- Also need to consider spurious actuation of equipment important to human response
- As with most PRA tasks, this is an iterative process
- Results support Cable Selection (Task 3)



Task 3 - Cable Selection

- Objective is to identify important cables/circuits and their routing
- Starts with component list from task 2
 - Vital reference information:
 - Appendix R circuit analysis
 - Plant-specific cable location data
 - Resource of last-resort manual review of drawings and cable location walkdowns
- Together with component list, identifies important fire compartments/areas/locations



Task 4 – Qualitative Screening

- Compartment is screened if:
 - It does not contain any equipment or cables from Tasks 2 or 3
 - Fire in compartment will NOT lead to:
 - Auto Rx trip
 - Manual trip per plant procedure
 - Required trip per plant tech specs or LCO
- Screened compartments will be reexamined in the context of multicompartment fire scenarios



Task 5 - Fire-Induced Risk Model

- Internal events PRA modified to incorporate:
 - Unscreened compartments
 - Equipment identified in task 2
 - Uses database developed as support task B
- Iterative process, model will be progressively refined



Task 6 – Fire Ignition Frequencies

- Ignition sources in unscreened fire compartments
- Fire ignition bins and associated (generic) frequencies provided (Table 6-1, 37 bins)
 - Frequencies updated with plant-specific data (if available)
- Locations mapped into generic locations (Table 6-2, 8)
 - Weighting factors determined (W_L)
- Ignition source counts (# of sources in compartment J of location L)
 - Weighting factors determined (W_{IS,J,L})
- Transient weighting factors calculated for selected location
 - Procedure provided, generic frequencies include transients



Task 7 – Quantitative Screening

- Fire PRA (from task 5) quantified for each compartment
 - Ignition frequencies (task 6)
 - All equipment in compartment assumed failed
 - Screening HRA values used
- Criteria
 - Total screened CDF < 0.1 Internal Events CDF
 - Total screened LERF < 0.1 I.E. LERF
 - Total screened ICDP < 1E-6
 - Total screened ILERP < 1E-7



Task 8 – Scoping Fire Model

- Assesses the potential for ignition sources to affect equipment within a compartment
 - i.e., fire ≠ auto failure of all equipment (task 7)
- Walkdown each compartment/ignition-source
 - Zone of Influence (ZOI) calculated for each source
 - Some sources might be screened (no targets in ZOI
- Unscreened sources
 - Heat Release Rate (HRR) estimated (tables provided)
 - Severity Factors (SF) estimated based on comparison between calculated HRR for target (within ZOI) and 98% HRR of source (tables provided)
 - SF used as a modifier on ignition frequency



Task 9 – Detailed Circuit Failure Analysis

- Failure modes and effects analysis performed on all relevant cables in all un-screened compartments
 - Information entered into Fire PRA database
- Cable failure modes
 - Shorts-to-ground
 - Hot shorts
- Failure effects
 - Spurious operation
 - Loss of power
 - Loss of control
 - Erroneous indication
 - Others



Task 10 – Circuit Failure Mode Likelihood Analysis

- Develops likelihoods for cable failure modes
- Table provided lists failure probabilities
 - By cable type: Thermoset vs. Thermoplastic
 - By raceway: tray vs. conduit vs. armored tray
- Computational method also provided
 - More complex, but less conservative



Task 11 – Detailed Fire Modeling

- Explicit identification and quantification of each fire scenario
 - Each scenario includes a specific ignition source and specific target(s)
 - Requires the use of fire modeling tools (for fire growth and propagation analysis)
- $\lambda_k = \lambda_{i,k} * SF_k * P_{ns,k}$
 - where:
 - $-\lambda_k$ = frequency of fire scenario k
 - $-\lambda_{i,k}$ = fire ignition frequency of source i, associated with scenario k
 - SF_k = Severity factor of fire scenario k
 - $-P_{ns.k}$ = non-suppression prob. of fire scenario k
- Guidance provided for both SF and P_{ns} for each of the 37 generic locations (see task 6)



Task 12 – Post-Fire HRA

- Basically a Human Reliability Analysis for each fire scenario
 - Identify and add Human Failure Events (HFEs) to Fire PRA model
 - Develop initial screening values for Human Error Probabilities (HEPs)
 - All HEPs set to 1.0, or
 - Follow guidance procedure to assign all HFEs into 1 of 4 sets (e.g., set 1 = PRA value x 10)
 - Detailed, best-estimate HRA analysis of important HFEs



Task 13 - Seismic-Fire Interactions Assessment

- Fire Risk Scoping Study (NUREG/CR-5088, 1989) identified four issues
 - Seismically induced fires
 - E.g., rupture of a flammable material container
 - Degradation of fire suppression
 - Spurious actuation of suppression and/or detection
 - Degradation of manual firefighting effectiveness
- Issues are assessed qualitatively, guidance is provided



Task 14 - Fire Risk Quantification

- Combines all information into the Fire PRA model and calculates:
 - Core Damage Frequency
 - Large Early Release Frequency
- Identify dominant contributors to CDF and LERF
- Identify sensitivity studies to be performed



Task 15 – Uncertainty and Sensitivity Analysis

- Integrated with all other tasks
 - Each task includes identification of uncertainties
- In practice, two classes of uncertainties
 - Modeling (logic, phenomenology, completeness, etc.)
 - Data (e.g., statistical confidence)
- Identify which uncertainties will be addressed and how



Task 16 – Fire PRA Documentation

- Documents background information, assumptions, and activities
 - Should allow independent review of Fire PRA
- Suggested outline provided in guidance document



Task 17 – Plant Walkdowns (Support Task A)

- Generally, several walkdowns are performed
 - Initial (tasks 1 & 2)
 - Cable routing confirmation (task 3, 9, 10)
 - Component count (task 5)
 - Scoping fire modeling (task 8)
 - Detailed analysis (task 11)
 - Human reliability (task 12)
 - Seismic fire interactions (task 13)



Task 18 – Fire PRA Database (Support Task B)

- Detailed bookkeeping system
 - components, cables, locations, compartments, sources, targets, etc.
- Relational database
 - Sample database structure provided

Fire Risk Observations





Sandia Fire Risk Scoping Study (NUREG/CR-5088) Issues

- FRSS Issues:
 - Seismic/Fire Interactions
 - Fire Barrier Qualifications
 - Manual Fire Fighting Effectiveness
 - Total Environment Equipment Survival
 - Control System Interactions
 - Improved Analytical Codes
- Disposition of FRSS Issues
 - The FRSS issues have been addressed qualitatively
 - Review of plant design and other relevant documents, and plant walkdown



Insights from Fire IPEEE Review (NUREG-1742)

- Analyses are based on FIVE (15), fire PRA (13) or a combination of methods (42).
- Fire CDF varied from 3.6E-08 per year to 1.9E-04 per year.
- Results varied significantly across analyses because of variations in methods, assumptions, and data used, level of conservatism, and quality of analysis.
- Fire can be a potentially important contributor to overall CDF.
- No consistent definition of vulnerability.
- Fire scenarios affecting the control room, cable spreading room, and switchgear rooms are major contributors to fire risk in many submittals. Turbine building was found to be important at some plants.
- Important locations, such as cable spreading room or control room, were screened from detailed analysis in some submittals due to low fire initiation likelihood.



- Electrical panel fires were the most significant fire CDF contributors in most submittals.
- Some submittals used optimistic guidelines and data described in NSAC/181 or EPRI's Fire PRA Implementation Guide.
- Optimistic assumptions made on electrical cabinet fires (excluded as credible fire sources, low heat release rates used, inter-cabinet propagation not considered, etc.).
- Screening of fire zones sometimes made on the basis of fixed ignition sources only.
- A few identified transient combustibles as significant. Some submittals dismissed transient fire sources from specific fire zones based on administrative control.
- Fire-induced transients (LOFW, MSIVC, LOSP, loss of support system)
 were found to be the most important accident sequences.



- Possibility of a fire causing an initiating event other than reactor trip is not always addressed.
- Fire-induced LOCAs, including spurious opening of SRVs/PORVs, were considered for many plants and were not found to be important. RCP seal LOCAs were found to be important contributors for many PWR plants.
- Most licensees screened all scenarios involving propagation of a fire from one zone to another or concluded that these scenarios were not significant.
- Failure of fire barriers has not have been properly accounted for in many cases.
- Only a few submittals explicitly treated potential variation in fire size for each fire area.
- Self-ignited cable fires generally assumed possible only for IEEE-383 unqualified cables.



- The possibility of a small fire damaging critical cables and equipment in close proximity within a very short time was not considered.
- For submittals that only considered Appendix R equipment cables, it is not clear that all IPE components and associated cables not included in the list of safe shutdown systems were assumed to be in failed states.
- Evaluation of fire-induced cable failure modes (e.g., hot short) was generally not discussed adequately.
- A simple model was used for fire suppression (automatic and manual combined). Many IPEEE submittals did not model manual fire suppression (except for control room fires).
- Generic values for suppression system reliability were used that were not based on system design features and compliance to the NFPA standards.



- Human errors were shown to be important. Operator actions in response to effects of fire (smoke, heat, loss of lighting, spurious indications, etc.) were rarely modeled in detail.
- Possibility of simultaneous multiple unit core damage not addressed.
 - Shared area.
 - Operator response to fire.
 - Propagation scenarios between fire zones of adjacent units.
- Unavailability of cross-connected equipment due to outage at adjacent unit not accounted for.
- Insufficient details were provided in some submittals for the FRSS issues response; e.g., control system interactions, seismic/fire interactions.



 However, insights about plant features important to safe plant operation were gained, despite the above shortcomings.



Key Observations from Review of NPP Fire Events

- Fire can pose a serious threat to nuclear safety
 - SBO, loss of core cooling functions
- Operator actions are influenced by and do influence the chain of events in a fire incident
- Multiple fires are a possibility
 - An initial event may lead to multiple fires
 - A primary fire may lead to secondary fires
- Fire in non-safety areas may be important
 - Large turbine building fires have had significant impact
 - Non-safety switchgear fire



Key Observations from Review of NPP Fire Events (continued)

- Materials of construction and plant layout can have a strong influence on the outcome of a fire.
- Smoke propagation can be an important element of a fire scenario.
- A fire involving cables may cause unexpected circuit faulting effects.
- Long duration fires may not be so rare.



Lessons Learned from NFPA-805 Pilots

- Issues raised during pilot process being monitored and resolved via Frequently Asked Questions (FAQ) process
 - Some issues resolved
 - Some pending
 - Some have defied resolution to date



Some "Closed" FAQs

- FAQ 06-0016: Electrical cabinet counting guidance
 - Provides clarifying examples of "vertical section" counting guidance
- FAQ 06-0017: High Energy Arc Faults in electrical cabinets
 - Provides clarifying guidance for counting cabinets in the context of HEAF ignition frequency
 - Provides a frequency split between low and medium voltage cabinets
 - Clarifies treatment of HEAF in MCCs



Some "Closed" FAQs

- FAQ 06-0031: Ignition source counting guidance clarifications and extensions
 - Bin 14 Electric motors: clarifies guidance, provides for excluding small motors of 5hp or less and totally enclosed motors.
 - Bin 21 Pumps: provides for excluding small sampling pumps, and other pumps of 5hp or less
 - Bin 23 Transformers: provides for excluding dry transformers of 45KVA or less
 - Bin 26 Ventilation subsystems: clarifies that intent is to exclude small subsystems powered by motors of 5hp or less (consistent with electric motors bin 14)



Some FAQs Pending Resolution

- FAQ 07-0035: High energy arc faults in bus ducts
 - Issue:
 - Guidance document is silent on topic
 - General approach to resolution:
 - Acknowledge potential for such events (e.g., Diablo Canyon 5/2000)
 - Provide plant wide frequency and counting/partitioning guidance
 - Provide zone of influence and scenario development guidance



Some FAQs Pending Resolution

- FAQ 08-0044: Large fires due to spilled oil
 - Issue:
 - Guidance for large oil spill and fire is generating conservative results especially in the case of MFW pump fires (high frequency of large release compared to experience base)
 - General approach to resolution:
 - Provide an alternative approach and revised fire frequencies for leaks and spills from higher volume circulating oil/lubrication systems



Some FAQs Where Consensus Has Not Been Achieved

- FAQ 08-0046: Incipient Fire Detection
 - Issue:
 - Methodology provides no approach for crediting incipient fire detection systems
 - General approach to resolution:
 - Develop an approach that would credit these systems



Some FAQs Where Consensus Has Not Been Achieved

- FAQ 08-0048: Fire frequencies
 - Issue:
 - Fire frequency analysis may not reflect industry trends (i.e., towards reduced fire frequencies
 - General approach to resolution:
 - EPRI has published interim report with updated frequencies
 - Fire PRA Methods Enhancements: Additions,
 Clarifications, and Refinements to EPRI 1019189, Interim Report 1016735, December 2008
 - NRC has not accepted EPRI results
 - Discussion ongoing



Fire PRA Lessons Learned from NFPA-805 Pilots

- Fire PRA component list will be larger than Appendix R and internal PRA component list
 - New components whose omission will be non-conservative:
 - For multiple spurious operation considerations
 - For fire-specific operator manual actions
- Cable selection is probably the single biggest factor that drives resource requirements
 - Burden comes largely with the need to trace selected cables
 - Need an accessible cable database, and constructing such a database from existing system may not be so easy
 - Depends a lot on depth of cable tracing and nature of current tracking system



Fire PRA Lessons Learned from NFPA-805 Pilots

- Circuit analysis may be far more resource intensive than expected
 - Screening analysis producing high CCDP: when interlocks and permissives in internal events fault trees are failed, widespread equipment failure results
 - Resolution of conservatism requires more, and more detailed, circuit analysis than anticipated
- Fire modeling in single compartments
 - Hand calculations will suffice for many cases, but more sophisticated modeling (e.g., compartment fire models) also has a place
- Fire modeling in the main control room
 - Fire zone or field models are necessary
 - Will require detailed system analysis and HRA
 - Smoke removal system can significantly impact abandonment and risk

Inclusion of Internal Fire Impacts in SPAR Models





Status of Fire Modeling in SPAR

- Currently 21 SPAR models incorporate some aspects of fire impacts
 - AP1000 (New design), Duane Arnold, Callaway, D.C. Cook, Davis Besse, Fermi, Shearon Harris, Indian Point 3, Kewaunee (Shutdown), Limerick 1 & 2, Monticello, Peach Bottom 2 & 3, Salem, V.C. Summer, Surry 1 & 2, Turkey Point, Vogtle and Wolf Creek.
- Various sources and vintages of modeling data
 - IPEEE's from the 90's
 - FIVE analyses and older fire PRAs
 - Current NFPA 805 compliant PRAs
- Many scenarios are rolled up/combined
- Typically only dominant contributors explicitly modeled in SPAR logic
 - >90 percent of CDF
 - >90 percent of ignition frequency
 - Remaining contributors are included in catch all scenarios



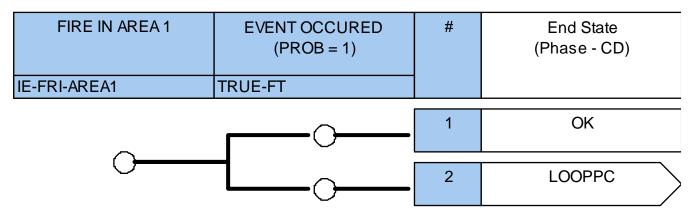
Types of Fire Impacts Included in SPAR Models

- Direct failures event set to TRUE
 - Fires assumed to fail equipment directly
 - Damaged cables
- Increased failure probability values
 - EPRI/NRC-RES Fire PRA Methodology
 - Based on cable configurations and damage
- Spurious operations (including multiple spurious operations MSO)
 - EPRI/NRC-RES Fire PRA Methodology
 - PORV Opening, ESFAS initiation, pump starts, valve repositioning, etc.
 - Cascading effects (e.g., HPI MDP start leading to PORV opening)
- Human error increases



Two Approaches in Building Fire Event Trees

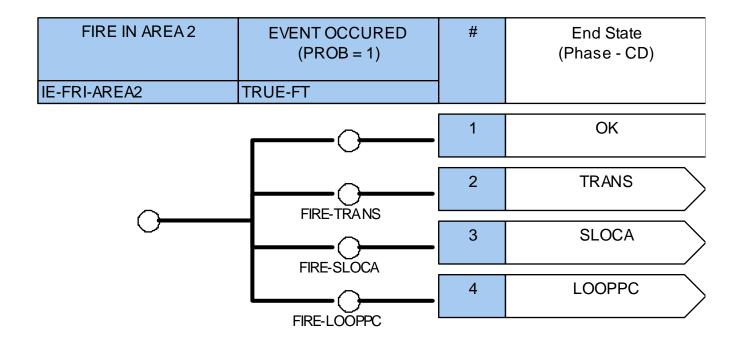
Approach 1 - Damage assigned to a single dominant accident type





Two Approaches in Building Fire Event Trees

Approach 2 - Damage assignment based on fault tree logic





Two Approaches in Building Fire Event Trees (cont)

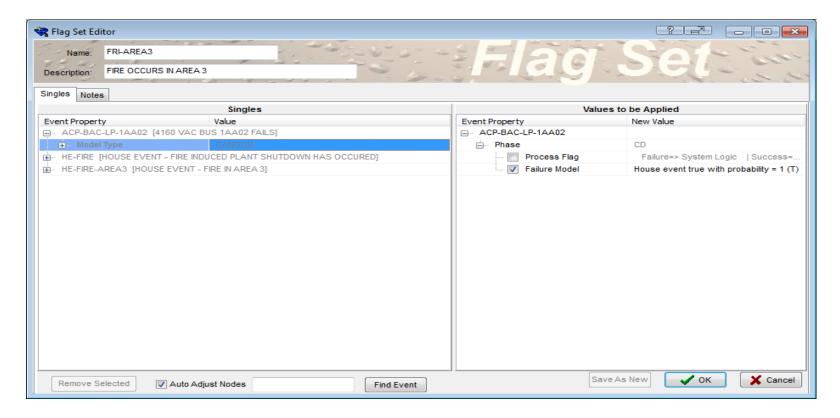
- Approach 1 Single dominant accident type
 - Pros
 - Simple
 - Minimal overcounting
 - Cons
 - Forces failures into a single transfer tree
- Approach 2 Damage assignment based on fault tree logic
 - Pros
 - Logic is allowed to determine appropriate transfer
 - Con
 - Often leads to overcounting in sequence results
 - (Endstate gather eliminates overcounting)
- Most SPAR models use approach 1



Flag Sets to Account for Fire Impacts

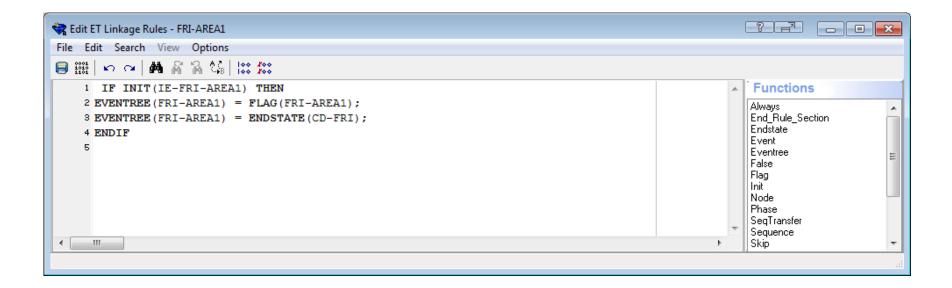
Flag Sets used to adjust logic events

TRUE FALSE IGNORE



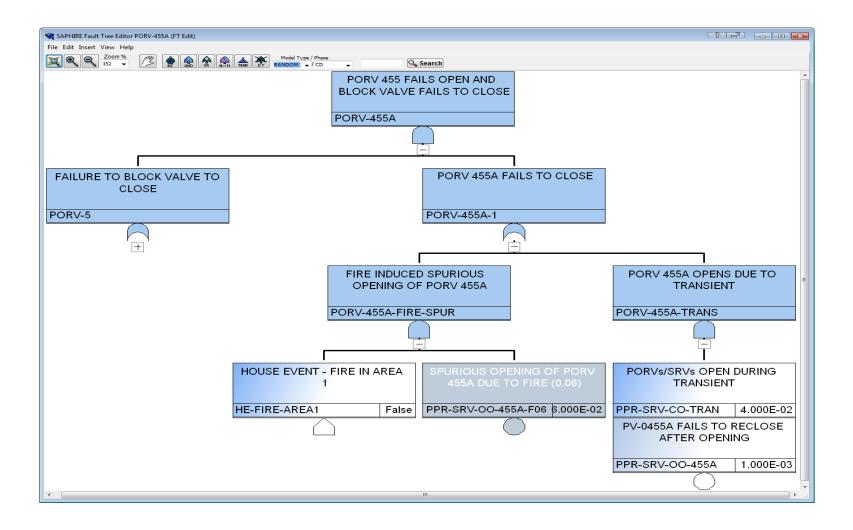


Flag Sets Activated in ET Linkage Rules



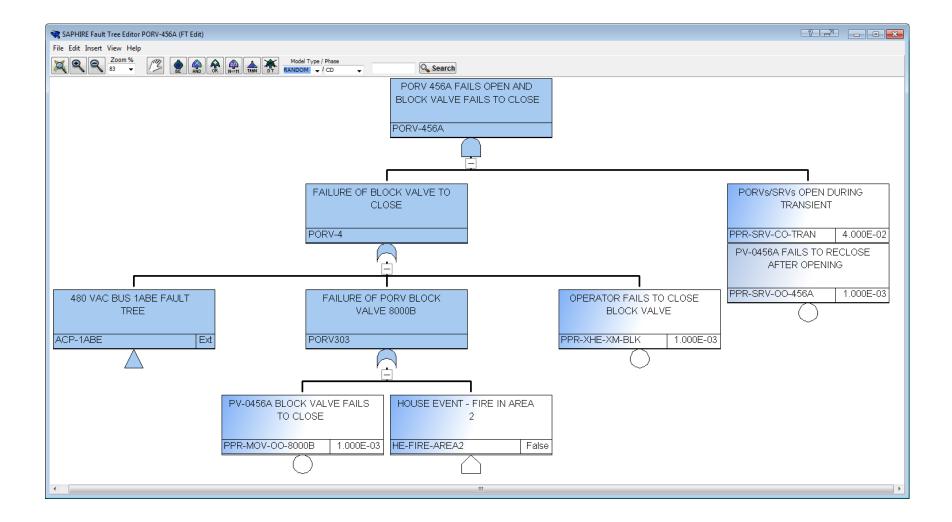


Example of Spurious Opening





Example of Direct Failure





Miscellaneous SPAR Fire Model Issues

- Small error approximation may breakdown in fire modeling
 - Potential for significant difference between sequence results and endstate gather results.
 - SPAR reports EE-1, EE-2 and endstate gather provide reduced results.
- Fire ETs (and other external events) are unlinked in base SPAR Model
 - Greater uncertainty in results lead to concerns about aggregation
 - Deliberate action required to generate/incorporate fire results

Fire SDP vs. Fire PRA Concepts and Terminology





Concepts and Terminology

- Objectives:
 - Outline the basic quantification process used in fire risk analysis
 - Define the factors that go into quantification
 - Define key terms



Risk

- Risk combines the likelihood that something undesirable will happen with the severity of resulting consequences
- In context of NRC mission, risk is most correctly measured based on potential public health consequence:
 - Atomic energy act empowers NRC to establish and enforce standards governing the commercial use of nuclear materials and facilities as "the Commission may deem necessary or desirable in order to protect health and safety and minimize danger to life or property."
- That implies risk measures such as acute and latent fatalities
- Primary measure of fire risk is Core Damage Frequency (CDF)
 - CDF is a surrogate for public health consequence risk
 - Large Early Release Frequency (LERF) not used for fire protection SDP



How we estimate Fire CDF

- We calculate CDF using four basic factors:
 - Fire Frequency (F)
 - Severity Factor (SF)
 - Probability of Non-Suppression (PNS)
 - Conditional Core Damage Probability (CCDP)
- Note that in fire PRA, SF is sometimes folded in as a part of 'F' or 'PNS' depending on analyst preference
 - It is called out explicitly as a factor in fire SDP



Fire Risk (cont.)

For one fire scenario:

$$CDF_i = F_i * SF_i * PNS_i * CCDP_i$$

- Do as many fire scenarios as needed, add them up (carefully), and that is the risk estimate
- Roll-up risk values at different levels:
 - One fire scenario
 - One fire ignition source multiple scenarios
 - One fire area multiple ignition sources
 - One building multiple fire areas
 - Entire unit
 - Entire plant site



Fire Risk (cont.)

- SDP focuses on fire area roll-up
 - Question: what is the risk impact of a specific performance deficiency?
 - Deficiency is assumed to be tied to one or two fire areas
 - We estimate risk for the impacted area(s)
- Remember that some issues cut across fire areas examples:
 - Post-fire manual actions
 - Manual fire brigade
 - Circuit analysis issues
- We don't do the cross-cutting issues (yet)
 - You have to tie your finding to one or more fire areas
 - No guidance for picking areas for a cross-cutting issue



Fire Frequency (F):

- Definition: The likelihood that a fire will occur during some time period
 - Time period is generally 12 months of at-power reactor operations
 - one reactor year (ry)
- Calculated based on past experience
 - Database contains nearly 1500 reported "fires"
 - EPRI Fire Event Database updated through 2002
 - Industry average capacity factors are factored in
- General units of measure:
 - fires/ry



Fire Frequency (cont.)

- You might hear that not all events reported as a fire hold the potential to challenge nuclear safety – TRUE!
 - Events were "screened out" if there was no potential for a safety challenge
 - The values provided for SDP should not be adjusted beyond the instructions provided – leave that to Phase 3
 - In the end, we retain about ½ of the fire "events" as potentially challenging
 - Actual percentage retained depends on nature of fire source



Event screening

- Sounds easy, but can lead to problems if you're not careful
- Important to maintain independence
 - Other steps in analysis take credit for things you might be "counting" when you screen events
 - Basic assumptions tend to flow from the "event set" you choose as representing your fire frequency
- Be careful when particular events appear to not be relevant to fire risk
 - They might not be, but their basis for rejecting might be included with another factor credited elsewhere



More on Fire Frequency

- Statistics give us the frequency of a fire somewhere, or involving something, in the plant
 - Tied to a location or fire ignition source
 - We assume this frequency is the same for all plants
- What we want is the frequency for a fire involving a specific ignition source in a specific location
 - For most cases, component based fire frequencies do this directly
 e.g., you get frequency for one motor
 - In some cases we apply a partitioning factor to reflect a critical location out of all possible locations
 - Area ratio factors e.g., transients, welding
 - Linear feet ratio factors e.g., cable trays, control room panels



If We Need a Room Fire Frequency

- We can use a generic fire area fire frequency based on average industry experience for similar fire areas
 - SDP through Step 2.3OR
- We can add up the contribution from all the individual sources in that particular fire area
 - SDP beginning with Step 2.4
- Don't expect to get the same answer both ways
 - For SDP, the generic values are intended to be slightly conservative – especially in Phase 1
 - This won't be a universal truth, but differences should not be significant



Grouping Fire Ignition Sources

- You can group some individual ignitions sources, and treat the group rather than each individual
 - Common example is electrical cabinets/panels
 - All members of the group need to be "the same"
 - Fire characteristics
 - Proximity to targets (damage) and secondary combustibles (fire spread)
- Frequency for the group is sum of frequency of each individual member
 - If 10 panels, fire frequency = 10 times frequency for a single panel



Severity Factor (SF)

 General Definition: A value between 0 and 1 reflecting the fraction of all fires that are considered threatening in the context of a specific fire scenario



Severity Factors: Fire SDP

- SDP approach ties SF to fire intensity
 - Current PRA practice, but not same as typical IPEEE
- Why:
 - Burn an electrical panel 10 times, and you'll probably get 11 different burn profiles
 - Fire intensity profile is inherently uncertain
 - All things being equal, still some fires will remain small, some will get big
 - If it takes a big fire to cause problems (and it usually does) we reflect this through the severity factor



Severity Factors (cont.)

- SDP Definition: SF = the fraction of fires big enough to cause damage to at least one potential target and/or spread fire to secondary combustibles
 - We calculate "big enough" on a case-specific basis
 - How big is the fire (use peak HRR)
 - How close are the damage targets and secondary combustibles
 - We use two HRR values for each fire ignition source
 - Lower HRR represents 90% of all fires SF = 0.9
 - Larger HRR represents worst 10% of fires SF = 0.1
 - In effect we split each fire ignition source into two possible fires one big and one not so big
 - If only the larger HRR leads to spread/damage, we end up with a net severity factor – SF = 0.1



Illustration of SF Concept:

- Even looking at a single fire ignition source, not all fires will be the same some will be big, some not so big
- Fire Intensity or heat release rate (HRR) is not a point value!
- We model uncertainty in peak HRR with a probability distribution

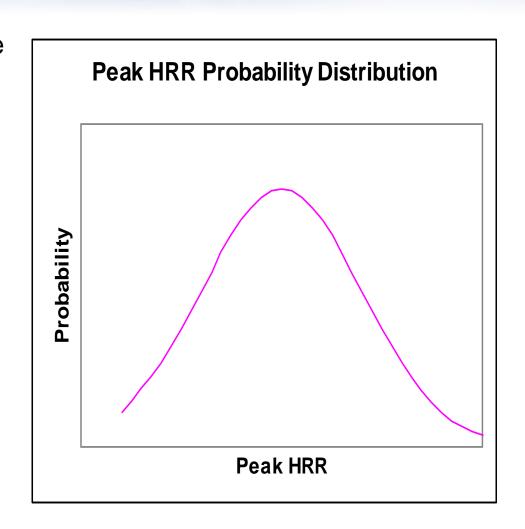




Illustration of SF Concept - General

- We look for the smallest fire leading to fire damage and/or spread
- Fires that large or larger are the "risky" ones
- We tie SF to the fraction of fires that large or larger

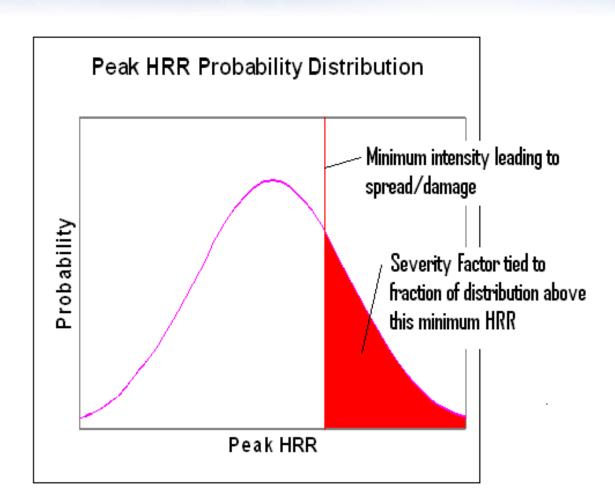
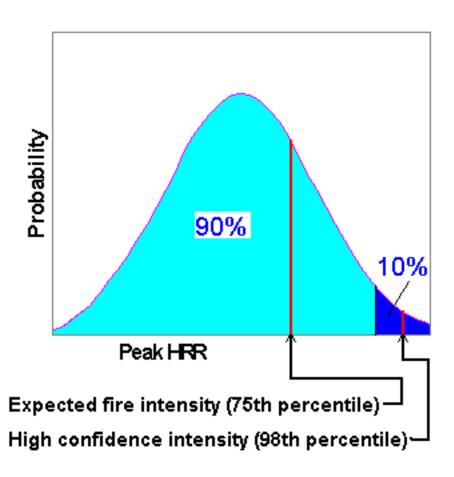




Illustration of SF Concept - SDP

- For SDP we use a simplified version
- Two fire HRR values for each fire ignition source
 - Expected value represents 90% of fires
 - High confidence value represents 10% of fire

Peak HRR Probability Distribution





SDP approach – quick review

- SDP using simplified version of general approach
 - Two fire intensity (HRR) values used represent the full distribution for each fire ignition source
 - 'Expected' and 'High Confidence' or 75% and 98%
 - Words/numbers not important it's the concept that counts
 - Assigned SF of 0.9 and 0.1 respectively
 - You assess the spread/damage potential for these two HRR values
 - The final risk results combine these two cases using SF as, in effect, a weighting factor on fire frequency
 - Net severity factor depends on whether each intensity value causes damage



Obtaining HRR Values

- Discrete HRR values were suggested based on NRC-sponsored research
- Review and discussion by SDP fire scenario team including NRC and Industry reps. – an expert panel
- Final values ultimately accepted for SDP
- Some adjustments made in HRR values to reflect SDP team/panel input



Severity Factor – Past Red Flag Issue for Fire PRA

- Some cases of abusive application were seen in the IPEEEs, so take care when someone cites those
- Can find severity factors crediting:
 - Prompt suppression, self-extinguished fires, fires that caused no trip, fires that did not spread, fires that did not damage secondary components, fires in non-vital areas, and ... the kitchen sink



Severity Factors (Summary)

- Before you buy, remember the three "D"'s of PRA:
 - Dependency, dependency, dependency
 - The same factors may be accounted for elsewhere in the PRA either implicitly or explicitly
 - When you see the use of one (or heaven forbid more than one) severity factor in quantification you have to ask if they are double counting somewhere



Probability of Non-Suppression (PNS)

- Definition: PNS The conditional probability that, given the fire, the fire will not be suppressed prior to the failure of a specific set of damage targets or ignition of secondary combustibles
 - Key 1: Specific to a particular fire ignition source scenario
 - May be a grouped set of fire ignition sources
 - Key 2: Specific to a particular target set
 - PNS reflects the probability that given the fire, these targets will fail or ignite



Target Set

- A collection of components and/or cables that may be threatened by a postulated fire
 - This could be anything from one cable to everything in the fire area



Target Sets (cont.)

- The target set either survives or fails as a whole
 - If you need to break down a target set, you really need to define more than one target set
- Target sets can be progressive if needed one set represents expansion of another smaller set:
 - Target Set 1 = {item 1}
 - Target Set 2 = {items 1,2,3} ...
 - Helpful if two trains are threatened but separated (for example)
- Different fire ignition sources may have the same target set(s) or different target set(s)



Target Sets (cont.)

- For any one fire ignition source:
 - Most often one target set is enough
 - You may define a series of expanding target sets reflecting growth and spread of the fire
 - Don't go overboard one, two, or at most three, should handle most situations



Target Sets (cont.)

- Poor cable routing data actually makes this step easier
 - If you don't know where specific cables are, you basically have to assume the worst
- Good cable routing data can actually complicate the choice
 - You may be tempted to define many target sets as each tray becomes involved
 - Don't keep it simple



Back to PNS...

- PNS is a 'probabilistic' horse race: time to damage versus time to suppression
- Time to damage depends on:
 - How close targets are to the fire
 - Target failure threshold
 - How big the fire is
 - Possibly: How quickly fire spreads
- The plant's chances of putting the fire out within this time depends on:
 - What sort of fixed fire suppression capability is available
 - Timing of manual fire response (e.g., the brigade)



Time to Damage

- We can predict time to damage in three steps:
 - Set the damage threshold
 - Targets are usually cables
 - Two basic cable types: thermoset (robust) and thermoplastic (wimpy)
 - Predict the exposure conditions
 - Plume, direct radiant heating, or hot gas layer
 - Estimate temperature or heat flux at target location using Fire Dynamics Tool (FDT)
 - Convert exposure condition to damage time
 - SDP uses look-up tables



Damage Time Look-Up Table (Example*) *Table A7.1 from IMC 0609, Appendix F, Attachment 7, 02/28/05

Exposure Temperature		Time to Failure
°C	°F	(minutes)
330 ≤ T < 335	625 ≤ T < 634	28
335 ≤ T < 340	634 ≤ T < 642	24
340 ≤ T < 345	642 ≤ T < 651	20
345 ≤ T < 350	651 ≤ T < 660	16
350 ≤ T < 360	660 ≤ T < 680	13
360 ≤ T < 370	680 ≤ T < 700	10
370 ≤ T < 380	700 ≤ T < 716	9
380 ≤ T < 390	716 ≤ T < 735	8
390 ≤ T < 400	735 ≤ T < 752	7
400 ≤ T < 410	752 ≤ T < 770	6
410 ≤ T < 430	770 ≤ T < 805	5
430 ≤ T < 450	805 ≤ T < 840	4
450 ≤ T < 470	840 ≤ T < 880	3
470 ≤ T < 490	880 ≤ T < 915	2
T ≥ 490	T ≥ 915	1



Automatic suppression time

- We can predict time to actuation for an automatic suppression system using a simple spreadsheet tool
 - E.g., a sprinkler head looks just like a heat detector
 - Fire Dynamics Tool (FDT)
- That give us a number
 - x:x minutes:seconds



PNS for Automatic Suppression

- We don't want to do a straight yes/no comparison between damage time and suppression time – this can be very misleading
 - Damage time = 10 min
 - Suppression time = 9 min, 30 sec.
 - Nominally suppression wins, but what is your confidence in this answer
 - Is it really yes/no or fail/no fail
 - To acknowledge uncertainties in the time estimates, we use the margin between damage time and suppression time



Probability Table for Automatic Suppression

Probability of Non-Suppression for a fixed fire suppression system based on the time to damage equipment and the time required to suppress the fire

Time Delta (T _{damage} – T _{suppress})	PNS _{fixed}
Negative time and up to 1 minute	1.0
> 1 minute to 2 minutes	0.95
> 2 minutes to 4 minutes	0.8
> 4 minutes to 6 minutes	0.5
> 6 minutes to 8 minutes	0.25
> 8 minutes to 10 minutes	0.1
> 10 minutes	0.0

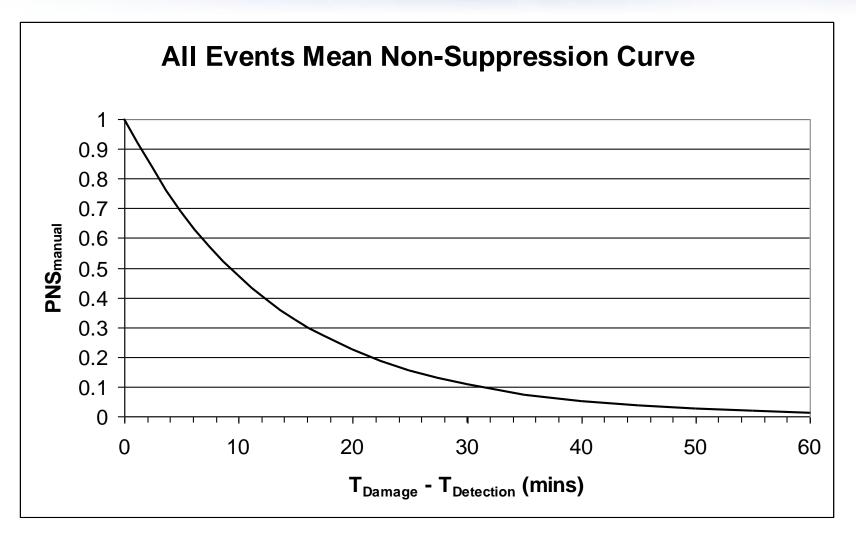


PNS and Manual Suppression

- PNS for Manual estimated from empirical fire duration curves
 - The vast majority of fires are manually suppressed
 - We get fire duration data for enough of the reported fires to develop a fire duration curve
- Pick the appropriate duration curve
- Estimate (t_{damage}- t_{detection})
 - Remember that detection triggers manual response, but damage time measured from time of ignition (t = 0)
- Pick off PNS_{manual}
 - Values also available in a lookup table



Duration Curve Example (PNS_{manual})





Auto vs. Manual Suppression

- If auto is present, we assume it will be primary suppression means
- If auto fails, manual is always the backup
 - We assume that a water based automatic suppression system will fail on demand 2% of the time
 - Gaseous systems 5%
- If no auto system, then manual is all there is



Manual fixed Suppression

- Fixed fire suppression systems that have no automatic actuation mechanism – human action is required
- No hard/fast rule possible use following:
 - Estimate detection time
 - Estimate physical response time
 - Review decision criteria and estimate decision making time
 - Nominal value is 2 minutes
 - Increase if circumstances warrant
 - Actuation time is sum of these three



Combining Manual and Auto-Suppression

- Again, if auto system is present, it is assumed first line of defense
- Auto systems don't always work:
 - Water based system ~2% failure on demand
 - Gaseous systems ~5% failure on demand
 - Values reflect both reliability and availability (out-of-service time)
- Manual is always available as a backup



Combining Manual and Auto-Suppression

For Water-based systems:

$$PNS_{scenario} = (0.98 \times PNS_{fixed-scenario})$$

+
$$(0.02 \times PNS_{manual-scenario})$$

For Dry-pipe and Gaseous systems:

$$PNS_{scenario} = (0.95 \times PNS_{fixed-scenario})$$

+
$$(0.05 \times PNS_{manual-scenario})$$

**
$$PNS_{scenario} \leq PNS_{manual-scenario}$$



Special Consideration For Degraded Gaseous System

- If gaseous suppression system cannot maintain adequate concentration for a sufficient time to assure fire extinguishment, then manual fire fighting must do the final mop-up
 - The degraded gaseous system cannot permanently extinguish the fire, but does buy the fire brigade some additional response time
 - We assume that the fire will be held in check during the time that the fire suppressant concentration is maintained at design level
 - Upon dissipation of suppressant fire will re-flash
 - We assume it will pick up right where it left off



Degraded Gaseous Systems (cont.)

- To get PNS_{fixed} we need to compare damage time to a suppression time-line with the following elements:
 - Actuation time for gaseous system (manual or automatic as normally analyzed)
 - The probability table that reflects our confidence that system actuation is timely compared to fire damage time
 - Hold time / soak time for design concentration that system can deliver
 - Manual response following loss of concentration



- First we calculate a PNS for manual response as if gaseous system were not in place (or were to fail)
- Select the appropriate fire duration curve, based on ignition source
- Estimate fire detection time in the usual manner
 - Assume a valid actuation signal on gaseous system will trigger a fire detection signal as well
- Calculate t_{damage}-t_{detection}
- Estimate PNS_{manual} in the usual manner



- Next we look at timeliness of the system discharge:
- Estimate discharge/actuation time (t_{suppress}) as you would for any fixed system
 - Could be automatic or manual actuation of fixed system
- Calculate the time margin ("Time Delta") between the actuation time and fire damage time in the normal manner
 - Time Delta = $(t_{damage} t_{suppress})$
- Use the general PNS_{fixed} probability table (IMC, 0609, App F, Att 8, Table A8.2) to assess probability that suppression system actuation is timely in relation to estimated fire damage time.



- Based on PNS_{fixed} decide whether to credit gaseous system at all:
- If PNS_{fixed} is 1.0, then the gaseous system will not be credited.
 - Use PNS_{scenario} = PNS_{manual}
 - Analysis is complete.
- If PNS_{fixed} value is less than 1.0, then gaseous system will be credited.
 - Continue this analysis to estimate PNS_{scenario}.



- Now do the case where the system buys some added time for fire brigade response:
- Calculate modified fire damage time as follows:
 - $t_{damage_new} = t_{damage} + t_{maintain_gas}$
- where t_{maintain_gas} is the time suppressant concentration can be maintained.
- Calculate modified time available for manual suppression:
 - [t_{damage_new} t_{detection}]
- Estimate PNS_{gas_manual} in the manner normally applied to PNS_{manual}
 - Use appropriate fire duration curve with the modified time available



To get final PNS_{scenario} we now need to combine three cases:

- Case 1: Suppression system works (0.95 no random failure), the actuation is timely (1- PNS_{fixed}), and fire brigade fails to respond with extra time available (PNS_{gas_manual})
- Case 2: Suppression system works (95% no random failure), but discharge
 of the fire suppression system is not timely (PNS_{fixed}), manual brigade must
 respond within original fire damage time (no extra time available PNS_{manual})
- Case 3: Gaseous suppression system suffers random failure on demand (0.05), fire brigade must respond within the originally estimated fire damage time (PNS_{manual})



And the final reduced equation is...

$$PNS_{\text{scenario}} = 0.95 \times (1 - PNS_{\text{fixed}}) \times PNS_{\text{gas_manual}} + [(0.95 \times PNS_{\text{fixed}}) + 0.05] \times PNS_{\text{manual}}$$

- Verify that (PNS_{scenario} ≤ PNS_{manual})
 - As in other cases, the manual brigade response given the original fire damage time is the minimum credit given to fire suppression for any scenario

If
$$(PNS_{scenario} > PNS_{manual})$$
 reset $(PNS_{scenario} = PNS_{manual})$



Conditional Core Damage Probability (CCDP)

- Definition: The conditional probability that post-fire safe shutdown efforts will fail to achieve safe and stable hot shutdown conditions, thus resulting in core damage
 - Risk analyses don't generally look at ability to achieve cold shutdown



CCDP (cont.)

- CCDP is calculated using a post-fire safe shutdown plant response model
 - Screening estimates may only credit the designated post-fire safe shutdown path
 - For more detail, we use a broader plant response model that may credit components and systems beyond Appendix R
 - SDP uses the plant notebooks and pre-solved worksheets



CCDP (cont.)

- We won't go into depth on this topic, but some high level rules:
 - To credit a system or function, you must have reasonable assurance that it will not be damaged by the fire - your judgment counts
 - We do credit manual actions guidance is provided but complex sets of actions will likely get little credit in Phase 2
 - Spurious operations may be a part of CCDP calculation you may need help here



That's pretty much it.

$$CDF_i = F_i * SF_i * PNS_i * CCDP_i$$

Of course, the devil's in the details...

Fire Scenarios





More On Fire Scenarios

 Definition: A fire scenario is a postulated sequence of events <u>starting</u> with the ignition of a fire and <u>ending</u> either in plant safe shutdown or core damage.



Fire Scenario

What is a Fire Scenario:

Change any element and you have a new fire scenario!



Fire Ignition Source Scenario

- Definition: Defines the physical characteristics of the fire that will develop for a particular fire ignition source – key factors:
 - Placement of fire "origin" how close is origin to targets
 - Heat release rate (HRR)
- SDP bins fire sources by type, and ties characteristics to each type
 - Five HRR values used to characterize all (simple) fires



Table 2.3.1 - Mapping of General Fire Scenario Characterization Type Bins to Fire Intensity Characteristics

Fire Size	Generic Fire Type Bins with Simple Predefined Fire Characteristics					
Bins	Small	Large	Indoor OII-	Very	Engines	Solid and
	Electrical	Electrical	Filled	Large Fire	and	Transient
	Fire	Fire	Transformers	Sources	Heaters	Combustibles
70 kW	50 th				50*	50th Percentile
	Percentile				Percentile	Fire
	Fire				Fire	
200 kW	95°	50*			95*	95th Percentile
	Percentile	Percentile			Percentile	Fire
	Fire	Fire			Fire	
650 kW		95*	50 th Percentile	50th		
		Percentile	Fire	Percentile		
		Fire		Fire		
2 MW			95 th Percentile			
			Fire			
10 MW				95 th		
				Percentile		
				Fire		



Fire Origin

- The fire origin is a <u>conceptual</u> point at which we will assume the fire originates.
 - Horizontal placement determines what is overhead and therefore in the fire plume
 - Vertical placement will affect plume temperature for exposure of overhead targets
- Choice depends on the nature of the fire source



Heat Release Rate (HRR)

- HRR characterizes the fire intensity or the amount of heat generated by a fire per unit time
 - Typical units are either KW or BTU/hr
- This is generally the most critical of all fire characterization input values
- Remember SDP ties fire intensity to severity factor



Convective / Radiative Fractions

- Heat transfer from fire is via two primary mechanisms:
 - Convective transfer— the mixing of hot fire products with ambient air resulting in direct heating of the surrounding air that in turn causes buoyancy and fire plume behaviors
 - Radiative transfer—the luminosity of a fire's flame zone results in direct radiant heating of opaque targets (including soot-laden air)
- Recommended split fractions are:
- 0.7 convective, 0.3 radiative
 - Paired values must sum to 1.0



Fire Growth and Damage Scenario

- Definition: characteristics of fire spread to secondary combustibles if such occurs, and the behaviors leading to failure of an identified thermal damage target set
 - You must define a target set (i.e., equipment threatened by fire that could affect safe shutdown)
 - Damaging conditions may be created either due to burning of the ignition source alone, or due to fire spread
 - If fire ignition source alone is not enough, and fire cannot spread, then damage is not possible



SDP Fire Damage States (FDS)

- FDS0 loss of only the fire ignition source
 - Not analyzed as a risk contributor
- FDS1 localized damage near (especially directly above) the fire ignition source
 - Keys factors: plume heating, upward spread of fire, and direct radiant heating
- FDS2 widespread damage within a single fire area
 - Key factors: horizontal fire spread, hot gas layer, and failure of degraded raceway fire barriers
- FDS3 fire damage impacting two (or more) fire areas (room-to-room)
 - Key factor: failure of an inter-compartment fire barrier element



Fire Detection and Suppression

- We credit all available means of fire detection and suppression
- Detection is important mainly because it triggers the manual response
 - Plant personnel become aware of the fire
 - Fire procedures may kick in (check plant process for when this really happens)
 - The fire brigade is activated
- Fixed automatic suppression systems require no prior detection signal, but usually are tied to alarm circuits



Fire Suppression (cont.)

- Remember, in fire PRA/SDP space, it's a horse race
 - Question is not so much "does suppression fail?"
 - But rather, "does suppression fail to put out the fire before damage occurs?"
- All fires are put out (or go out) eventually we want to know if suppression is timely in the context of our specific target set



CCDP

- CCDP characterized plant/operator response to the fire
- Objective is safe shutdown (hot shutdown)
- This part can be complex



Developing a Fire Time Line

- Key events on the time line
 - Fire ignites (define this as time = 0)
 - Fire is detected (t_{detection})
 - Manual/operator response begins
 - Fire brigade is activated
 - Target set fails (t_{damage})
 - Remember: t_{damage} measured from t = 0
 - Automatic suppression activates (t_{supp_auto})
 - Manual suppression is successful (t_{supp_man})
- Order of these events is TBD!